
statistical bulletin



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Securities and Exchange Commission
Washington, D. C. 20549

SCHEDULE OF PERIODIC SERIES WHICH DO NOT APPEAR EACH MONTH

| NAME OF SERIES* | | PERIOD OF ISSUE | | | |
|-----------------|---|-----------------|-------|-------|------|
| Q-250 | NET CHANGE IN CORPORATE SECURITIES ISSUED | MARCH | JUNE | SEPT. | DEC. |
| Q-310 | WORKING CAPITAL: CURRENT ASSETS AND LIABILITIES NON-FINANCIAL U. S. CORPORATIONS | JAN. | MAY | JULY | OCT. |
| Q-320 | ASSETS OF NON-INSURED PENSION FUND | JAN. | APRIL | JULY | OCT. |
| Q-330 | STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS | JAN. | APRIL | JULY | OCT. |
| Q-450 | OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS | JAN. | APRIL | JULY | OCT. |
| S-111 | SEMI-ANNUAL SUMMARY OF CHANGES IN SELECTED STOCK MARKET INDICATORS | JAN. | | JULY | |
| S-121 | SEMI-ANNUAL SUMMARY OF AVERAGE PRICES OF SHARES TRADED ON U. S. STOCK EXCHANGES | FEB. | | AUG. | |
| S-245 | FOREIGN SECURITIES ISSUES SOLD IN THE UNITED STATES | MARCH | | SEPT. | |
| A-120 | ANNUAL SUMMARY OF MARKET VALUE AND VOLUME OF SALES ON U. S. STOCK EXCHANGES | FEB. | | AUG. | |
| A-130 | ANNUAL SUMMARY OF ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX (WEEKLY AND MONTHLY) | FEB. | | | |
| A-140 | ANNUAL SUMMARY OF ROUND-LOT SHARE VOLUME ON THE NYSE (WEEKLY AND MONTHLY) | FEB. | | | |
| A-143 | ANNUAL SUMMARY OF ODD-LOT SHARE VOLUME ON THE NYSE (WEEKLY AND MONTHLY) | FEB. | | | |
| A-160 | GROWTH RATE TRIANGLE OF NYSE SHARE VOLUME | JAN. | | | |
| A-161 | GROWTH RATE TRIANGLE OF AMEX SHARE VOLUME | JAN. | | | |
| A-250 | DOMESTIC CORPORATE SECURITIES ISSUED AND RETIRED | | MAY | | |
| A-320 | ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS (PRELIMINARY) | | APRIL | | |
| A-321 | ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS | | | | OCT. |
| A-330 | ANNUAL COMMON STOCK TRANSACTIONS AND ACTIVITY RATES OF SELECTED FINANCIAL INSTITUTIONS | | APRIL | | |
| A-340 | MARKET VALUE OF OUTSTANDING CORPORATE STOCKS IN THE UNITED STATES | | JUNE | | |
| A-350 | STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OTHERS | | | AUG. | |
| A-360 | TOTAL ASSETS AND COMMON STOCK HOLDINGS OF MAJOR INSTITUTIONS | | | AUG. | |

* All tables with a monthly cycle (M-Series) are noted in the INDEX which is located at the back of each issue.

TABLE OF CONTENTS

| | Page |
|---|------|
| SCHEDULE OF PERIODIC SERIES WHICH DO NOT APPEAR EACH MONTH | 1091 |
| MARKET VALUE AND VOLUME ON UNITED STATES STOCK EXCHANGES | 1093 |
| ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX | 1095 |
| ROUND-LOT SHARE VOLUME ON THE NYSE | 1097 |
| ODD-LOT CUSTOMERS' SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS | 1099 |
| ODD-LOT SHARE VOLUME ON THE NYSE | 1101 |
| SELECTED STOCK MARKET INDICATORS | 1102 |
| SPECIAL OFFERINGS, SECONDARY DISTRIBUTIONS & EXCHANGE DISTRIBUTIONS ON U. S. STOCK EXCHANGES | 1103 |
| ESTIMATED GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS BY INDUSTRY AND TYPE OF OFFERING | 1104 |
| ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE BOND OFFERINGS BY INDUSTRY | 1107 |
| ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS BY INDUSTRY | 1108 |
| ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK BY INDUSTRY | 1109 |
| ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK BY INDUSTRY | 1110 |
| VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK BY INDUSTRY | 1113 |
| NUMBER & VALUE OF EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933 | 1114 |
| NET CHANGE IN CORPORATE SECURITIES ISSUED | 1116 |
| FORM 144 FILINGS | 1119 |
| FORM 8-K FILINGS | 1140 |
| SCHEDULE 13-D & 14-D REPORTS | 1155 |
| FIRST SIX MONTHS OF VOLUME 33 INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN FOR PERIOD NOVEMBER 1973 - MAY 1974 | 1179 |
| SECOND SIX MONTHS OF VOLUME 33 INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN INCLUDES: MONTHLY DATA BEGINNING APRIL-74; QUARTERLY DATA BEGINNING QUARTER 1-74 | 1180 |

MARKET VALUE AND VOLUME OF SALES ON UNITED STATES SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

| | | STOCKS | | | BONDS | | WARRANTS | | RIGHTS | |
|------|-----------|----------------------------|------------|---------|----------|-----------|----------|--------|---------|--------|
| | | TOTAL MARKET \$VALUE | \$VALUE | SHARES | \$MARKET | PRINCIPAL | \$VALUE | UNITS | \$VALUE | UNITS |
| 1973 | OCTOBER | 19,568,224 | 18,726,192 | 587,385 | 741,952 | 823,721 | 98,563 | 11,211 | 1,521 | 5,131 |
| 1973 | NOVEMBER | 18,217,408 | 17,501,424 | 560,101 | 628,276 | 708,899 | 87,359 | 11,132 | 349 | 915 |
| 1973 | DECEMBER | 14,659,942 | 14,072,257 | 523,519 | 529,309 | 666,430 | 58,179 | 9,524 | 197 | 276 |
| 1974 | JANUARY | 15,079,574 | 14,410,761 | 524,145 | 594,862 | 673,602 | 73,914 | 8,413 | 37 | 10 |
| 1974 | FEBRUARY | 10,205,933 | 9,657,343 | 358,640 | 509,019 | 602,930 | 39,522 | 5,650 | 49 | 14 |
| 1974 | MARCH | 13,308,144 | 12,649,115 | 449,736 | 610,314 | 727,183 | 48,626 | 6,875 | 88 | 24 |
| 1974 | APRIL | 9,925,530 | 9,339,689 | 342,751 | 554,587 | 662,317 | 29,600 | 5,024 | 1,654 | 14,675 |
| 1974 | MAY | 10,683,027 | 10,089,689 | 391,728 | 561,999 | 682,116 | 31,271 | 5,938 | 67 | 68 |
| 1974 | JUNE | 9,420,223 | 8,895,424 | 336,432 | 501,821 | 610,607 | 22,733 | 4,378 | 245 | 7,034 |
| 1974 | JULY | 9,364,917 | 8,970,668 | 366,539 | 471,308 | 632,602 | 19,795 | 4,744 | 56 | 482 |
| 1974 | AUGUST | 9,401,245 | 8,970,668 | 362,464 | 411,654 | 548,257 | 18,434 | 4,285 | 489 | 4,251 |
| 1974 | SEPTEMBER | 8,450,786 | 7,980,927 | 387,516 | 444,803 | 646,770 | 25,047 | 4,919 | 8 | 5 |
| 1974 | OCTOBER | 10,740,411 | 10,033,609 | 465,131 | 670,292 | 878,536 | 34,909 | 6,618 | 1,602 | 10,542 |

BREAKDOWN FOR OCTOBER, 1974

| | | | | | | | | | | |
|-------------------------------------|-----------|-----------|---------|---------|---------|--------|-------|-------|--------|---|
| * AMERICAN STOCK EXCHANGE | 389,831 | 366,111 | 38,865 | 14,579 | 25,683 | 9,141 | 2,570 | 0 | 0 | 0 |
| * BOSTON STOCK EXCHANGE | 123,087 | 123,076 | 3,682 | 0 | 0 | 11 | 2 | + | 2 | 0 |
| * CHICAGO BOARD OF TRADE | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| * CINCINNATI STOCK EXCHANGE | 7,147 | 7,143 | 266 | 4 | 6 | 0 | 0 | 0 | 0 | 0 |
| DETROIT STOCK EXCHANGE | 26,449 | 26,449 | 1,105 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| MIDWEST STOCK EXCHANGE | 425,363 | 425,291 | 17,908 | 45 | 80 | 2 | 2 | 24 | 30 | 0 |
| NATIONAL STOCK EXCHANGE | 216 | 215 | 215 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| * NEW YORK STOCK EXCHANGE | 9,187,113 | 8,509,629 | 377,178 | 651,199 | 845,566 | 24,743 | 3,644 | 1,542 | 10,280 | 0 |
| PACIFIC COAST STOCK EXCHANGE | 384,070 | 378,687 | 16,357 | 4,463 | 7,199 | 886 | 364 | 34 | 224 | 0 |
| * PHILA.-BALT.-WASH. STOCK EXCHANGE | 196,666 | 196,537 | 8,425 | 2 | 2 | 126 | 35 | 1 | 6 | 0 |
| INTERMOUNTAIN STOCK EXCHANGE | 35 | 35 | 349 | 0 | 0 | 3 | 0 | 0 | 0 | 0 |
| SPOKANE STOCK EXCHANGE | 434 | 434 | 783 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

MARKET VALUE AND VOLUME OF SALES ON ALL EXEMPTED SECURITIES EXCHANGES
(ALL DATA ARE IN THOUSANDS)

| | | | | | | | | | | |
|-------------------------|---------|----|----|---|---|---|---|---|---|---|
| 1974 | OCTOBER | 68 | 68 | 8 | 0 | 0 | 0 | 0 | 0 | 0 |
| BOSTON STOCK EXCHANGE | | | | | | | | | | |
| 1974 | OCTOBER | 68 | 68 | 8 | 0 | 0 | 0 | 0 | 0 | 0 |
| HONOLULU STOCK EXCHANGE | | | | | | | | | | |

NA = NOT AVAILABLE

R = REVISION OF PREVIOUSLY PUBLISHED DATA

+ = GREATER THAN ZERO BUT LESS THAN 500

SEE EXPLANATORY NOTES

EXPLANATORY NOTES

Market Value and Volume of Sales on Registered and Exempted Securities Exchanges

The data presented in this table are of two types depending upon the method of aggregation used by each exchange. Reports of those exchanges marked with an asterisk cover transactions cleared during the calendar month; clearances occur for the most part within five days of the execution of a trade. Reports for other exchanges cover transactions effected on trade dates falling within the report month. The variance introduced by these two different methods of aggregating the data is not considered to be significant and accordingly all registered exchanges are aggregated and reported in monthly summaries.

Stock data include voting trust certificates, certificates of deposit for stocks and American Depositary Receipts for stocks.

Bond data have excluded transactions covering United States Government issues since March 1944.

Warrants data include trading in rights for all periods prior to January, 1972.

ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMERICAN STOCK EXCHANGE
(IN SHARES AND WARRANTS)

OCTOBER, 1974

| DATE | DAY | ALL ROUND-LOT SALES | | ROUND-LOT SHARE VOLUME OF SPECIALISTS IN STOCKS IN WHICH THEY ARE REGISTERED | | OTHER MEMBER ROUND-LOT SHARE VOLUME INITIATED ON THE FLOOR | |
|----------|-----------|---------------------|-----------|--|----------------------|--|----------------------|
| | | TOTAL | SHORT | PURCHASES | SALES TOTAL SHORT | PURCHASES | SALES TOTAL SHORT |
| 1 | TUESDAY | 1,700,445 | 61,900 | 247,325 | 217,700 | 41,700 | 30,800 |
| 2 | WEDNESDAY | 1,334,080 | 62,500 | 159,780 | 193,600 | 12,700 | 28,400 |
| 3 | THURSDAY | 1,402,505 | 44,800 | 221,880 | 175,190 | 23,700 | 14,700 |
| 4 | FRIDAY | 1,677,460 | 66,250 | 197,390 | 224,500 | 24,300 | 22,200 |
| 7 | MONDAY | 1,790,250 | 82,350 | 214,750 | 287,500 | 24,800 | 48,600 |
| 8 | TUESDAY | 1,554,180 | 70,900 | 215,520 | 254,000 | 39,600 | 36,900 |
| 9 | WEDNESDAY | 1,827,400 | 77,000 | 260,400 | 302,350 | 44,100 | 61,400 |
| 10 | THURSDAY | 2,831,210 | 149,300 | 375,000 | 465,310 | 56,100 | 79,700 |
| 11 | FRIDAY | 2,103,725 | 103,800 | 290,910 | 321,565 | 41,500 | 40,400 |
| 14 | MONDAY | 2,102,265 | 152,510 | 303,950 | 371,375 | 46,100 | 44,700 |
| 15 | TUESDAY | 2,093,050 | 115,700 | 360,985 | 286,170 | 76,600 | 56,550 |
| 16 | WEDNESDAY | 1,739,310 | 117,785 | 270,250 | 261,080 | 49,900 | 45,350 |
| 17 | THURSDAY | 1,751,550 | 82,920 | 250,145 | 257,350 | 75,700 | 57,000 |
| 18 | FRIDAY | 1,958,545 | 78,030 | 282,830 | 279,050 | 54,300 | 26,800 |
| 21 | MONDAY | 1,775,265 | 70,150 | 194,840 | 233,190 | 32,850 | 29,050 |
| 22 | TUESDAY | 2,263,370 | 91,520 | 293,125 | 310,025 | 52,500 | 64,400 |
| 23 | WEDNESDAY | 1,551,540 | 63,800 | 273,050 | 191,360 | 28,900 | 26,200 |
| 24 | THURSDAY | 2,036,630 | 83,700 | 298,630 | 228,230 | 47,400 | 45,100 |
| 25 | FRIDAY | 1,647,830 | 83,450 | 263,470 | 228,190 | 26,300 | 31,600 |
| 28 | MONDAY | 1,561,350 | 97,350 | 193,170 | 210,600 | 29,100 | 27,300 |
| 29 | TUESDAY | 1,880,330 | 105,030 | 233,110 | 278,860 | 40,800 | 44,900 |
| 30 | WEDNESDAY | 2,547,005 | 111,185 | 309,825 | 334,900 | 54,000 | 57,100 |
| 31 | THURSDAY | 2,118,125 | 101,550 | 288,600 | 289,940 | 55,800 | 46,100 |
| TOTALS | | 43,247,620 | 2,073,480 | 5,998,935 | 6,202,035 | 978,750 | 965,250 |
| AVERAGES | | 1,880,331 | 90,151 | 260,823 | 269,654 | 42,554 | 41,967 |
| | | | | | | | 17,791 |

(CONTINUED)

ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMERICAN STOCK EXCHANGE
(IN SHARES AND WARRANTS)

OCTOBER, 1974

| DATE | DAY | ROUND-LOT SHARE VOLUME INITIATED OFF THE FLOOR | | | | ROUND-LOT SHARE VOLUME FOR ACCOUNTS OF NON-MEMBERS | | | | ODD-LOT CUSTOMERS SHARE VOLUME | | | |
|----------|-----------|---|---------|-----------|---------|---|---------|------------|---------|-----------------------------------|-------|---------|-------|
| | | PURCHASES | | SALES | | PURCHASES | | SALES | | PURCHASES | | SALES | |
| | | TOTAL | | TOTAL | | TOTAL | | TOTAL | | TOTAL | | TOTAL | |
| | | | SHORT | | SHORT | | SHORT | | SHORT | | SHORT | | SHORT |
| 1 | TUESDAY | 88,510 | 2,300 | 35,495 | 2,300 | 1,322,910 | 34,500 | 1,416,450 | 34,500 | 12,652 | 0 | 24,304 | 0 |
| 2 | WEDNESDAY | 69,206 | 6,400 | 41,870 | 6,400 | 1,092,394 | 33,550 | 1,070,210 | 33,550 | 13,356 | 0 | 19,805 | 0 |
| 3 | THURSDAY | 82,350 | 7,400 | 74,268 | 7,400 | 1,074,575 | 28,400 | 1,138,347 | 28,400 | 12,856 | 0 | 23,828 | 0 |
| 4 | FRIDAY | 76,950 | 7,000 | 59,200 | 7,000 | 1,378,820 | 38,250 | 1,371,560 | 38,250 | 14,050 | 0 | 24,338 | 0 |
| 7 | MONDAY | 146,972 | 8,500 | 79,760 | 8,500 | 1,403,728 | 23,000 | 1,374,390 | 23,000 | 18,349 | 0 | 22,578 | 0 |
| 8 | TUESDAY | 105,918 | 5,300 | 101,322 | 5,300 | 1,193,142 | 36,600 | 1,161,958 | 36,600 | 17,313 | 10 | 22,275 | 10 |
| 9 | WEDNESDAY | 144,580 | 11,600 | 77,887 | 11,600 | 1,378,320 | 6,300 | 1,385,763 | 6,300 | 15,447 | 0 | 19,330 | 0 |
| 10 | THURSDAY | 191,706 | 15,500 | 154,257 | 15,500 | 2,208,404 | 23,800 | 2,131,943 | 23,800 | 25,434 | 0 | 26,403 | 0 |
| 11 | FRIDAY | 111,320 | 12,300 | 94,795 | 12,300 | 1,659,995 | 25,150 | 1,646,965 | 25,150 | 21,793 | 0 | 23,001 | 0 |
| 14 | MONDAY | 88,949 | 12,800 | 114,418 | 12,800 | 1,663,266 | 49,610 | 1,571,772 | 49,610 | 24,302 | 60 | 24,913 | 60 |
| 15 | TUESDAY | 144,713 | 11,700 | 156,513 | 11,700 | 1,510,752 | 17,700 | 1,593,817 | 17,700 | 20,422 | 240 | 26,273 | 240 |
| 16 | WEDNESDAY | 64,008 | 14,200 | 81,683 | 14,200 | 1,355,152 | 33,185 | 1,351,197 | 33,185 | 15,734 | 100 | 24,729 | 100 |
| 17 | THURSDAY | 119,305 | 7,000 | 113,659 | 7,000 | 1,306,400 | 5,020 | 1,323,541 | 5,020 | 16,009 | 70 | 22,406 | 70 |
| 18 | FRIDAY | 94,801 | 6,000 | 93,210 | 6,000 | 1,526,614 | 20,100 | 1,559,485 | 20,100 | 18,310 | 308 | 25,967 | 308 |
| 21 | MONDAY | 94,880 | 2,900 | 56,101 | 2,900 | 1,452,695 | 20,650 | 1,456,924 | 20,650 | 16,544 | 50 | 24,805 | 50 |
| 22 | TUESDAY | 182,798 | 5,400 | 89,089 | 5,400 | 1,735,147 | 17,600 | 1,800,056 | 17,600 | 20,352 | 30 | 30,990 | 30 |
| 23 | WEDNESDAY | 139,150 | 3,800 | 71,220 | 3,800 | 1,110,440 | 17,700 | 1,262,760 | 17,700 | 14,474 | 0 | 26,501 | 0 |
| 24 | THURSDAY | 205,630 | 6,300 | 102,286 | 6,300 | 1,484,970 | 22,500 | 1,661,014 | 22,500 | 15,917 | 40 | 28,903 | 40 |
| 25 | FRIDAY | 84,975 | 7,900 | 93,995 | 7,900 | 1,273,081 | 18,950 | 1,294,045 | 18,950 | 14,574 | 0 | 21,408 | 0 |
| 28 | MONDAY | 114,000 | 6,200 | 71,761 | 6,200 | 1,225,080 | 33,300 | 1,251,689 | 33,300 | 15,870 | 100 | 24,199 | 100 |
| 29 | TUESDAY | 166,450 | 9,500 | 80,072 | 9,500 | 1,439,970 | 35,730 | 1,476,498 | 35,730 | 16,975 | 0 | 26,452 | 0 |
| 30 | WEDNESDAY | 194,384 | 12,100 | 136,567 | 12,100 | 1,988,796 | 4,535 | 2,018,438 | 4,535 | 17,932 | 0 | 31,270 | 0 |
| 31 | THURSDAY | 150,779 | 9,400 | 97,860 | 9,400 | 1,622,946 | 34,150 | 1,684,225 | 34,150 | 12,923 | 149 | 26,646 | 149 |
| TOTALS | | 2,862,338 | 188,800 | 2,077,288 | 188,800 | 33,407,597 | 580,280 | 34,003,047 | 580,280 | 391,588 | 1,157 | 571,324 | 1,157 |
| AVERAGES | | 124,449 | 8,209 | 90,317 | 8,209 | 1,452,503 | 25,230 | 1,478,393 | 25,230 | 17,026 | 50 | 24,840 | 50 |

ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE
(IN SHARES AND WARRANTS)

OCTOBER, 1974

SHARE VOLUME OF SPECIALISTS (EXCEPT
FOR THE ODD-LOT ACCOUNT) IN STOCKS
IN WHICH THEY ARE REGISTERED

ROUND-LOT SHARE VOLUME FOR
THE ODD-LOT ACCOUNT OF THE
ODD-LOT DEALER

ALL ROUND-LOT SALES

| DATE | DAY | TOTAL | | SHORT | | PURCHASES | | SALES | | TOTAL | | SHORT | |
|----------|-----------|-------------|------------|-------|--|------------|------------|------------|--|-----------|-----------|-------|--|
| | | TOTAL | | SHORT | | PURCHASES | | TOTAL | | TOTAL | | SHORT | |
| 1 | TUESDAY | 17,340,180 | 1,394,030 | | | 2,293,500 | 2,110,500 | 531,930 | | 144,060 | 137,570 | 0 | |
| 2 | WEDNESDAY | 12,510,000 | 1,002,580 | | | 1,536,310 | 1,691,370 | 411,560 | | 149,640 | 100,180 | 0 | |
| 3 | THURSDAY | 13,342,810 | 816,420 | | | 1,435,760 | 1,451,590 | 301,830 | | 87,560 | 109,460 | 0 | |
| 4 | FRIDAY | 16,112,250 | 1,348,140 | | | 2,037,040 | 2,000,020 | 478,130 | | 115,590 | 115,600 | 0 | |
| 7 | MONDAY | 15,162,410 | 1,436,530 | | | 1,985,840 | 2,371,810 | 625,830 | | 166,950 | 76,860 | 0 | |
| 8 | TUESDAY | 15,622,590 | 1,798,100 | | | 1,844,230 | 2,222,560 | 683,700 | | 157,710 | 90,580 | 0 | |
| 9 | WEDNESDAY | 19,052,610 | 2,294,460 | | | 2,739,290 | 2,868,750 | 917,220 | | 173,130 | 71,020 | 0 | |
| 10 | THURSDAY | 26,683,050 | 3,923,730 | | | 3,475,100 | 4,636,960 | 1,962,960 | | 203,000 | 104,610 | 0 | |
| 11 | FRIDAY | 20,279,590 | 2,653,090 | | | 2,907,280 | 2,914,230 | 1,242,960 | | 197,960 | 88,250 | 0 | |
| 14 | MONDAY | 20,029,200 | 2,638,890 | | | 2,584,610 | 2,924,590 | 1,257,760 | | 202,050 | 85,230 | 0 | |
| 15 | TUESDAY | 17,513,700 | 2,002,320 | | | 2,503,950 | 2,336,220 | 917,530 | | 141,650 | 119,070 | 0 | |
| 16 | WEDNESDAY | 14,849,280 | 1,529,340 | | | 2,169,690 | 1,883,590 | 691,140 | | 99,120 | 110,520 | 0 | |
| 17 | THURSDAY | 14,661,840 | 1,559,800 | | | 2,081,250 | 2,067,850 | 691,730 | | 98,040 | 95,440 | 0 | |
| 18 | FRIDAY | 16,595,860 | 2,038,980 | | | 2,323,940 | 2,388,650 | 952,150 | | 95,920 | 109,020 | 0 | |
| 21 | MONDAY | 14,676,290 | 1,636,950 | | | 2,122,110 | 1,836,420 | 670,910 | | 108,860 | 95,500 | 0 | |
| 22 | TUESDAY | 19,118,500 | 2,036,730 | | | 2,499,740 | 2,600,100 | 901,560 | | 92,270 | 169,630 | 0 | |
| 23 | WEDNESDAY | 14,267,260 | 1,091,080 | | | 2,299,440 | 1,845,280 | 513,030 | | 76,920 | 130,150 | 0 | |
| 24 | THURSDAY | 15,099,330 | 1,215,510 | | | 2,289,950 | 1,941,740 | 489,230 | | 74,930 | 157,470 | 0 | |
| 25 | FRIDAY | 12,753,600 | 1,337,210 | | | 1,628,800 | 1,915,150 | 601,640 | | 66,720 | 137,410 | 0 | |
| 28 | MONDAY | 10,659,410 | 1,002,930 | | | 1,432,270 | 1,362,070 | 407,380 | | 58,640 | 98,560 | 0 | |
| 29 | TUESDAY | 15,737,520 | 1,826,200 | | | 2,248,580 | 2,313,440 | 761,490 | | 77,800 | 129,460 | 0 | |
| 30 | WEDNESDAY | 20,309,510 | 2,291,730 | | | 2,707,550 | 2,911,370 | 1,123,070 | | 52,340 | 196,450 | 0 | |
| 31 | THURSDAY | 19,052,100 | 1,869,080 | | | 2,491,500 | 2,468,740 | 897,050 | | 48,030 | 199,080 | 0 | |
| TOTALS | | 381,428,890 | 40,743,830 | | | 51,637,730 | 53,062,800 | 18,031,790 | | 2,688,890 | 2,727,120 | 0 | |
| AVERAGES | | 16,583,858 | 1,771,470 | | | 2,245,116 | 2,307,078 | 783,990 | | 116,908 | 118,570 | 0 | |

(CONTINUED)

ROUND-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE
(IN SHARES AND WARRANTS)

OCTOBER, 1974

| DATE | DAY | OTHER MEMBER SHARE VOLUME INITIATED ON THE FLOOR | | | | OTHER MEMBER SHARE VOLUME INITIATED OFF THE FLOOR | | | | SHARE VOLUME FOR ACCOUNTS OF NON-MEMBERS | | | |
|----------|-----------|---|-----------|-----------|-----------|--|------------|-----------|-------------|---|------------|-------|-------|
| | | PURCHASES | | SALES | | PURCHASES | | SALES | | PURCHASES | | SALES | |
| | | TOTAL | SHORT | TOTAL | SHORT | TOTAL | SHORT | TOTAL | SHORT | TOTAL | SHORT | TOTAL | SHORT |
| 1 | TUESDAY | 228,600 | 157,600 | 157,600 | 15,200 | 2,058,200 | 1,305,173 | 242,100 | 12,615,820 | 13,629,337 | 604,800 | | |
| 2 | WEDNESDAY | 159,700 | 172,300 | 172,300 | 33,300 | 1,114,272 | 1,191,590 | 225,350 | 9,550,078 | 9,354,560 | 332,370 | | |
| 3 | THURSDAY | 112,100 | 105,500 | 105,500 | 11,200 | 1,330,314 | 1,252,765 | 205,120 | 10,377,076 | 10,423,495 | 298,270 | | |
| 4 | FRIDAY | 138,500 | 136,000 | 136,000 | 24,500 | 1,332,495 | 1,617,648 | 313,710 | 12,488,625 | 12,242,982 | 531,800 | | |
| 7 | MONDAY | 137,600 | 154,500 | 154,500 | 32,100 | 1,500,760 | 1,379,081 | 307,700 | 11,371,260 | 11,180,159 | 470,900 | | |
| 8 | TUESDAY | 79,500 | 184,800 | 184,800 | 70,800 | 1,228,632 | 1,555,660 | 431,510 | 12,312,518 | 11,569,190 | 612,090 | | |
| 9 | WEDNESDAY | 243,900 | 183,000 | 183,000 | 50,500 | 2,152,357 | 1,974,497 | 536,130 | 13,743,933 | 13,955,343 | 790,610 | | |
| 10 | THURSDAY | 132,200 | 401,100 | 401,100 | 232,300 | 2,526,145 | 2,772,930 | 860,370 | 20,346,605 | 18,767,450 | 868,100 | | |
| 11 | FRIDAY | 200,300 | 163,300 | 163,300 | 66,300 | 2,003,860 | 1,937,436 | 630,680 | 14,970,190 | 15,176,374 | 713,150 | | |
| 14 | MONDAY | 128,800 | 256,200 | 256,200 | 172,800 | 1,642,183 | 1,728,359 | 544,350 | 15,471,557 | 15,034,821 | 663,980 | | |
| 15 | TUESDAY | 158,600 | 172,800 | 172,800 | 119,300 | 1,540,588 | 1,410,120 | 429,450 | 13,168,912 | 13,475,490 | 536,040 | | |
| 16 | WEDNESDAY | 181,000 | 100,000 | 100,000 | 46,800 | 1,374,948 | 1,213,657 | 283,200 | 11,024,522 | 11,541,513 | 508,200 | | |
| 17 | THURSDAY | 121,300 | 78,900 | 78,900 | 24,400 | 1,466,709 | 1,429,255 | 407,400 | 10,894,541 | 10,990,395 | 436,270 | | |
| 18 | FRIDAY | 102,500 | 109,100 | 109,100 | 66,000 | 1,460,574 | 1,313,262 | 397,330 | 12,612,926 | 12,675,828 | 623,530 | | |
| 21 | MONDAY | 144,100 | 96,800 | 96,800 | 52,100 | 1,653,776 | 1,359,957 | 477,350 | 10,647,444 | 11,287,613 | 436,590 | | |
| 22 | TUESDAY | 149,100 | 186,800 | 186,800 | 107,300 | 1,663,985 | 2,019,452 | 528,800 | 14,713,405 | 14,142,518 | 499,070 | | |
| 23 | WEDNESDAY | 180,600 | 117,100 | 117,100 | 44,900 | 1,306,723 | 1,42,212 | 185,050 | 10,403,577 | 11,032,518 | 348,100 | | |
| 24 | THURSDAY | 161,400 | 116,300 | 116,300 | 25,400 | 1,323,271 | 1,141,059 | 213,600 | 11,249,779 | 11,742,761 | 487,280 | | |
| 25 | FRIDAY | 81,300 | 137,600 | 137,600 | 51,300 | 955,496 | 1,165,751 | 285,240 | 10,521,284 | 9,397,689 | 399,030 | | |
| 28 | MONDAY | 106,700 | 88,100 | 88,100 | 33,500 | 890,535 | 806,512 | 198,500 | 8,171,265 | 8,304,168 | 363,550 | | |
| 29 | TUESDAY | 224,800 | 143,600 | 143,600 | 56,300 | 1,419,059 | 1,522,130 | 505,720 | 11,767,281 | 11,628,890 | 502,690 | | |
| 30 | WEDNESDAY | 151,300 | 196,600 | 196,600 | 98,600 | 1,624,633 | 1,804,622 | 526,800 | 15,773,687 | 15,200,468 | 543,260 | | |
| 31 | THURSDAY | 128,300 | 159,300 | 159,300 | 76,500 | 1,809,966 | 1,462,758 | 421,600 | 14,573,304 | 14,762,222 | 473,930 | | |
| TOTALS | | 3,452,200 | 3,617,300 | 3,617,300 | 1,511,400 | 35,379,481 | 34,505,886 | 9,157,060 | 288,270,589 | 287,515,784 | 12,043,580 | | |
| AVERAGES | | 150,096 | 157,274 | 157,274 | 65,713 | 1,538,237 | 1,500,255 | 398,133 | 12,533,492 | 12,500,680 | 523,634 | | |

ODD-LOT CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

| NAME OF STOCK | WEEK ENDED NOVEMBER 01, 1974 | | WEEK ENDED NOVEMBER 08, 1974 | | WEEK ENDED NOVEMBER 15, 1974 | | WEEK ENDED NOVEMBER 22, 1974 | |
|--------------------------------|---------------------------------|----------------|---------------------------------|----------------|---------------------------------|----------------|---------------------------------|----------------|
| | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** |
| ALLIED CHEMICAL CORPORATION | 1,395 | 1,074 | 1,955 | 1,744 | 1,418 | 1,358 | 639 | 1,963 |
| ALLIS CHALMERS CORP. | 164 | 701 | 334 | 868 | 335 | 706 | 351 | 684 |
| ALUMINUM CO. OF AMERICA | 1,107 | 1,117 | 886 | 1,009 | 667 | 1,889 | 1,024 | 1,742 |
| AMERICAN AIRLINES, INC. | 670 | 2,254 | 854 | 1,420 | 759 | 1,554 | 1,337 | 2,501 |
| AMERICAN BRANDS, INC. | 1,721 | 1,763 | 1,200 | 1,904 | 1,231 | 1,726 | 1,875 | 2,649 |
| AMERICAN CAN COMPANY | 1,194 | 2,080 | 1,166 | 2,080 | 986 | 2,602 | 715 | 2,343 |
| AMERICAN CYANAMID CO. | 1,720 | 4,038 | 2,063 | 2,491 | 1,563 | 3,675 | 2,670 | 2,695 |
| AMERICAN MOTORS CORP. | 3,288 | 2,059 | 1,202 | 1,811 | 2,168 | 1,739 | 1,902 | 2,877 |
| AMERICAN TEL. & TEL. CO. | 14,429 | 36,584 | 14,379 | 38,020 | 12,698 | 4,707 | 24,143 | 89,997 |
| AMF INCORPORATED | 2,761 | 791 | 1,591 | 1,352 | 1,553 | 1,732 | 1,605 | 1,615 |
| AMPEX CORPORATION | 690 | 587 | 253 | 688 | 48 | 988 | 310 | 938 |
| ANACONDA COMPANY | 1,109 | 1,147 | 980 | 1,632 | 612 | 1,383 | 1,000 | 1,933 |
| APECO CORPORATION | 131 | 189 | 42 | 716 | 222 | 607 | 205 | 1,060 |
| AVCO CORPORATION | 279 | 730 | 515 | 1,048 | 53 | 1,386 | 293 | 1,318 |
| AVNET, INC. | 100 | 794 | 189 | 532 | 262 | 838 | 526 | 770 |
| BETHLEHEM STEEL CORPORATION | 1,414 | 2,421 | 2,102 | 2,778 | 2,069 | 2,415 | 1,538 | 3,531 |
| BOEING COMPANY | 731 | 2,609 | 1,241 | 3,510 | 428 | 3,343 | 313 | 3,407 |
| BRUNSWICK CORPORATION | 591 | 445 | 1,124 | 1,299 | 881 | 1,026 | 305 | 1,306 |
| CHRYSLER CORPORATION | 2,911 | 6,207 | 2,813 | 4,805 | 1,789 | 5,126 | 1,339 | 5,906 |
| COLUMBIA GAS SYSTEM, INC. | 11,102 | 6,848 | 6,146 | 6,602 | 7,386 | 6,401 | 11,003 | 13,054 |
| COLUMBIA GAS SYSTEM, INC. | 662 | 1,961 | 780 | 2,733 | 819 | 2,329 | 1,117 | 2,784 |
| COMMONWEALTH OIL REFINING CO. | 927 | 1,254 | 799 | 1,157 | 248 | 1,616 | 89 | 1,282 |
| COMMUNICATIONS SATELLITE CORP. | 815 | 1,516 | 1,532 | 1,839 | 544 | 1,231 | 723 | 1,344 |
| CONSOLIDATED EDISON CO. OF N. | 2,816 | 6,253 | 3,290 | 8,334 | 3,111 | 10,298 | 1,898 | 9,176 |
| CONTINENTAL AIRLINES, INC. | 309 | 552 | 390 | 932 | 274 | 604 | 574 | 772 |
| CONTROL DATA CORPORATION | 1,480 | 2,771 | 4,309 | 3,784 | 2,885 | 3,099 | 1,631 | 2,653 |
| DART INDUSTRIES, INC. | 869 | 2,065 | 524 | 1,228 | 741 | 1,438 | 922 | 980 |
| DOM CHEMICAL COMPANY | 2,901 | 12,090 | 3,435 | 8,386 | 3,412 | 6,684 | 3,202 | 6,871 |
| DUPONT DE NEMOURS (E. I.) & C | 5,678 | 4,798 | 4,285 | 6,269 | 4,376 | 4,557 | 11,536 | 6,743 |
| EASTERN AIR LINES, INC. | 1,271 | 1,261 | 1,571 | 1,685 | 605 | 1,592 | 1,112 | 1,872 |
| EASTMAN KODAK COMPANY | 7,319 | 13,342 | 7,421 | 12,602 | 8,390 | 12,075 | 9,650 | 14,251 |
| ESMARK, INC. | 199 | 1,578 | 197 | 1,133 | 174 | 987 | 250 | 1,025 |
| EXXON CORPORATION | 8,459 | 13,881 | 8,442 | 13,161 | 7,582 | 14,150 | 10,544 | 15,688 |
| FAIRCHILD CAMERA & INSTRUMENT | 1,560 | 1,899 | 1,678 | 1,205 | 1,048 | 1,575 | 1,905 | 1,642 |
| FAIRCHILD INDUSTRIES, INC. | 82 | 217 | 183 | 216 | 79 | 568 | 226 | 187 |
| FORD MOTOR COMPANY | 12,154 | 8,060 | 6,620 | 6,893 | 4,208 | 7,215 | 5,457 | 8,630 |
| GAF CORPORATION | 498 | 1,105 | 836 | 951 | 1,010 | 1,434 | 622 | 1,612 |
| GENERAL DYNAMICS CORP. | 190 | 976 | 428 | 1,365 | 525 | 2,238 | 135 | 1,611 |
| GENERAL ELECTRIC COMPANY | 6,706 | 10,734 | 5,890 | 12,010 | 6,838 | 8,907 | 8,908 | 12,017 |
| GENERAL FOODS CORPORATION | 1,657 | 2,246 | 1,684 | 1,936 | 1,584 | 2,385 | 1,266 | 2,935 |
| GENERAL MOTORS CORPORATION | 26,609 | 33,036 | 24,169 | 32,271 | 14,663 | 37,443 | 29,726 | 47,606 |
| GEN'L TEL. & ELECTRONICS CORP | 6,254 | 5,866 | 5,255 | 6,429 | 4,407 | 6,128 | 11,438 | 7,693 |
| GOODYEAR TIRE & RUBBER CO. | 888 | 2,603 | 997 | 1,960 | 1,320 | 3,029 | 949 | 4,145 |
| GREAT WESTERN FINANCIAL CORP | 946 | 972 | 540 | 934 | 947 | 662 | 488 | 1,118 |
| GULF OIL CORPORATION | 8,659 | 6,465 | 9,461 | 6,598 | 8,426 | 7,230 | 8,513 | 9,135 |
| GULF & WESTERN INDUSTRIES | 426 | 1,156 | 643 | 1,282 | 562 | 1,623 | 596 | 1,744 |
| HIGH VOLTAGE ENGINEERING CO. | 136 | 137 | 80 | 189 | 120 | 587 | 25 | 210 |
| HONEYWELL, INC. | 6,322 | 5,003 | 5,648 | 3,941 | 4,805 | 5,300 | 6,912 | 6,038 |
| INT'L BUSINESS MACHINES CORP. | 21,856 | 39,201 | 26,823 | 46,975 | 17,342 | 33,346 | 22,555 | 40,233 |
| INTERNATIONAL HARVESTER CO. | 2,695 | 2,216 | 2,795 | 2,625 | 1,774 | 2,550 | 1,116 | 3,476 |

ODD-Lot CUSTOMERS PURCHASES AND SALES ON THE NEW YORK STOCK EXCHANGE
IN 100 SELECTED COMMON STOCKS

| NAME OF STOCK | WEEK ENDED | | WEEK ENDED | | WEEK ENDED | | WEEK ENDED | |
|-------------------------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|--------------------|----------------|
| | NOVEMBER 01, 1974 | | NOVEMBER 08, 1974 | | NOVEMBER 15, 1974 | | NOVEMBER 22, 1974 | |
| | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** | PURCHASES ***** | SALES ***** |
| INT'L NICKEL CO. OF CANADA | 282 | 1,241 | 793 | 1,495 | 618 | 811 | 658 | 1,435 |
| INTERNATIONAL PAPER COMPANY | 2,073 | 1,695 | 1,345 | 1,401 | 979 | 2,046 | 840 | 2,138 |
| INTL TEL AND TEL | 4,867 | 5,407 | 5,773 | 5,292 | 3,990 | 6,758 | 5,293 | 7,531 |
| ITEK CORPORATION | 456 | 830 | 317 | 963 | 552 | 1,393 | 350 | 1,156 |
| JOHNS-MANVILLE CORP. | 822 | 974 | 1,058 | 901 | 593 | 956 | 596 | 1,410 |
| LTV CORPORATION | 685 | 1,348 | 344 | 1,014 | 486 | 3,202 | 300 | 865 |
| LEAR SIEGLER, INC. | 140 | 2,019 | 371 | 1,567 | 262 | 736 | 169 | 1,297 |
| LITTON INDUSTRIES, INC. | 2,087 | 3,472 | 3,098 | 3,999 | 1,301 | 4,059 | 1,962 | 6,143 |
| MAGNAVOX COMPANY | 314 | 512 | 270 | 927 | 170 | 710 | 175 | 824 |
| MAPCOR, INC. | 6,445 | 8,760 | 2,754 | 9,282 | 2,659 | 10,491 | 2,265 | 7,439 |
| MARTIN-MARIETTA CORP. | 605 | 1,113 | 893 | 1,367 | 799 | 1,311 | 1,103 | 1,139 |
| MCDONNELL DOUGLAS CORP. | 794 | 3,921 | 568 | 4,512 | 678 | 5,353 | 609 | 4,155 |
| MINNESOTA MINING & MFG. CO. | 3,070 | 4,633 | 4,087 | 3,747 | 2,935 | 4,074 | 3,872 | 5,821 |
| MONSANTO COMPANY | 3,256 | 2,736 | 4,361 | 2,595 | 3,429 | 2,715 | 4,902 | 3,720 |
| MOTOROLA, INC. | 1,152 | 2,166 | 1,121 | 2,600 | 727 | 1,184 | 981 | 2,600 |
| OCCIDENTAL PETROLEUM CO. | 4,321 | 7,886 | 9,112 | 10,933 | 15,441 | 15,379 | 9,713 | 8,001 |
| OWENS-ILLINOIS, INC. | 308 | 1,155 | 201 | 1,495 | 160 | 1,295 | 135 | 2,184 |
| PAN AMERICAN WORLD AIRWAYS | 2,198 | 2,272 | 2,320 | 1,959 | 1,635 | 2,260 | 1,131 | 3,197 |
| PASCO INCORPORATED | 777 | 892 | 1,623 | 1,989 | 540 | 1,393 | 581 | 1,058 |
| PENN CENTRAL COMPANY | 240 | 1,816 | 445 | 1,776 | 87 | 2,016 | 591 | 2,659 |
| PHILLIPS PETROLEUM CO. | 2,245 | 5,212 | 2,861 | 4,792 | 1,743 | 4,152 | 1,408 | 4,192 |
| POLAROID CORPORATION | 9,099 | 6,154 | 10,011 | 9,864 | 7,433 | 8,319 | 7,085 | 9,765 |
| PROCTER & GAMBLE CO. | 2,982 | 5,332 | 1,675 | 4,903 | 1,256 | 5,367 | 3,169 | 5,369 |
| R. J. REYNOLDS INDUSTRIES, IN | 1,735 | 6,876 | 2,232 | 6,761 | 1,528 | 9,980 | 1,258 | 6,998 |
| RCA CORPORATION | 7,857 | 6,346 | 12,761 | 7,991 | 6,097 | 8,275 | 6,356 | 9,900 |
| RAYTHEON COMPANY | 313 | 790 | 482 | 836 | 257 | 409 | 325 | 936 |
| REICHHOLD CHEMICALS, INC. | 595 | 966 | 811 | 1,075 | 1,375 | 1,147 | 1,774 | 1,033 |
| ROCKWELL INTL. CORP. | 3,139 | 2,708 | 3,642 | 3,504 | 2,564 | 3,740 | 1,912 | 3,257 |
| SANTA FE INDUSTRIES | 731 | 2,309 | 1,706 | 2,303 | 799 | 2,513 | 1,297 | 2,072 |
| SCM CORPORATION | 476 | 1,725 | 958 | 1,633 | 852 | 1,726 | 772 | 1,689 |
| SEARS, ROEBUCK & CO. | 5,569 | 9,924 | 4,017 | 7,858 | 3,849 | 8,311 | 4,613 | 10,504 |
| SINGER COMPANY | 8,345 | 4,472 | 7,201 | 3,781 | 7,374 | 3,161 | 3,512 | 4,663 |
| SPERRY RAND CORPORATION | 685 | 1,678 | 942 | 1,719 | 1,012 | 1,597 | 826 | 2,011 |
| STANDARD OIL CO. OF CALIF. | 4,229 | 6,077 | 4,362 | 6,136 | 5,419 | 6,035 | 6,508 | 7,621 |
| TELEDYNE, INC. | 265 | 1,738 | 772 | 1,921 | 360 | 1,857 | 389 | 3,037 |
| TENNECO, INC. | 2,725 | 4,724 | 2,280 | 4,033 | 2,742 | 4,007 | 2,445 | 5,449 |
| TEXACO INC. | 11,220 | 9,110 | 13,692 | 8,611 | 15,587 | 9,836 | 16,147 | 13,818 |
| TEXASGULF INC. | 1,227 | 2,163 | 566 | 1,419 | 791 | 835 | 496 | 1,648 |
| TEXAS INSTRUMENTS, INC. | 2,745 | 3,300 | 2,192 | 3,018 | 1,688 | 2,424 | 1,270 | 3,112 |
| THIokol CHEMICAL CORP. | 612 | 823 | 687 | 953 | 290 | 536 | 345 | 413 |
| TRANS WORLD AIRLINES, INC. | 734 | 991 | 491 | 908 | 313 | 829 | 721 | 1,386 |
| UNION CARBIDE CORP. | 2,710 | 15,799 | 3,740 | 6,235 | 2,943 | 4,182 | 2,158 | 4,957 |
| UNITED AIRCRAFT CORP. | 712 | 1,114 | 898 | 1,632 | 1,166 | 2,280 | 639 | 1,902 |
| UNITED NUCLEAR CORP. | 255 | 111 | 426 | 517 | 446 | 920 | 498 | 367 |
| U.S. STEEL CORPORATION | 2,269 | 6,643 | 2,336 | 5,934 | 3,244 | 4,432 | 1,935 | 4,794 |
| WARNER LAMBERT CO. | 1,599 | 5,185 | 1,008 | 4,447 | 2,015 | 3,534 | 1,455 | 4,257 |
| WESTERN UNION CORPORATION | 1,798 | 1,616 | 1,785 | 2,377 | 1,347 | 1,785 | 1,095 | 1,934 |
| WESTINGHOUSE ELECTRIC CORP. | 13,467 | 6,848 | 9,471 | 6,429 | 8,901 | 3,730 | 10,929 | 8,485 |
| FW WOLWORTH COMPANY | 1,789 | 2,147 | 1,535 | 2,862 | 1,375 | 3,374 | 1,860 | 3,162 |
| XEROX CORPORATION | 8,121 | 10,340 | 11,518 | 9,899 | 7,788 | 9,722 | 12,251 | 20,812 |
| TOTALS *** | 314,000 | 447,691 | 320,209 | 451,097 | 270,492 | 452,478 | 330,952 | 571,877 |

ODD-LOT SHARE VOLUME ON THE NEW YORK STOCK EXCHANGE

OCTOBER, 1974

| DATE | DAY | PURCHASES BY CUSTOMERS FROM THE SOLE ODD-LOT DEALER | | | | SALES BY CUSTOMERS TO THE SOLE ODD-LOT DEALER | | | | SHORT SALES BY CUSTOMERS | | |
|----------|-----------|--|-----------------|------------------|-----------------|--|------------------|-----------------|-----------------|-----------------------------|-----------------|-----------------|
| | | SHARE VOLUME | MARKET VALUE | AVERAGE PRICE | SHARE VOLUME | MARKET VALUE | AVERAGE PRICE | SHARE VOLUME | MARKET VALUE | AVERAGE PRICE | SHARE VOLUME | MARKET VALUE |
| 1 | TUESDAY | 311,003 | \$ 7,951,430 | \$ 25.57 | 335,686 | \$ 9,513,093 | \$ 28.34 | 10,678 | \$ 527,434 | \$ 49.39 | | |
| 2 | WEDNESDAY | 304,957 | 7,434,388 | 24.38 | 247,717 | 6,628,378 | 26.76 | 6,192 | 328,097 | 52.99 | | |
| 3 | THURSDAY | 258,961 | 6,665,634 | 25.74 | 259,783 | 6,717,044 | 25.86 | 7,544 | 353,346 | 46.84 | | |
| 4 | FRIDAY | 293,937 | 7,728,392 | 26.29 | 295,443 | 8,025,904 | 27.17 | 8,479 | 395,187 | 46.61 | | |
| 7 | MONDAY | 342,506 | 8,786,117 | 25.65 | 272,523 | 7,205,706 | 26.44 | 5,384 | 269,640 | 50.08 | | |
| 8 | TUESDAY | 363,935 | 9,532,948 | 26.19 | 261,696 | 7,138,707 | 27.28 | 10,971 | 491,277 | 44.78 | | |
| 9 | WEDNESDAY | 308,500 | 8,437,520 | 27.34 | 245,615 | 7,063,505 | 28.76 | 12,410 | 689,008 | 55.52 | | |
| 10 | THURSDAY | 456,070 | 13,540,624 | 29.69 | 317,181 | 9,949,075 | 31.37 | 11,956 | 678,860 | 56.78 | | |
| 11 | FRIDAY | 383,551 | 11,271,589 | 29.39 | 293,298 | 8,545,842 | 29.14 | 9,198 | 526,640 | 57.26 | | |
| 14 | MONDAY | 396,765 | 11,754,422 | 29.63 | 262,089 | 7,776,438 | 29.67 | 9,102 | 475,653 | 52.26 | | |
| 15 | TUESDAY | 350,847 | 10,095,376 | 28.77 | 325,287 | 9,903,465 | 30.45 | 8,777 | 464,298 | 52.90 | | |
| 16 | WEDNESDAY | 245,542 | 6,819,066 | 27.77 | 262,402 | 7,956,438 | 30.32 | 7,266 | 440,044 | 60.56 | | |
| 17 | THURSDAY | 226,984 | 6,848,819 | 30.17 | 244,206 | 7,354,714 | 30.12 | 8,849 | 507,488 | 57.35 | | |
| 18 | FRIDAY | 249,873 | 8,260,926 | 33.06 | 258,618 | 8,056,537 | 31.15 | 6,821 | 436,392 | 63.98 | | |
| 21 | MONDAY | 254,068 | 7,710,711 | 30.35 | 274,526 | 8,358,401 | 30.45 | 4,744 | 260,829 | 54.98 | | |
| 22 | TUESDAY | 277,430 | 8,714,590 | 31.41 | 319,944 | 10,309,124 | 32.22 | 8,030 | 432,671 | 53.88 | | |
| 23 | WEDNESDAY | 219,614 | 6,078,436 | 27.68 | 272,548 | 8,510,903 | 31.23 | 7,139 | 378,502 | 53.02 | | |
| 24 | THURSDAY | 217,078 | 6,084,738 | 28.03 | 312,658 | 9,998,033 | 31.98 | 8,049 | 408,466 | 50.75 | | |
| 25 | FRIDAY | 256,684 | 7,571,259 | 29.50 | 192,536 | 5,768,747 | 29.96 | 5,131 | 287,161 | 55.97 | | |
| 28 | MONDAY | 184,781 | 5,035,425 | 27.25 | 231,637 | 6,592,147 | 28.46 | 4,553 | 249,632 | 54.83 | | |
| 29 | TUESDAY | 194,051 | 6,035,549 | 31.10 | 278,437 | 8,297,814 | 29.80 | 3,874 | 212,200 | 54.78 | | |
| 30 | WEDNESDAY | 230,495 | 7,550,556 | 32.76 | 353,530 | 12,542,366 | 35.48 | 7,450 | 475,558 | 63.83 | | |
| 31 | THURSDAY | 208,059 | 6,340,677 | 30.48 | 335,784 | 11,030,275 | 32.85 | 6,755 | 344,791 | 51.04 | | |
| TOTALS | | 6,535,691 | \$186,269,192 | | 6,453,144 | \$193,242,656 | | 179,352 | \$ 9,633,174 | | | |
| AVERAGES | | 284,160 | \$ 8,098,660 | \$ 28.50 | 280,571 | \$ 8,401,854 | \$ 29.95 | 7,798 | \$ 418,834 | \$ 53.71 | | |

STOCK MARKET INDICATORS COVERING THE MONTH OF NOVEMBER, 1974
(DAILY INDEXES ARE AT CLOSE)

NUMBER OF TRADING DAYS THIS MONTH = 20

| DATE | DAY | STANDARD & POOR | | | | NEW YORK STOCK EXCHANGE | | | | AMERICAN STOCK EXCHANGE | | | | OVER THE COUNTER | | | |
|------------------|-----------|-----------------|--------|-------|-------|-------------------------|-----------|--------------------|--------------------|-------------------------|-------|--------------------|--------|------------------|------|--------------------|--|
| | | 500 | 425 | COMP. | IND. | N.Y.S.E. | DOW JONES | SHARE VOLUME (000) | MARKET VALUE INDEX | SHARE VOLUME (000) | INDEX | MARKET VALUE INDEX | COMP. | NASDAQ | IND. | SHARE VOLUME (000) | |
| 1 | FRIDAY | 73.88 | 82.71 | 38.94 | 42.44 | 41.95 | 665.28 | 13,470 | 69.78 | 1,670 | | | 65.06 | 63.67 | | 4,708 | |
| 4 | MONDAY | 73.38 | 81.77 | 38.53 | 41.95 | 41.95 | 657.23 | 12,740 | 69.18 | 1,530 | | | 64.62 | 63.16 | | 4,145 | |
| 5 | TUESDAY | 75.11 | 84.14 | 35.54 | 43.17 | 43.17 | 674.75 | 15,960 | 69.78 | 2,090 | | | 65.99 | 64.50 | | 5,044 | |
| 6 | WEDNESDAY | 74.75 | 83.68 | 39.46 | 42.97 | 42.97 | 669.12 | 23,930 | 69.83 | 2,670 | | | 66.11 | 64.15 | | 6,587 | |
| 7 | THURSDAY | 75.21 | 84.14 | 39.74 | 43.28 | 43.28 | 671.93 | 17,150 | 69.85 | 1,990 | | | 66.64 | 64.83 | | 5,135 | |
| 8 | FRIDAY | 74.91 | 83.77 | 39.63 | 43.12 | 43.12 | 667.16 | 15,890 | 69.80 | 1,950 | | | 66.51 | 64.27 | | 4,984 | |
| 11 | MONDAY | 75.15 | 84.66 | 39.77 | 43.28 | 43.28 | 672.64 | 13,220 | 69.85 | 1,960 | | | 66.72 | 64.31 | | 3,847 | |
| 12 | TUESDAY | 73.67 | 82.36 | 39.00 | 42.34 | 42.34 | 659.18 | 15,040 | 68.55 | 2,210 | | | 65.36 | 62.80 | | 5,764 | |
| 13 | WEDNESDAY | 73.35 | 82.00 | 38.82 | 42.16 | 42.16 | 659.18 | 16,040 | 68.22 | 2,070 | | | 64.98 | 62.38 | | 4,796 | |
| 14 | THURSDAY | 73.36 | 81.64 | 38.77 | 41.98 | 41.98 | 658.40 | 13,540 | 68.14 | 1,760 | | | 65.04 | 62.32 | | 4,427 | |
| 15 | FRIDAY | 71.91 | 80.31 | 38.14 | 41.26 | 41.26 | 647.61 | 12,480 | 68.76 | 1,790 | | | 64.41 | 61.68 | | 4,256 | |
| 16 | MONDAY | 65.27 | 77.28 | 36.76 | 39.68 | 39.68 | 624.92 | 15,230 | 67.03 | 2,150 | | | 62.09 | 59.34 | | 4,902 | |
| 19 | TUESDAY | 68.23 | 76.66 | 36.19 | 39.02 | 39.02 | 614.35 | 15,720 | 64.55 | 1,880 | | | 60.93 | 57.97 | | 4,805 | |
| 20 | WEDNESDAY | 67.90 | 75.77 | 36.03 | 38.87 | 38.87 | 609.59 | 12,430 | 64.14 | 1,490 | | | 60.75 | 57.71 | | 4,500 | |
| 21 | THURSDAY | 68.18 | 76.10 | 36.20 | 39.24 | 39.24 | 608.57 | 13,820 | 64.09 | 1,640 | | | 61.49 | 58.85 | | 4,137 | |
| 22 | FRIDAY | 68.90 | 76.93 | 36.59 | 39.63 | 39.63 | 615.30 | 12,020 | 63.83 | 1,620 | | | 62.07 | 59.41 | | 4,010 | |
| 25 | MONDAY | 68.83 | 76.85 | 36.53 | 39.64 | 39.64 | 611.94 | 11,330 | 63.31 | 1,360 | | | 61.68 | 59.33 | | 3,373 | |
| 26 | TUESDAY | 69.47 | 77.58 | 36.86 | 40.04 | 40.04 | 617.26 | 13,600 | 62.31 | 1,810 | | | 62.65 | 60.02 | | 4,702 | |
| 27 | WEDNESDAY | 69.94 | 78.12 | 37.11 | 40.30 | 40.30 | 619.29 | 14,810 | 63.66 | 1,780 | | | 62.80 | 60.30 | | 4,625 | |
| 28 | THURSDAY | 69.97 | 78.15 | 37.13 | 40.33 | 40.33 | 618.66 | 7,420 | 63.75 | 1,050 | | | 62.95 | 60.38 | | 2,383 | |
| 29 | FRIDAY | | | | | | | | | | | | | | | | |
| MONTHLY AVERAGES | | | | | | | | | | | | | | | | | |
| ***** | | | | | | | | | | | | | | | | | |
| 1974 | NOVEMBER | 71.74 | 80.17 | 37.98 | 41.23 | 41.23 | 641.60 | 14,289 | 66.92 | 1,823 | | | 63.94 | 61.57 | | 4,566 | |
| 1973 | NOVEMBER | 102.03 | 114.64 | 54.59 | 60.15 | 60.15 | 878.98 | 19,042 | 99.91 | 3,295 | | | 101.29 | 95.67 | | 6,741 | |
| 1973 | DECEMBER | 94.77 | 106.15 | 50.39 | 55.12 | 55.12 | 824.08 | 19,223 | 88.34 | 3,554 | | | 90.79 | 82.70 | | 6,587 | |
| 1974 | JANUARY | 96.11 | 107.18 | 51.39 | 55.77 | 55.77 | 857.24 | 16,506 | 95.32 | 2,784 | | | 94.21 | 85.57 | | 5,714 | |
| 1974 | FEBRUARY | 93.30 | 104.13 | 50.01 | 54.02 | 54.02 | 831.34 | 13,516 | 95.11 | 2,081 | | | 92.20 | 83.75 | | 5,097 | |
| 1974 | MARCH | 97.44 | 108.98 | 52.15 | 56.80 | 56.80 | 874.00 | 14,742 | 99.10 | 2,122 | | | 94.97 | 88.28 | | 5,403 | |
| 1974 | APRIL | 92.46 | 103.66 | 49.21 | 53.95 | 53.95 | 847.79 | 12,281 | 93.57 | 1,752 | | | 89.59 | 83.99 | | 4,502 | |
| 1974 | MAY | 85.67 | 101.17 | 47.39 | 52.53 | 52.53 | 830.25 | 12,506 | 84.71 | 1,724 | | | 83.40 | 80.29 | | 4,245 | |
| 1974 | JUNE | 89.79 | 101.62 | 47.14 | 52.63 | 52.63 | 831.43 | 12,263 | 82.88 | 1,560 | | | 81.00 | 79.01 | | 4,297 | |
| 1974 | JULY | 82.82 | 93.54 | 43.27 | 48.35 | 48.35 | 783.00 | 12,458 | 77.98 | 1,610 | | | 72.42 | 71.89 | | 4,234 | |
| 1974 | AUGUST | 106.48 | 85.51 | 39.86 | 44.18 | 44.18 | 729.30 | 12,730 | 74.97 | 1,425 | | | 66.58 | 66.70 | | 3,866 | |
| 1974 | SEPTEMBER | 68.07 | 76.54 | 35.69 | 38.29 | 38.29 | 651.28 | 13,998 | 65.70 | 1,741 | | | 58.64 | 58.36 | | 4,261 | |
| 1974 | OCTOBER | 69.44 | 77.57 | 36.62 | 39.81 | 39.81 | 638.62 | 16,395 | 66.78 | 1,880 | | | 60.56 | 59.48 | | 4,789 | |

SPECIAL BLOCK DISTRIBUTION OF SECURITIES ON UNITED STATES STOCK EXCHANGES

TOTAL NUMBER OF SPECIAL BLOCK DISTRIBUTIONS

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | YEAR |
|------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|------|
| 1960 | 13 | 9 | 12 | 8 | 7 | 13 | 11 | 8 | 8 | 12 | 7 | 7 | 115 |
| 1961 | 11 | 4 | 6 | 10 | 15 | 32 | 18 | 13 | 18 | 13 | 12 | 12 | 164 |
| 1962 | 10 | 12 | 9 | 6 | 6 | 2 | 8 | 10 | 9 | 9 | 9 | 12 | 102 |
| 1963 | 19 | 13 | 10 | 15 | 18 | 17 | 15 | 11 | 16 | 14 | 10 | 15 | 173 |
| 1964 | 26 | 16 | 15 | 18 | 12 | 16 | 12 | 12 | 9 | 13 | 19 | 10 | 178 |
| 1965 | 13 | 21 | 23 | 14 | 15 | 15 | 19 | 13 | 12 | 22 | 15 | 17 | 199 |
| 1966 | 16 | 12 | 10 | 25 | 15 | 21 | 28 | 11 | 9 | 5 | 11 | 16 | 179 |
| 1967 | 15 | 16 | 17 | 19 | 15 | 17 | 13 | 22 | 16 | 20 | 11 | 12 | 193 |
| 1968 | 21 | 9 | 18 | 13 | 11 | 27 | 22 | 15 | 22 | 23 | 12 | 17 | 210 |
| 1969 | 27 | 14 | 9 | 19 | 21 | 13 | 7 | 13 | 12 | 16 | 14 | 11 | 174 |
| 1970 | 7 | 12 | 11 | 9 | 4 | 8 | 3 | 3 | 11 | 15 | 12 | 12 | 107 |
| 1971 | 7 | 6 | 24 | 28 | 33 | 25 | 23 | 11 | 18 | 17 | 24 | 19 | 235 |
| 1972 | 13 | 17 | 23 | 25 | 35 | 34 | 10 | 23 | 20 | 24 | 17 | 15 | 256 |
| 1973 | 17 | 9 | 14 | 25 | 14 | 23 | 13 | 19 | 22 | 35 | 23 | 14 | 228 |
| 1974 | 11 | 10 | 7 | 12 | 8R | 3 | 3 | 5R | 1 | 4R | 1 | | |

TOTAL DOLLAR VALUE OF SPECIAL BLOCK DISTRIBUTIONS

(Millions)

| | JAN | FEB | MAR | APR | MAY | JUN | JUL | AUG | SEP | OCT | NOV | DEC | YEAR |
|------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|-------|---------|
| 1960 | 20.2 | 54.8 | 35.7 | 15.4 | 28.1 | 28.7 | 37.9 | 13.1 | 12.3 | 37.8 | 112.3 | 37.4 | 433.7 |
| 1961 | 46.6 | 9.9 | 24.0 | 62.2 | 64.7 | 395.0 | 75.2 | 91.4 | 66.9 | 39.7 | 36.7 | 73.6 | 985.9 |
| 1962 | 39.1 | 34.0 | 28.8 | 245.8 | 28.5 | 1.2 | 92.3 | 78.2 | 17.8 | 9.2 | 123.1 | 27.2 | 725.2 |
| 1963 | 50.6 | 55.6 | 36.9 | 41.9 | 220.7 | 45.8 | 49.8 | 29.3 | 51.8 | 46.1 | 327.8 | 66.2 | 1,022.5 |
| 1964 | 378.9 | 32.6 | 101.6 | 47.2 | 125.0 | 67.4 | 34.6 | 46.4 | 31.2 | 75.3 | 55.6 | 11.8 | 1,007.6 |
| 1965 | 63.5 | 124.9 | 414.2 | 87.9 | 52.3 | 396.9 | 122.8 | 101.6 | 78.2 | 99.0 | 80.0 | 68.1 | 1,689.4 |
| 1966 | 158.2 | 71.1 | 55.3 | 203.4 | 635.5 | 133.4 | 122.3 | 29.3 | 28.2 | 48.9 | 55.7 | 154.5 | 1,696.8 |
| 1967 | 52.3 | 63.4 | 237.0 | 86.5 | 108.1 | 178.0 | 60.5 | 129.2 | 84.0 | 165.0 | 22.8 | 72.3 | 1,259.3 |
| 1968 | 118.5 | 25.9 | 116.4 | 145.6 | 141.4 | 232.0 | 167.7 | 92.2 | 178.5 | 146.6 | 89.7 | 207.3 | 1,661.8 |
| 1969 | 177.3 | 79.3 | 101.8 | 78.9 | 134.0 | 177.9 | 32.8 | 52.7 | 55.6 | 111.6 | 208.3 | 84.6 | 1,294.8 |
| 1970 | 45.0 | 41.8 | 71.8 | 35.1 | 13.4 | 22.0 | 8.8 | 5.6 | 53.0 | 91.3 | 82.1 | 77.5 | 547.4 |
| 1971 | 76.6 | 24.5 | 168.6 | 277.5 | 468.0 | 167.4 | 186.9 | 101.8 | 98.4 | 78.4 | 219.8 | 168.2 | 2,036.1 |
| 1972 | 73.6 | 77.8 | 468.1 | 570.4 | 546.6 | 242.9 | 230.9 | 208.1 | 222.2 | 199.1 | 120.5 | 286.4 | 3,246.8 |
| 1973 | 137.4 | 14.2 | 125.8 | 241.9 | 12.4 | 163.3 | 49.3 | 54.5 | 111.9 | 150.4 | 161.4 | 16.6 | 1,239.1 |
| 1974 | 8.6 | 23.4 | 30.6 | 51.4 | 9.8R | 2.0 | .7 | 3.3R | .2 | 3.1R | .1 | | |

SPECIAL BLOCK DISTRIBUTIONS RECEIVED DURING THE MONTH OF November, 1974

| Name of Security | Type of Security | Exchange | Type of Distribution | Type of Vendor | Distribution | | Number of Units | | Offering Price Per Share (dollars) | Value Of Shares Sold (dollars) |
|------------------------------------|------------------|----------|----------------------|----------------|--------------|-------|-------------------|---------|------------------------------------|--------------------------------|
| | | | | | Began | Ended | In Original Offer | Sold | | |
| National Kinney Corp. | CS | AMEX | SO | C | 11-13 | 11-14 | 126,600 | 24,500 | \$ 2.38 | 58,310 |
| Nevado Power Co. | CS | NYSE | S | I | 8-6 | 8-7 | 100,000 | 100,000 | 15.50 | 1,550,000 |
| Public Service Company of Colorado | CS | NYSE | SO | IC | 10-3 | 10-3 | 133,800 | 133,800 | 10.50 | 1,404,900 |
| Sierra Pacific Power | CS | NYSE | SO | C | 10-11 | 10-14 | 70,500 | 70,040 | 9.00 | 630,360 |
| Sola Basic | CS | NYSE | SO | BNK | 10-17 | 10-21 | 163,100 | 81,100 | 8.75 | 709,625 |

CS - Common Stock

S - Secondary

SO - Special Offering

IC - Insurance Company

BNK - Bank

I - Individual

C - Corporation

ESTIMATED GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS, BY INDUSTRY AND TYPE OF OFFERING

(CASH SALES IN MILLIONS OF DOLLARS)

| | CALENDAR YEARS 1972 | 1973 | LAST 12 MONTHS | SEP 1974 | AUG 1974 | SEP 1973 | AUG 1973 |
|--|------------------------|----------|-------------------|----------|----------|----------|----------|
| ALL OFFERINGS | \$40,571 | \$33,126 | \$37,949 | \$1,751 | \$2,610 | \$1,812 | \$1,800 |
| CORPORATE | 39,888 | 32,043 | 35,708 | 1,601 | 2,610 | 1,812 | 1,789 |
| FOREIGN GOVT | 683 | 1,083 | 2,241 | 150 | 0 | 0 | 11 |
| CORPORATE OFFERINGS, BY INDUSTRY | | | | | | | |
| MANUFACTURING | 6,481 | 4,837 | 7,813 | 183 | 594 | 316 | 267 |
| EXTRACTIVE | 1,860 | 1,061 | 1,152 | 39 | 48 | 59 | 141 |
| ELECTRIC, GAS AND WATER | 11,314 | 10,270 | 12,006 | 679 | 1,053 | 593 | 524 |
| TRANSPORTATION | 938 | 1,126 | 755 | 69 | 14 | 69 | 73 |
| COMMUNICATION | 4,835 | 4,902 | 5,045 | 342 | 364 | 243 | 325 |
| SALES AND CONSUMER FINANCE | 1,960 | 983 | 960 | 129 | 17 | 119 | 12 |
| OTHER FINANCE AND REAL ESTATE | 9,021 | 7,119 | 6,106 | 121 | 471 | 209 | 282 |
| COMMERCIAL AND OTHER | 3,479 | 1,744 | 1,870 | 38 | 49 | 203 | 165 |
| FOREIGN CORPORATE INCLD ABOVE | 607 | 525 | 457 | 24 | 11 | 16 | 14 |
| CORPORATE OFFERINGS, BY TYPE OF OFFERING | | | | | | | |
| PUBLIC ISSUES | 29,673 | 22,917 | 28,524 | 1,304 | 2,293 | 1,102 | 1,113 |
| REGISTERED 1933 ACT | 29,417 | 22,763 | 28,431 | 1,299 | 2,289 | 1,098 | 1,097 |
| REGULATION A | 256 | 154 | 93 | 5 | 4 | 3 | 16 |
| PRIVATE PLACEMENTS | 10,215 | 9,126 | 7,184 | 297 | 317 | 710 | 676 |

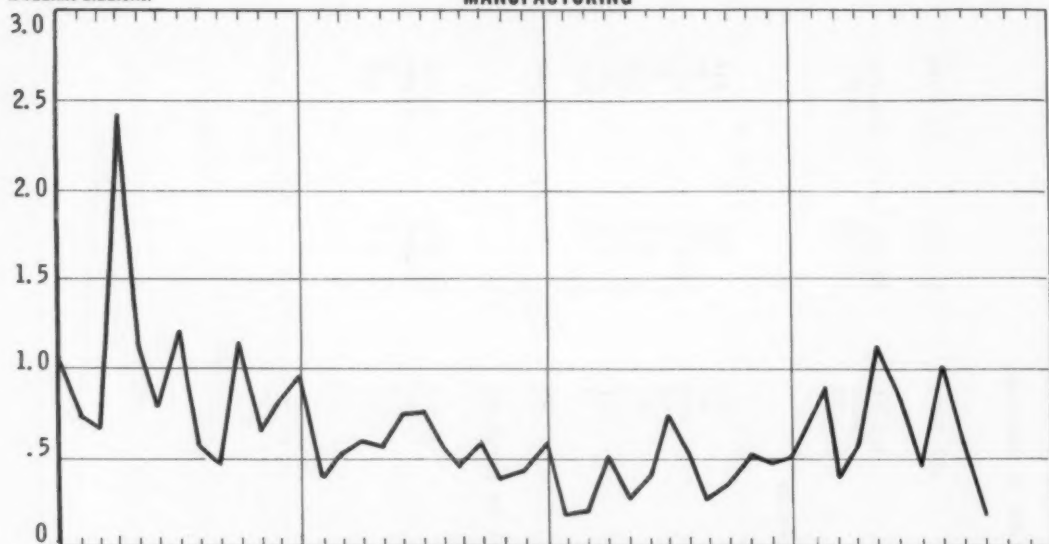
+ LESS THAN \$500,000

NOTE: SEE EXPLANATORY NOTES FOR OFFERINGS TABLES.

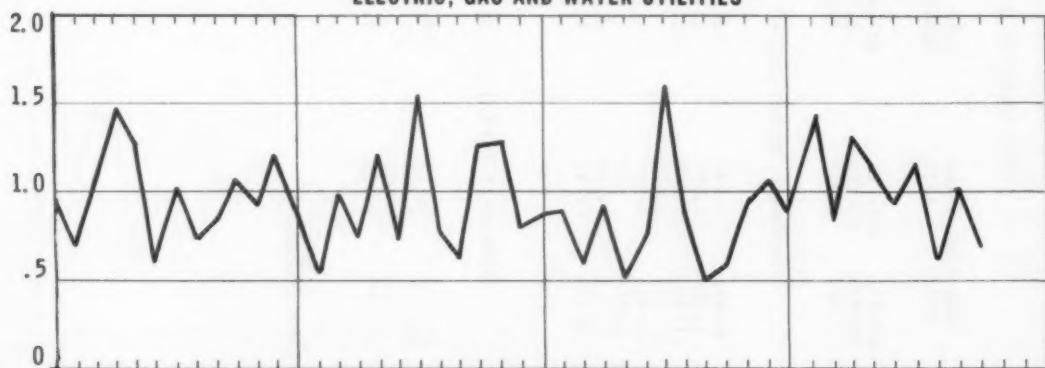
NEW CORPORATE SECURITIES OFFERED FOR CASH SALE BY INDUSTRY OF ISSUER
(1971 - 1974 by Months)

GROSS PROCEEDS
 (DOLLARS BILLIONS)

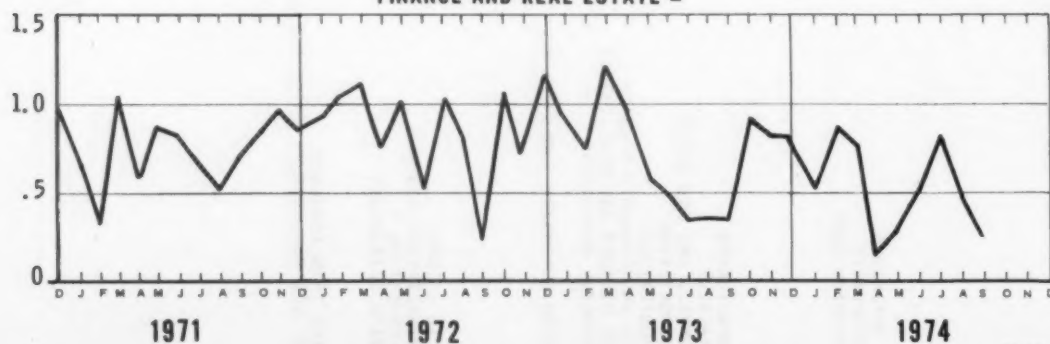
MANUFACTURING



ELECTRIC, GAS AND WATER UTILITIES

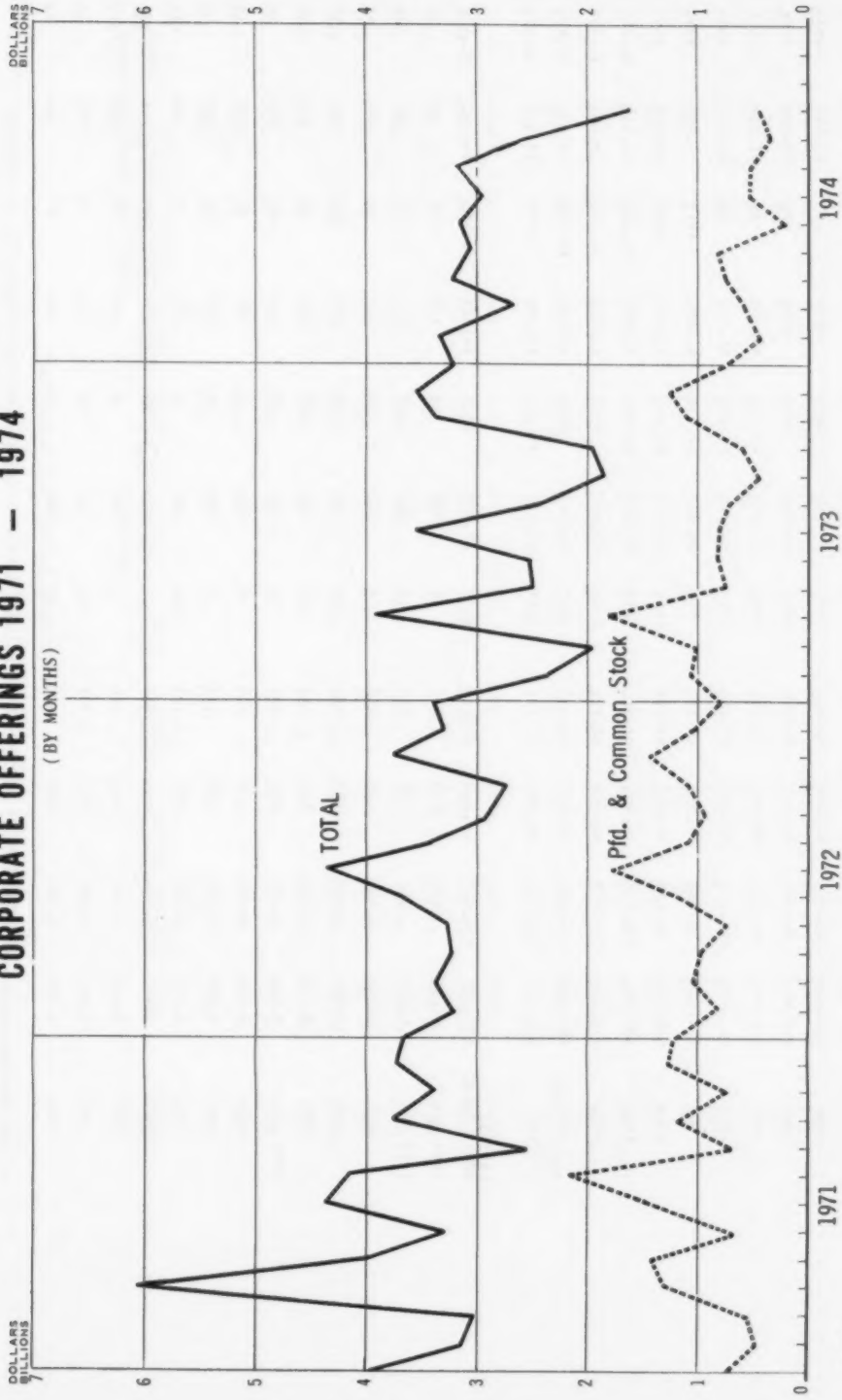


FINANCE AND REAL ESTATE ^{1/}



^{1/} Includes sales and consumer finance.

CORPORATE OFFERINGS 1971 — 1974



DS-4213

ESTIMATED GROSS PROCEEDS FROM CORPORATE NON-CONVERTIBLE BOND OFFERINGS, BY INDUSTRY
(CASH SALES IN MILLIONS OF DOLLARS)

| YEAR AND MONTH | TOTAL | PUBLICLY OFFERED | PRIVATELY PLACED | MANUFAC- TURING | EXTRAC- TIVE | ELECTRIC GAS AND WATER | TRANS- PORTATION | COMMU- NICATION | SALES AND FINANCIAL CONSUMER AND REAL FINANCE ESTATE | COMMER- CIAL AND OTHER | |
|------------------------|----------|---------------------|---------------------|--------------------|-----------------|------------------------------|---------------------|--------------------|--|------------------------------|---------|
| 1964 | \$10,439 | \$3,257 | \$7,084 | \$2,612 | \$271 | \$2,140 | \$865 | \$658 | \$0 | \$3,314 | \$579 |
| 1965 | \$12,456 | \$4,389 | \$8,067 | \$4,166 | \$235 | \$2,253 | \$825 | \$805 | \$0 | \$3,407 | \$765 |
| 1966 | \$13,695 | \$6,262 | \$7,434 | \$4,765 | \$252 | \$3,035 | \$1,357 | \$1,752 | \$0 | \$1,720 | \$814 |
| 1967 | \$17,470 | \$10,883 | \$6,587 | \$7,160 | \$283 | \$4,172 | \$1,331 | \$1,717 | \$0 | \$2,170 | \$637 |
| 1968 | \$14,103 | \$8,068 | \$6,032 | \$4,315 | \$58 | \$4,337 | \$1,528 | \$1,571 | \$612 | \$950 | \$732 |
| 1969 | \$14,310 | \$9,636 | \$4,671 | \$2,792 | \$181 | \$5,387 | \$1,448 | \$1,891 | \$881 | \$1,079 | \$651 |
| 1970 | \$27,658 | \$23,121 | \$4,540 | \$8,312 | \$159 | \$7,893 | \$2,021 | \$4,926 | \$1,004 | \$2,142 | \$1,201 |
| 1971 | \$26,525 | \$19,777 | \$6,749 | \$8,113 | \$193 | \$7,494 | \$885 | \$4,216 | \$1,436 | \$3,051 | \$1,137 |
| 1972 | \$23,633 | \$14,940 | \$8,693 | \$4,143 | \$307 | \$6,349 | \$746 | \$3,579 | \$1,955 | \$5,031 | \$1,522 |
| 1973 | \$20,445 | \$12,404 | \$8,041 | \$4,132 | \$225 | \$5,579 | \$1,023 | \$3,496 | \$972 | \$3,989 | \$1,028 |
| LAST 12 MONTH TOTAL | \$27,322 | \$20,903 | \$6,419 | \$7,114 | \$329 | \$7,753 | \$728 | \$4,327 | \$950 | \$4,952 | \$1,168 |
| 1974 SEP | 1,186 | 897 | 289 | 181 | 4 | 384 | 65 | 324 | 129 | 73 | 27 |
| 1973 SEP | 1,299 | 651 | 648 | 238 | 18 | 341 | 67 | 225 | 119 | 129 | 161 |
| OCT | 1,944 | 1,493 | 451 | 455 | 18 | 342 | 24 | 633 | 22 | 409 | 42 |
| NOV | 2,068 | 1,542 | 527 | 375 | 21 | 584 | 139 | 293 | 227 | 388 | 40 |
| DEC | 2,411 | 1,518 | 893 | 484 | 51 | 569 | 199 | 350 | 21 | 645 | 91 |
| 1974 JAN | 2,906 | 2,113 | 794 | 865 | 49 | 1,192 | 89 | 142 | 188 | 297 | 86 |
| FEB | 2,100 | 1,681 | 419 | 352 | 39 | 536 | 5 | 372 | 82 | 699 | 16 |
| MAR | 2,405 | 1,970 | 435 | 427 | 4 | 850 | 76 | 310 | 151 | 540 | 48 |
| APR | 2,253 | 1,593 | 660 | 1,184 | 101 | 446 | 6 | 289 | 7 | 86 | 133 |
| MAY | 2,942 | 2,343 | 599 | 845 | 3 | 837 | 44 | 657 | 99 | 133 | 325 |
| JUN | 2,442 | 1,929 | 513 | 415 | 7 | 859 | 5 | 355 | 8 | 483 | 310 |
| JUL | 2,484 | 1,886 | 597 | 1,041 | 24 | 318 | 62 | 240 | 0 | 772 | 26 |
| AUG | 2,180 | 1,938 | 241 | 490 | 10 | 836 | 14 | 364 | 17 | 424 | 25 |

* LESS THAN \$500,000

NOTE: SEE EXPLANATORY NOTES FOR OFFERINGS TABLES.

ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS, BY INDUSTRY
(CASH SALES IN MILLIONS OF DOLLARS)

| YEAR AND MONTH | TOTAL | PUBLICLY OFFERED | PRIVATELY PLACED | MANUFAC- TURING | EXTRAC- TIVE | ELECTRIC GAS AND WATER | TRANS- PORTATION | COMMU- NICATION | SALES AND FINANCIAL CONSUMER AND REAL FINANCE ESTATE | COMMER- CIAL AND OTHER | |
|------------------------|---------|---------------------|---------------------|--------------------|-----------------|------------------------------|---------------------|--------------------|--|------------------------------|-------|
| 1964 | \$425 | \$366 | \$59 | \$207 | \$18 | \$0 | \$79 | \$10 | \$0 | \$77 | \$34 |
| 1965 | \$1,263 | \$1,181 | \$83 | \$546 | \$7 | \$79 | \$129 | \$3 | \$0 | \$355 | \$144 |
| 1966 | \$1,865 | \$1,756 | \$109 | \$1,097 | \$5 | \$81 | \$498 | \$62 | \$0 | \$28 | \$94 |
| 1967 | \$4,453 | \$4,108 | \$367 | \$2,704 | \$123 | \$45 | \$619 | \$69 | \$0 | \$77 | \$816 |
| 1968 | \$3,281 | \$2,663 | \$619 | \$1,353 | \$148 | \$71 | \$231 | \$153 | \$28 | \$570 | \$727 |
| 1969 | \$4,040 | \$3,099 | \$942 | \$1,659 | \$195 | \$23 | \$451 | \$73 | \$6 | \$773 | \$860 |
| 1970 | \$2,654 | \$2,264 | \$392 | \$880 | \$152 | \$123 | \$191 | \$127 | \$27 | \$702 | \$452 |
| 1971 | \$3,678 | \$3,502 | \$176 | \$1,424 | \$6 | \$58 | \$14 | \$3 | \$1 | \$1,384 | \$788 |
| 1972 | \$2,192 | \$1,982 | \$210 | \$538 | \$301 | \$0 | \$41 | \$131 | \$0 | \$782 | \$400 |
| 1973 | \$567 | \$495 | \$73 | \$67 | \$4 | + | \$76 | \$23 | \$0 | \$391 | \$6 |
| LAST 12 MONTH TOTAL | \$695 | \$658 | \$37 | \$189 | \$3 | \$0 | \$0 | \$3 | \$0 | \$294 | \$206 |
| 1974 SEP | + | + | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | + |
| 1973 SEP | 3 | 2 | + | + | 0 | 0 | 0 | 2 | 0 | 0 | 0 |
| OCT | 258 | 250 | 8 | 8 | 0 | 0 | 0 | 0 | 0 | 250 | 0 |
| NOV | 32 | 32 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 32 | 0 |
| DEC | + | 0 | + | + | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1974 JAN | 2 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| FEB | 4 | 2 | 2 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 0 |
| MAR | 52 | 50 | 2 | 52 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| APR | 12 | 1 | 11 | 9 | 3 | 0 | 0 | 0 | 0 | 0 | 1 |
| MAY | 15 | 7 | 8 | 2 | 0 | 0 | 0 | 3 | 0 | 7 | 4 |
| JUN | 12 | 10 | 2 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| JUL | 205 | 200 | 5 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 201 |
| AUG | 104 | 104 | 0 | 100 | 0 | 0 | 0 | 0 | 0 | 4 | 0 |

† LESS THAN \$500,000
NOTE: SEE EXPLANATORY NOTES FOR OFFERINGS TABLES.

ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK OFFERINGS, BY INDUSTRY
(CASH SALES IN MILLIONS OF DOLLARS)

| YEAR AND MONTH | TOTAL | PUBLICLY OFFERED | PRIVATELY PLACED | MANUFAC- TURING | EXTRAC- TIVE | ELECTRIC GAS AND WATER | TRANS- PORTATION | COMMU- NICATION | SALES AND FINANCIAL CONSUMER AND REAL FINANCE ESTATE | COMMER- CIAL AND OTHER |
|------------------------|---------|---------------------|---------------------|--------------------|-----------------|------------------------------|---------------------|--------------------|--|------------------------------|
| 1964 | \$412 | \$180 | \$232 | \$42 | \$5 | \$320 | \$0 | \$6 | \$0 | \$13 |
| 1965 | \$725 | \$361 | \$364 | \$112 | \$0 | \$466 | \$0 | \$47 | \$0 | \$21 |
| 1966 | \$574 | \$436 | \$136 | \$74 | \$11 | \$389 | \$11 | \$53 | \$0 | \$18 |
| 1967 | \$885 | \$785 | \$99 | \$231 | \$7 | \$537 | \$10 | \$70 | \$0 | \$21 |
| 1968 | \$637 | \$573 | \$64 | \$65 | \$0 | \$516 | \$9 | \$3 | \$5 | \$27 |
| 1969 | \$681 | \$629 | \$53 | \$65 | \$1 | \$529 | \$0 | \$1 | \$19 | \$16 |
| 1970 | \$1,393 | \$1,300 | \$90 | \$52 | \$4 | \$1,247 | \$0 | \$6 | \$4 | \$42 |
| 1971 | \$3,682 | \$3,556 | \$127 | \$266 | \$17 | \$1,932 | \$7 | \$1,399 | * | \$14 |
| 1972 | \$3,340 | \$2,410 | \$930 | \$205 | \$3 | \$2,413 | \$0 | \$697 | \$0 | \$12 |
| 1973 | \$3,375 | \$2,398 | \$977 | \$118 | \$9 | \$1,912 | \$0 | \$1,260 | \$10 | \$2 |
| LAST 12 MONTH TOTAL | \$3,082 | \$2,385 | \$697 | \$144 | \$1 | \$2,251 | \$0 | \$640 | \$10 | \$22 |
| 1974 SEP | 126 | 118 | 8 | 0 | 0 | 108 | 0 | 18 | 0 | 0 |
| 1973 SEP | 119 | 58 | 62 | 0 | 0 | 113 | 0 | 6 | 0 | 0 |
| OCT | 435 | 285 | 140 | 20 | 0 | 387 | 0 | 18 | 0 | 0 |
| NOV | 627 | 616 | 11 | 2 | 1 | 111 | 0 | 513 | 0 | 0 |
| DEC | 208 | 147 | 61 | 20 | 0 | 147 | 0 | 27 | 10 | 4 |
| 1974 JAN | 152 | 121 | 32 | 14 | 0 | 135 | 0 | 4 | 0 | 0 |
| FEB | 268 | 251 | 17 | 1 | 0 | 241 | 0 | 25 | 0 | + |
| MAR | 398 | 210 | 188 | 52 | 0 | 333 | 0 | 0 | 0 | 13 |
| APR | 356 | 288 | 69 | 0 | 0 | 351 | 0 | 5 | 0 | 1 |
| MAY | 65 | 55 | 10 | 10 | 0 | 55 | 0 | 0 | 0 | 0 |
| JUN | 113 | 110 | 3 | 25 | 0 | 86 | 0 | 1 | 0 | 1 |
| JUL | 226 | 143 | 83 | 0 | 0 | 196 | 0 | 30 | 0 | 0 |
| AUG | 107 | 31 | 76 | 0 | 0 | 100 | 0 | 0 | 0 | 7 |

* LESS THAN \$500,000
NOTE: SEE EXPLANATORY NOTES FOR OFFERINGS TABLES.

ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK OFFERINGS, BY INDUSTRY
(CASH SALES IN MILLIONS OF DOLLARS)

| YEAR AND MONTH | TOTAL | PUBLICLY OFFERED | PRIVATELY PLACED | MANUFAC- TURING | EXTRAC- TIVE | ELECTRIC GAS AND WATER | TRANS- PORTATION | COMMU- NICATION | SALES AND CONSUMER FINANCE | FINANCIAL AND REAL ESTATE | COMMER- CIAL AND OTHER |
|------------------------|----------|---------------------|---------------------|--------------------|-----------------|------------------------------|---------------------|--------------------|----------------------------------|---------------------------------|------------------------------|
| 1964 | \$2,680 | \$2,651 | \$29 | \$186 | \$127 | \$300 | \$38 | \$1,514 | \$0 | \$440 | \$75 |
| 1965 | \$1,547 | \$1,511 | \$35 | \$593 | \$89 | \$138 | \$59 | \$92 | \$0 | \$435 | \$131 |
| 1966 | \$1,937 | \$1,916 | \$23 | \$1,135 | \$105 | \$161 | \$104 | \$136 | \$0 | \$173 | \$123 |
| 1967 | \$1,959 | \$1,857 | \$100 | \$933 | \$175 | \$181 | \$107 | \$123 | \$0 | \$177 | \$263 |
| 1968 | \$3,947 | \$3,697 | \$248 | \$1,246 | \$389 | \$357 | \$107 | \$40 | \$37 | \$608 | \$1,163 |
| 1969 | \$7,713 | \$7,355 | \$359 | \$1,840 | \$1,343 | \$797 | \$248 | \$223 | \$15 | \$1,585 | \$1,662 |
| 1970 | \$7,238 | \$7,077 | \$163 | \$1,269 | \$1,779 | \$1,753 | \$46 | \$79 | \$4 | \$1,593 | \$715 |
| 1971 | \$9,553 | \$9,350 | \$204 | \$1,821 | \$1,009 | \$2,262 | \$408 | \$197 | \$15 | \$2,522 | \$1,318 |
| 1972 | \$10,723 | \$10,341 | \$382 | \$1,596 | \$1,250 | \$2,552 | \$150 | \$428 | \$5 | \$3,197 | \$1,545 |
| 1973 | \$7,655 | \$7,620 | \$35 | \$520 | \$822 | \$2,779 | \$27 | \$122 | \$1 | \$2,675 | \$708 |
| LAST 12 MONTH TOTAL | \$4,731 | \$4,701 | \$30 | \$366 | \$820 | \$2,083 | \$27 | \$75 | \$0 | \$887 | \$474 |
| 1974 SEP | 288 | 288 | 0 | 2 | 36 | 188 | 5 | 0 | 0 | 47 | 11 |
| 1973 SEP | 391 | 390 | + | 78 | 41 | 139 | 2 | 10 | 0 | 79 | 42 |
| OCT | 711 | 708 | 4 | 30 | 37 | 301 | 0 | 28 | 0 | 211 | 105 |
| NOV | 664 | 664 | 0 | 88 | 12 | 364 | 0 | 0 | 0 | 127 | 73 |
| DEC | 560 | 560 | + | 8 | 104 | 172 | 6 | + | 0 | 91 | 180 |
| 1974 JAN | 280 | 278 | 1 | 15 | 90 | 114 | + | 0 | 0 | 27 | 34 |
| FEB | 318 | 306 | 12 | 35 | 142 | 52 | 1 | 0 | 0 | 87 | 1 |
| MAR | 361 | 361 | 0 | 109 | 55 | 116 | 0 | 21 | 0 | 58 | 3 |
| APR | 446 | 436 | 10 | 9 | 36 | 334 | 0 | + | 0 | 47 | 19 |
| MAY | 142 | 142 | 0 | 5 | 66 | 20 | 0 | 3 | 0 | 44 | 5 |
| JUN | 414 | 414 | 0 | 19 | 135 | 202 | 15 | 0 | 0 | 39 | 3 |
| JUL | 327 | 324 | 3 | 43 | 70 | 102 | 1 | 23 | 0 | 65 | 23 |
| AUG | 220 | 220 | 0 | 4 | 38 | 117 | 0 | 0 | 0 | 44 | 17 |

+ LESS THAN \$500,000

NOTE: SEE EXPLANATORY NOTES FOR OFFERINGS TABLES.

EXPLANATORY NOTES FOR OFFERINGS TABLES

1971 TO PRESENT 1/

The data included in the Commission's statistical series on securities offerings are a sample of the universe of all securities offered in the United States. This sample includes all primary security issues registered with the Commission for immediate cash sale to the public pursuant to the requirements of the Securities Act of 1933 or Regulation A of that Act. The sample also includes information collected by the Commission on certain private placements as described below. Excluded from the offerings data are all offerings not greater than \$100,000; all debt securities with terms of less than one year; all secondary offerings; all non-cash offerings; issues withdrawn after effective registration; issues not defined as securities under Section 2(1) of the Securities Act of 1933; offerings--both public and private--by issuers whose securities are exempted under Sections 3(a)(2)-3(a)(6) and Section 3(a)(11) of the Securities Act of 1933 2/; all employee stock plans; investment company issues other than closed-end shares 3/; intracorporate securities transactions; and sales to foreign purchasers.

The source of data for registered offerings is the registration statement filed with the Commission by the issuer of the securities. (Registration data and offering data are not directly comparable because, beyond the exclusions noted above, the registration date and the offering date may fall in two different monthly or yearly periods.) Data on private placements are collected by a Commission survey of 39 life insurance companies on a monthly basis and 9 more on a quarterly or semi-annual basis, and by reports of private placements filed on the Commission's Form 8-K. Life insurance companies surveyed by the Commission had assets on December 31, 1972, of \$190,145 million, or 79% of all life insurance company assets. Only those private placement commitments which are actually executed are included in the statistics. No representation is made that the private placement activity of the life insurance companies surveyed approximates private placement activity as a whole in the United States.

The offerings tables make a distinction between Corporate Offerings and Foreign Government Offerings. It should be noted that Corporate Offerings include issues by non-corporate business enterprises such as limited partnerships, and that the Foreign Government classification includes foreign government issues of all levels, foreign government agencies, and foreign government-owned enterprises.

The industry classification used in the offerings tables should be self-explanatory for the most part. It should be emphasized that the Communication group includes telephone utilities. In the period 1971-73, 98% of the dollar value of the registered issues in this category was accounted for by telephone utilities. The Commercial and Other industry group is comprised of agriculture, construction, wholesale and retail trade, and all service activities other than financial services.

The security types used in the offerings tables are Bonds, Preferred Stock and Common Stock. The Bonds group includes convertible bonds, which are detailed on a special table. The Preferred Stock group includes convertible preferred stock; however, in the period 1971-73 registered convertible preferred stock accounted for less than 15% of the value of all registered preferred stock. The Common Stock category includes common shares issued by closed-end investment companies (preferred shares are included in Preferred Stock), as well as securities issued by non-corporate business such as certificates of participation and shares of beneficial interest, and other equity securities such as voting trust certificates and certificates of deposit. American Depository Receipts are not included in the offerings statistics.

All figures are subject to revisions as new information becomes available which requires either an addition to or a correction to data previously published. Revised figures will be indicated with an "R".

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- 1/ Explanatory notes applicable to the offerings data prior to 1971 may be found in Volume 33, pages 45 and 46, of the Statistical Bulletin. The major difference between the pre- and post-1971 data is that until 1971 the securities cited in footnote 2 were included in the offerings statistics.
 - 2/ 3(a)(2) exempts domestic government issues and bank issues,
 3(a)(3) exempts securities arising out of current transactions with a maturity not exceeding 9 months,
 3(a)(4) exempts securities issued by non-profit and/or charitable groups,
 3(a)(5) exempts issues by savings and loan associations,
 3(a)(6) exempts issues by companies regulated by the ICC, and
 3(a)(11) exempts intrastate offerings.
 - 3/ No investment company shares are included for 1971 and 1972; closed-end investment company shares only are included for 1973. The Commission plans to revise the offerings data to include closed-end shares for the years 1971 and 1972, and when this project is completed, revised tables will be published in the Statistical Bulletin.

VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK BY INDUSTRY
(CASH SALES IN MILLIONS OF DOLLARS)

| YEAR AND MONTH | NUMBER OF ISSUES | TOTAL | MANUFAC- TURING | EXTRAC- TIVE | ELECTRIC GAS AND WATER | TRANS- PORTATION | COMMU- NICATION | SALES AND CONSUMER FINANCE | OTHER FIN- AND REAL ESTATE | COMMERCIAL AND OTHER |
|------------------------|------------------------|--------|--------------------|-----------------|------------------------------|---------------------|--------------------|----------------------------------|----------------------------------|-------------------------|
| 1970 | 206 | \$695 | \$235 | \$56 | \$19 | \$8 | \$6 | \$0 | \$92 | \$279 |
| 1971 | 504 | \$3006 | \$1378 | \$53 | \$1 | \$84 | \$55 | \$6 | \$525 | \$905 |
| 1972 | 615 | \$4918 | \$2648 | \$64 | \$85 | \$85 | \$11 | \$0 | \$563 | \$1463 |
| 1973 | 104 | \$1273 | \$674 | \$48 | \$0 | \$7 | \$130 | + | \$49 | \$364 |
| LAST 12 MONTH TOTAL | 49 | \$463 | \$269 | \$37 | \$0 | \$17 | \$0 | \$0 | \$1 | \$139 |
| 1974 SEP | 1 | 5 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1973 SEP | 7 | 95 | 8 | 0 | 0 | 0 | 0 | 0 | + | 87 |
| OCT | 7 | 114 | 30 | + | 0 | + | 0 | 0 | 0 | 83 |
| NOV | 9 | 203 | 164 | 31 | 0 | 0 | 0 | 0 | 0 | 8 |
| DEC | 6 | 17 | 3 | 5 | 0 | 7 | 0 | 0 | + | 1 |
| 1974 JAN | 5 | 21 | 3 | 0 | 0 | 0 | 0 | 0 | 1 | 17 |
| FEB | 7 | 12 | 12 | + | 0 | 0 | 0 | 0 | 0 | 0 |
| MAR | 3 | 16 | 16 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| APR | 3 | 50 | 20 | 0 | 0 | 0 | 0 | 0 | 0 | 30 |
| MAY | 3 | 4 | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JUN | 1 | 11 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JUL | 3 | 12 | 2 | 0 | 0 | 10 | 0 | 0 | 1 | 0 |
| AUG | 1 | | + | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

+ LESS THAN \$500,000

NOTE: SEE EXPLANATORY NOTES FOR REGISTRATIONS

EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933

(Amounts in Millions of Dollars)

| | 1973 | 1974 | 1973 | | | 1974 | | |
|-----------------------------------|-----------------|-----------------|---------|-----------|---------|-----------|---------|---------|
| | January-October | January-October | October | September | October | September | October | October |
| EFFECTIVE REGISTRATIONS | 2,432 | 2,216 | 249 | 163 | 242 | | | |
| TOTAL DOLLAR AMOUNT | 45,017 | 49,699 | 5,079 | 3,073 | 6,621 | | | |

| | Number of Issues | Amount | Number of Issues | Amount | Number of Issues | Amount | Number of Issues | Amount | Number of Issues | Amount |
|---|------------------|--------|------------------|--------|------------------|--------|------------------|--------|------------------|--------|
| | | | | | | | | | | |
| PRIMARY CORPORATE OFFERINGS | 762 | 18,478 | 603 | 25,291 | 86 | 2,535 | 41 | 1,298 | 91 | 4,271 |
| Bonds, notes and debentures | 212 | 9,940 | 282 | 20,151 | 17 | 1,743 | 21 | 897 | 46 | 3,423 |
| Preferred stock | 41 | 1,580 | 55 | 1,640 | 9 | 205 | 5 | 118 | 5 | 196 |
| Common stock | 275 | 3,564 | 130 | 2,330 | 27 | 298 | 7 | 203 | 25 | 557 |
| Unseasoned | 158 | 280 | 42 | 62 | 13 | 33 | 2 | 3 | 7 | 12 |
| Seasoned | 117 | 3,283 | 88 | 2,268 | 14 | 266 | 5 | 200 | 18 | 545 |
| Closed-end shares ^{1/} | 28 | 1,517 | 4 | 92 | 5 | 71 | 0 | 0 | 0 | 0 |
| Other Equity Securities | 206 | 1,878 | 132 | 1,077 | 28 | 217 | 8 | 81 | 15 | 96 |
| SECONDARY OFFERINGS | 342 | 1,878 | 200 | 887 | 23 | 142 | 18 | 77 | 18 | 30 |
| Cash sale | 92 | 1,065 | 27 | 130 | 6 | 113 | 1 | 5 | 0 | 0 |
| Other than cash sale | 250 | 814 | 173 | 756 | 17 | 29 | 17 | 73 | 18 | 30 |

| | Amount | | | | |
|--|--------|--------|-------|-------|-------|
| | | | | | |
| FOR EXTENDED CASH SALE AND OTHER ISSUES | 12,766 | 14,673 | 1,201 | 1,329 | 1,573 |
| Investment company issues ^{1/} | 6,764 | 8,434 | 779 | 1,214 | 1,334 |
| Employee savings and thrift plans | 1,708 | 2,035 | 54 | 59 | 33 |
| Employee stock option plans | 3,251 | 2,719 | 292 | 41 | 91 |
| Other employee plans | 863 | 1,110 | 76 | 11 | 82 |
| Dividend reinvestment plans [*] | 180 | 374 | 0 | 5 | 33 |
| FOREIGN GOVERNMENT | 820 | 1,972 | 184 | 150 | 175 |
| OTHER NON CASH ISSUES | 11,074 | 6,876 | 1,016 | 219 | 572 |
| Exchange transactions ^{2/} | 9,094 | 5,045 | 691 | 175 | 508 |
| Reserved for conversion | 1,184 | 812 | 277 | 11 | 28 |
| Warrants and options | 269 | 166 | 18 | 6 | 17 |
| Other | 527 | 853 | 29 | 26 | 20 |

* Dividend reinvestment plans tabulated beginning January 1973.

^{1/} Closed-end investment companies were included in extended cash sale until December 1972.

Closed-end shares include preferred and common stock.

^{2/} Includes mergers and consolidations subject to registration under 1933 Act, Rule 154, effective January 1973.

Note: See Explanatory Notes for Registrations

EXPLANATORY NOTES

Registrations

Data cover all registrations which became effective under the Securities Act of 1933 during the period covered. Issues are included as of the effective date, with the exception of those subject to post-effective amendment to report terms of offering. These are included as of the date of the post-effective amendment.

The classification primary corporate offerings refer to registered offerings for immediate cash sale where the proceeds of the offering are at the disposal of the issuing corporations. Secondary offerings are registrations of outstanding securities where the proceeds of the issue do not accrue to the issuing company. The dollar amount for both type offerings is based generally on the initial offering price. The classification unseasoned common stock includes initial offerings by companies registering common stock for the first time under the Securities Act of 1933, whereas seasoned common stock offerings are registrations by companies previously having securities registered under the 1933 Act. Other equity securities classification consists of offerings of certificates of participation or beneficial interests, warrants or options, voting trust certificates and other equity securities.

Issues to be offered for extended cash sale include investment company issues, and all offerings of employee savings and stock option plans. The classification foreign government is shown separately, whereas registrations by foreign corporations are included in with corporate offerings. Investment company securities include open-end, face-amount and unit trust offerings. Closed-end securities are included in primary corporate offerings.

Issues registered for other noncash purposes such as exchange transactions, securities given for property or services, or reserved for conversion are generally valued at the current market prices.

In order to eliminate double counting, the number of issues are shown for primary and secondary offerings only. However, effective registrations cover all cash offerings, noncash offerings, and offerings for extended cash sale.

Dollar amounts have been rounded and will not add to totals.

NET CHANGE IN CORPORATE SECURITIES ISSUED

(AMOUNTS IN MILLIONS OF DOLLARS)

| | ANNUAL DATA | | QUARTERLY DATA | | | | |
|--------------------------------|-------------|--------|----------------------|----------------------|----------------------|----------------------|----------------------|
| | 1972 | 1973 | 1973 Jul - Sep | 1973 Oct - Dec | 1974 Jan - Mar | 1974 Apr - Jun | 1974 Jul - Sep |
| ALL INDUSTRIES | | | | | | | |
| New issues | 42,306 | 33,559 | 6,532 | 10,711 | 8,973 | 9,637 | 8,452 |
| Retirements | 10,224 | 11,804 | 2,150 | 4,378 | 2,031 | 2,048 | 2,985 |
| Net Change | 32,082 | 21,754 | 4,382 | 6,334 | 6,942 | 7,589 | 5,467 |
| Debt - New issues | 27,065 | 21,501 | 4,521 | 7,013 | 6,810 | 7,847 | 6,611 |
| Retirements | 8,003 | 8,810 | 1,579 | 3,786 | 1,442 | 1,584 | 1,225 |
| Net change | 19,062 | 12,691 | 2,941 | 3,227 | 5,367 | 6,263 | 5,386 |
| Stock - New issues | 15,242 | 12,057 | 2,012 | 3,698 | 2,163 | 1,790 | 1,841 |
| Retirements | 2,222 | 2,993 | 571 | 591 | 588 | 465 | 1,759 |
| Net change | 13,018 | 9,064 | 1,441 | 3,107 | 1,575 | 1,326 | 82 |
| MANUFACTURING | | | | | | | |
| New issues | 8,185 | 6,639 | 1,407 | 1,788 | 2,189 | 2,901 | 2,383 |
| Retirements | 4,096 | 5,177 | 792 | 1,770 | 959 | 992 | 1,325 |
| Net Change | 4,088 | 1,461 | 615 | 18 | 1,230 | 1,909 | 1,058 |
| Debt - New issues | 4,832 | 4,297 | 764 | 1,334 | 1,536 | 2,514 | 2,016 |
| Retirements | 2,837 | 3,495 | 599 | 1,465 | 630 | 593 | 537 |
| Net change | 1,995 | 801 | 165 | -131 | 906 | 1,921 | 1,479 |
| Stock - New issues | 3,353 | 2,340 | 643 | 453 | 653 | 386 | 367 |
| Retirements | 1,259 | 1,682 | 193 | 305 | 328 | 399 | 788 |
| Net change | 2,094 | 658 | 450 | 147 | 324 | -12 | -421 |
| EXTRACTIVE | | | | | | | |
| New issues | 1,647 | 815 | 225 | 216 | 302 | 265 | 118 |
| Retirements | 240 | 192 | 33 | 89 | 44 | 26 | 29 |
| Net change | 1,406 | 624 | 192 | 128 | 258 | 239 | 89 |
| Debt - New issues | 673 | 209 | 94 | 76 | 83 | 112 | 23 |
| Retirements | 167 | 185 | 29 | 89 | 36 | 25 | 27 |
| Net change | 505 | 23 | 65 | -13 | 47 | 87 | -4 |
| Stock - New issues | 973 | 608 | 131 | 141 | 219 | 153 | 95 |
| Retirements | 72 | 6 | 3 | 0 | 8 | 1 | 3 |
| Net change | 901 | 601 | 127 | 141 | 211 | 152 | 92 |
| ELECTRIC, GAS AND WATER | | | | | | | |
| New issues | 11,224 | 10,195 | 2,098 | 3,112 | 3,207 | 3,269 | 2,439 |
| Retirements | 1,243 | 1,423 | 325 | 539 | 207 | 532 | 218 |
| Net change | 9,981 | 8,774 | 1,774 | 2,574 | 2,999 | 2,737 | 2,220 |
| Debt - New issues | 6,238 | 5,540 | 1,519 | 1,584 | 2,379 | 2,231 | 1,576 |
| Retirements | 1,100 | 1,274 | 302 | 516 | 207 | 532 | 218 |
| Net change | 5,137 | 4,265 | 1,217 | 1,068 | 2,172 | 1,699 | 1,358 |
| Stock - New issues | 4,987 | 4,657 | 579 | 1,530 | 828 | 1,038 | 862 |
| Retirements | 143 | 148 | 23 | 23 | * | * | * |
| Net change | 4,844 | 4,509 | 557 | 1,506 | 827 | 1,038 | 862 |
| TRANSPORTATION | | | | | | | |
| New issues | 1,923 | 1,940 | 562 | 573 | 57 | 103 | 154 |
| Retirements | 957 | 988 | 191 | 409 | 129 | 105 | 111 |
| Net change | 966 | 950 | 370 | 163 | -72 | -1 | 43 |
| Debt - New issues | 1,626 | 1,822 | 535 | 540 | 48 | 85 | 137 |
| Retirements | 914 | 777 | 121 | 363 | 85 | 98 | 88 |
| Net change | 712 | 1,044 | 414 | 176 | -37 | -13 | 49 |
| Stock - New issues | 297 | 119 | 27 | 33 | 9 | 18 | 16 |
| Retirements | 43 | 212 | 71 | 46 | 44 | 7 | 23 |
| Net change | 254 | -93 | -44 | -13 | -35 | 12 | -6 |

SEC 808 (9-74)

(Continued on next page)

NET CHANGE IN CORPORATE SECURITIES ISSUED

(AMOUNTS IN MILLIONS OF DOLLARS)

| | ANNUAL DATA | | QUARTERLY DATA | | | | |
|-------------------------------------|-------------|---------|-------------------------|----------------------|----------------------|----------------------|----------------------|
| | 1972 | 1973 1/ | 1973 Jul - Sep 1/ | 1973 Oct - Dec | 1974 Jan - Mar | 1974 Apr - Jun | 1974 Jul - Sep |
| COMMUNICATION | | | | | | | |
| New issues | 4,953 | 4,887 | 868 | 1,848 | 811 | 1,129 | 1,384 |
| Retirements | 350 | 323 | 39 | 221 | 60 | 56 | 47 |
| Net change | 4,603 | 4,563 | 829 | 1,626 | 751 | 1,073 | 1,337 |
| Debt - New issues | 3,662 | 3,476 | 790 | 1,268 | 733 | 1,136 | 1,154 |
| Retirements | 319 | 311 | 38 | 217 | 58 | 56 | 38 |
| Net change | 3,343 | 3,165 | 752 | 1,051 | 675 | 1,080 | 1,116 |
| Stock - New issues | 1,291 | 1,411 | 78 | 579 | 79 | -7 | 230 |
| Retirements | 29 | 13 | 1 | 4 | 3 | * | 8 |
| Net change | 1,260 | 1,399 | 77 | 575 | 76 | -7 | 222 |
| SALES & CONSUMER FINANCE | | | | | | | |
| New issues | 1,844 | 996 | 60 | 389 | 266 | 218 | 121 |
| Retirements | 123 | 213 | 33 | 108 | 33 | 46 | 27 |
| Net change | 1,721 | 784 | 27 | 282 | 233 | 172 | 94 |
| Debt - New issues | 1,831 | 980 | 58 | 378 | 265 | 217 | 120 |
| Retirements | 118 | 202 | 27 | 107 | 31 | 45 | 27 |
| Net change | 1,712 | 777 | 30 | 271 | 234 | 171 | 93 |
| Stock - New issues | 13 | 16 | 2 | 11 | 1 | 1 | 1 |
| Retirements | 4 | 10 | 5 | 1 | 2 | * | * |
| Net change | 9 | 7 | -3 | 11 | -1 | 1 | 1 |
| FINANCIAL AND REAL ESTATE | | | | | | | |
| New issues | 8,722 | 5,807 | 711 | 2,142 | 1,729 | 956 | 1,385 |
| Retirements | 1,882 | 1,888 | 300 | 769 | 280 | 169 | 197 |
| Net change | 6,839 | 3,919 | 411 | 1,373 | 1,449 | 787 | 1,189 |
| Debt - New issues | 6,304 | 4,217 | 457 | 1,646 | 1,591 | 846 | 1,234 |
| Retirements | 1,552 | 1,471 | 202 | 693 | 163 | 140 | 132 |
| Net change | 4,752 | 2,746 | 254 | 954 | 1,428 | 706 | 1,101 |
| Stock - New issues | 2,418 | 1,590 | 254 | 495 | 139 | 110 | 152 |
| Retirements | 332 | 416 | 97 | 76 | 117 | 29 | 64 |
| Net change | 2,087 | 1,174 | 157 | 420 | 21 | 81 | 87 |
| COMMERCIAL AND OTHER | | | | | | | |
| New issues | 3,807 | 2,279 | 601 | 644 | 412 | 795 | 469 |
| Retirements | 1,333 | 1,601 | 438 | 473 | 319 | 123 | 1,031 |
| Net change | 2,474 | 678 | 164 | 170 | 94 | 672 | -563 |
| Debt - New issues | 1,900 | 961 | 303 | 187 | 175 | 706 | 351 |
| Retirements | 994 | 1,095 | 260 | 337 | 234 | 94 | 158 |
| Net change | 904 | -132 | 43 | -149 | -58 | 611 | 193 |
| Stock - New issues | 1,908 | 1,316 | 298 | 456 | 237 | 90 | 118 |
| Retirements | 339 | 506 | 178 | 136 | 85 | 29 | 873 |
| Net change | 1,570 | 810 | 120 | 319 | 152 | 61 | -756 |

SEC. 908 (b-7)(1)

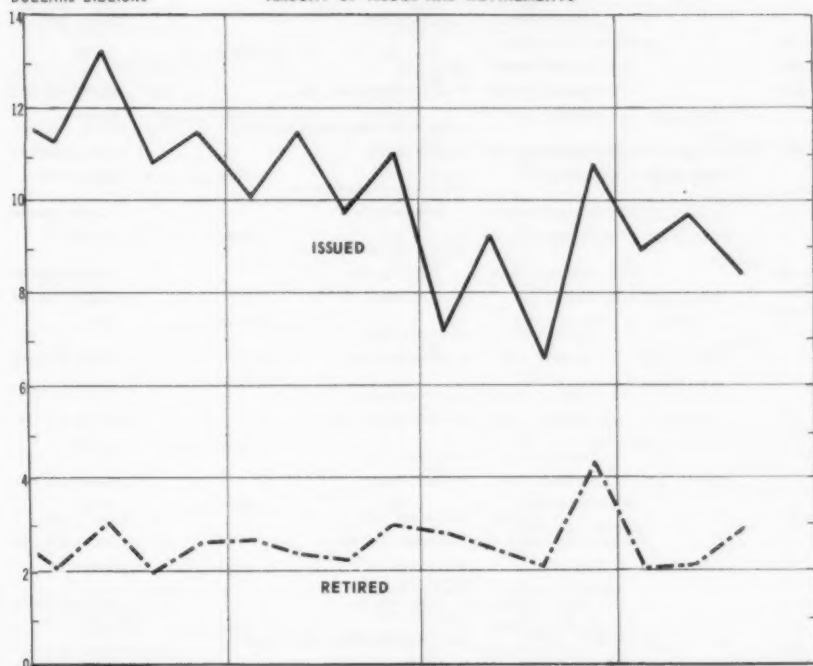
* Less than \$500,000.

CORPORATE SECURITIES*

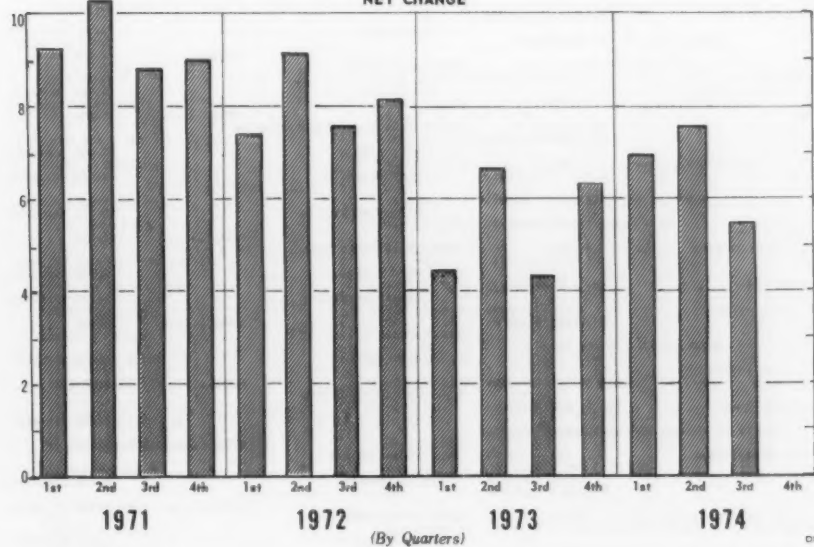
NET CHANGE IN AMOUNT ISSUED

DOLLARS BILLIONS

AMOUNT OF ISSUES AND RETIREMENTS



NET CHANGE



*Excludes Investment Companies

CS-4839

FORM 144 FILINGS FOR MONTH ENDED 11/30/74

THE FOLLOWING FORMS 144 WERE PROCESSED IN THE SECURITIES/EXCHANGE COMMISSION FOR THE MONTH INDICATED ABOVE. ACCEPTANCE OF FORM 144 DOES NOT CONSTITUTE ANY FINDING THAT IT HAS BEEN FILED AS REQUIRED OR THAT THE INFORMATION SUBMITTED IS TRUE. CURRENT OR COMPLETE.

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| AES TECHNOLOGY SYS INC COM CACAMO RAY & MARSHA | 350 | 10/24/74 | ALICO INC COM GRIFFIN BEN HILL INC | 2,000 | |
| CACAMO RAY & MARSHA | 2,050 | 11/15/74 | | 23,000 | 10/29/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 23,000 | |
| A P S INC COM SEVEPIANO JOSE MARIA NAVARRO LANDA | 21,000 | 11/01/74 | ALLEN GROUP INC COM COOK HARRY | 1,003 | 11/05/74 |
| TOTAL..... | 21,000 | | TOTAL..... | 1,003 | |
| ADAMS HARD FACING CO CL A ADAMS MYRON D | 900 | 11/19/74 | ALLERGAN PHARMACEUTICALS COM LUMPKIN KEITH C | 2,000 | 10/16/74 |
| TOTAL..... | 900 | | TOTAL..... | 2,000 | |
| ADAMS RUSSELL INC COM ALPHA INDUSTRIES INC | 2,944 | 11/01/74 | ALLIED MAINTENANCE CORP COM GOTTLIEB HENRY | 1,500 | 11/05/74 |
| TOTAL..... | 2,944 | | SIMON GERALD D | 1,016 | 11/06/74 |
| ADDISON WESLEY PUBG INC CL B NEUMANN PETER E | 5,000 | 10/21/74 | TOTAL..... | 2,516 | |
| TOTAL..... | 5,000 | | ALTEX OIL CORP COM RUSSELL ALFRED | 2,614 | 11/25/74 |
| ADVENT CORP COM REED FRANCIS L | 1,000 | 11/08/74 | TOTAL..... | 2,614 | |
| TOTAL..... | 1,000 | | ALUMINUM CO AMER COM ROBINSON WILLIAM H | 20,000 | 10/21/74 |
| ADVANCED MICRO DEVICES INC COM SIMONSEN SVEN ERIK | 18,422 | 11/14/74 | TOTAL..... | 20,000 | |
| TOTAL..... | 18,422 | | ALZA CORP COM PLACE VIRGIL | 5,000 | 10/23/74 |
| AETNA LIFE & CAS CO COM JOHNSON CLIFFORD M | 960 | 11/04/74 | TOTAL..... | 5,000 | |
| SOLES EUGENE P | 200 | 09/30/74 | AMACAN RES CORP COM GARDNER SHERMAN D | 22,000 | 11/19/74 |
| TOTAL..... | 1,160 | | TOTAL..... | 22,000 | |
| AG MET INC COM BYKOWSKY JOHN | 1,000 | 10/30/74 | AMBREX INC COM CLARK ANNE D | 10,000 | 11/22/74 |
| QUEST FREDERICK E | 13,200 | 10/30/74 | TOTAL..... | 10,000 | |
| SINGER & MACKIE INC | 2,100 | 11/04/74 | AMERICAN AGRONOMICS CORP COM PLEDGER THOMAS R | 3,000 | 10/24/74 |
| TOTAL..... | 16,300 | | TOTAL..... | 3,000 | |
| AIR PRODS & CHEMS INC COM PAYSON CHARLES S | 2,000 | 11/05/74 | AMERICAN BANKERS LIFE ASSUR COM LONDON CORP | 2,000 | 11/11/74 |
| TOTAL..... | 2,000 | | TOTAL..... | 2,000 | |
| ALASKA AIRLS INC COM ESSREE INVESTMENTS LTD | 6,931 | 10/30/74 | AMERICAN CEN CORP COM KORN ROBERT | 5,000 | 10/21/74 |
| TOTAL..... | 6,931 | | TOTAL..... | 5,000 | |
| ALASKA INTL INDS INC COM DOUGLAS WILLIAM C | 300 | 10/21/74 | AMERICAN FINL LEASING & SVCS SUB DEB LINDNER CARL H | 78,300 | 10/24/74 |
| TOTAL..... | 300 | | LINDNER ROBERT D | 52,000 | 10/24/74 |
| ALASKA PETE & MNG INC COM HILL JOHN D | 13,590 | 10/01/74 | SUB DEB KEATING CHARLES H JR | 42,250 | 11/04/74 |
| THOMPSON T J | 10,000 | 10/01/74 | TOTAL..... | 172,250 | |
| TOTAL..... | 23,590 | | AMERICAN FLETCHER CORP COM LAUTHER S EDGAR | 2,000 | 10/28/74 |
| ALCONAC INC COM PANZER CAROLYN M CUST BEN | 577 | 02/04/75 | VALENTINE KENNETH F | 3,000 | 10/21/74 |
| STECKLER ROBERT | 1,780 | 09/05/74 | TOTAL..... | 5,000 | |
| STECKLER ROBERT | 3,785 | 11/14/74 | AMERICAN GEN INS CO COM DELANEY ANDREW | 6,000 | 11/05/74 |
| TOTAL..... | 6,142 | | TOTAL..... | 6,000 | |
| ALCON LABS INC COM CONNER DEBORAH ZI TRUST | 500 | 11/12/74 | AMERICAN GREETINGS CORP CL A STONE NEIL CUST BEN | 3,356 | 10/24/74 |
| CONNER GREGORY MICHAEL TRUST | 500 | 11/12/74 | TOTAL..... | 3,356 | |
| CONNER W H | 6,000 | 11/12/74 | AMERICAN MARINE LTD COM ULLMAN CHARLES | 8,500 | 11/15/74 |
| CONNER WILLIAM C REVOCABLE TRUST | 14,000 | 11/12/74 | TOTAL..... | 8,500 | |
| TOMLIN D O | 1,516 | 10/22/74 | AMERICAN METICORP INC COM SEGEL GILBERT N | 3,929 | 09/01/72 |
| TOMLIN D O | 1,516 | 10/22/74 | TOTAL..... | 3,929 | |
| TOTAL..... | 24,032 | | AMERICAN NATL ENTERPRISES INC COM CROSIER DOROTHY T | 1,000 | 10/18/74 |
| ALDEN CARE ENTERPRISES INC COM MILAN GARY M INVESTMENT ASSOC | 10,000 | 10/17/74 | OLSON DEAN ARTHUR | 1,000 | 11/04/74 |
| TOTAL..... | 10,000 | | TOTAL..... | 2,000 | |
| ALEXANDER & ALEXANDER SVCS INC COM CLARK GEORGE B | 3,500 | 11/11/74 | AMERICAN SILVER MNG CO COM | | |
| TOTAL..... | 3,500 | | | | |
| ALEXANDERS INC COM FARKAS JONATHAN | 2,000 | 11/05/74 | | | |

1/ See Page 14.

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| CONRAD DAVID C | 1,000 | 11/01/74 | ARCATA NATL CORP COM BARBOUR JOHN D | 2,000 | 11/20/74 |
| AMERICAN STD INC COM KITSON ROBERT KENNETH | 462 | 11/11/74 | BERWANGER CHARLES W | 1,746 | 11/14/74 |
| AMERICAN TELECOMMUNICATIONS COM MULTINATIONAL GROUP INC | 4,000 | 10/18/74 | KNIGHT HARRY W | 177 | 05/06/74 |
| AMERICAN TELEVISION 6 COM GEORGE ROBERT F | 1,378 | 10/23/74 | PINE MARLIN D | 4,000 | 11/01/74 |
| KIRK CLAUDE R JR | 700 | 11/01/74 | SUNDE ODDVAR | 6,638 | 11/27/74 |
| AMETEK INC COM WILSON DONALD W | 359 | 10/18/74 | ARCHER DANIELS MIDLAND CO COM WALKER DONALD B REVOCABLE TRUST | 10,000 | 11/11/74 |
| AMFAC INC COM GAY E LAURENCE | 1,000 | 11/01/74 | ARIZONA COLO LD & CATTLE CO COM JOHNSON ROGERS W | 9,000 | 04/01/74 |
| AMP INC COM MASSACHUSETTS INSTITUTE OF TECHNOLOGY | 2,500 | 11/08/74 | ARMCO STL CORP COM ROSSON HARTWELL J | 10,000 | 11/04/74 |
| ANACORP INC COM FLANIGAN JOHN J | 1,411 | 11/21/74 | RUNYAN GREGORY N & CATHY C | 500 | 11/20/74 |
| ANALOGIC CORP COM COLTON EVAN T | 2,000 | 11/01/74 | ARMIN CORP COM SOMEACH YESHIVA & YESIVTA LEV | 2,200 | 10/30/74 |
| ANAREN MICROWAVE INC COM CLARK JOHN & JEAN | 100 | 11/05/74 | ARMSTRONG RUBR CO COM NACHLIN FAMILY FOUND | 9,300 | 11/12/74 |
| GOLDBERG HOWARD | 1,000 | 11/04/74 | ARTEK SYS CORP COM ALTEBRAND PHILIP | 16,000 | 11/14/74 |
| HAIR WILLIAM & ANNIE VIOLET | 100 | 11/01/74 | CERTILMAN MORTON L CERTILMAN MORTON L | 11,008 | 10/28/74 |
| SLAUGHTER ROBERT R | 4,000 | 09/03/74 | FRICKE HENRY | 14,000 | 10/30/74 |
| ANDERSON CLAYTON & CO COM GRIFFIN BOB J | 1,500 | 11/11/74 | GOLDBERG DONALD | 15,351 | 11/11/74 |
| WILLIAMS WILLARD E | 1,256 | 10/29/74 | HAFT JAY | 8,880 | 10/23/74 |
| ANGELICA CORP COM FOLKMAN NILOA FOLKMAN NILOA | 2,500 | 12/15/74 | RABIN I STEPHEN | 16,000 | 11/21/74 |
| METZGER ROBERT S | 1,900 | 11/21/74 | ROBERTS SHELTON | 5,008 | 10/24/74 |
| ANHEUSER BUSCH INC COM MCDONALD DONALD S | 850 | 11/01/74 | SHEILS CHARLES J | 16,000 | 10/22/74 |
| APOLLO LASERS INC COM RUBIN STANFORD K | 1,000 | 11/21/74 | SILVERMAN BARRY | 16,000 | 11/19/74 |
| APPLIED DIGITAL DATA SYS INC COM BRAND MILTON & ESTHER | 1,500 | 11/12/74 | WOFSEY EARL J | 9,632 | 11/18/74 |
| BRENNAN JAMES J | 2,000 | 10/28/74 | YOUNG ISRAEL OF CANARSIE | 2,000 | 10/23/74 |
| GILDSTON PHYLLIS | 7,708 | 12/01/74 | ARVIN INDS INC COM PIVTO WILLIAM D PIVTO WILLIAM D | 29,725 | 11/08/74 |
| GORDON JANE ELLEN | 1,000 | 10/31/74 | ASSOCIATED DRY GOODS CORP COM PIVIROTTI MARY BURCHFIELD | 28,275 | 11/15/74 |
| GOTTLIEB JACK D | 8,000 | 10/24/74 | PIVIROTTI RICHARD R JR | 52,000 | 10/08/74 |
| APPLIED HEALTH SVCS INC COM PHILIPIDES NICHOLAS | 6,000 | 11/28/74 | ATHLONE INDS INC COM KRYGIER BINEM | 29,000 | 11/14/74 |
| WALDMAN ROCHELLE | 6,000 | 07/31/74 | ATLANTIC OIL CORP COM MUIRHEAD RAY CARLTON | 25,000 | 11/11/74 |
| APPLIED MATLS INC COM HENDERSON HERBERT W | 12,000 | 11/20/74 | ATLANTIC RICHFIELD CO COM ANDERSON ROBERT D | 11,008 | 10/22/74 |
| ARABIAN SHIELD DEV CO COM POLTA WILLIAM D POLTA WILLIAM D | 1,000 | 10/25/74 | ASPEN INSTITUTE FOR HUMANISTIC STUDIES | 4,500 | 11/11/74 |
| | 1,000 | 11/15/74 | AUTOMATED MED LABS INC COM WEISS SIGMUND | 15,500 | 10/23/74 |
| | | | AUTOMATIC DATA PROCESSING INC COM UNITED JEWISH APPEAL | 800 | 11/05/74 |
| | | | UNITED JEWISH APPEAL OF ENGLEWOOD | 5,000 | 11/06/74 |
| | | | | 5,100 | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
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| AVNET INC COM NEW YORK BUSINESS DEVELOPMENT CORP | 25,000 | 11/10/74 | HELDING HEMINWAY INC COM HAUSMAN LEO | 4,500 | 06/28/74 |
| AVONDALE MLS COM COMER RICHARD J | 850 | 11/13/74 | HAUSMAN MICHAEL | 1,000 | 04/18/74 |
| AYDIN CORP COM ZIMMER LEONARD J | 850 | | BELL & HOWELL CO COM BELL & HOWELL EMPLOYEES PROFIT SH TR | 1,000 | 11/08/74 |
| BAKER OIL TOOLS INC COM BROWN HAROLD L | 1,400 | 10/23/74 | BELL INDS COM CLELAND WILLIAM JOHN | 7,400 | 11/20/74 |
| BATES ROBERT N | 1,000 | 11/08/74 | COCK JACK | 7,400 | 11/20/74 |
| BALLY MFG CORP COM GLICKMAN CARL D | 6,000 | | COOK LEO W | 20,400 | |
| JAYAR HOLDINGS LTD | 1,250 | 11/10/74 | BENTLEY LABS INC COM BENTLEY DONALD J | 20,000 | 10/31/74 |
| BANDAG INC COM VISCHER DENELL A | 30,000 | 11/15/74 | SPROVIERE LUIGI | 5,000 | 11/15/74 |
| BANDOR PUNTA CORP COM BOLLES DAVID D | 31,250 | | BERKLEY W R CORP COM LEVINSON ROBERT A | 25,000 | |
| TREVINO BENJAMIN A | 1,500 | 10/16/74 | PANDOLFINO JOHN A | 19,750 | 11/11/74 |
| BT EXP BOLLES DAVID D | 3,000 | 11/21/74 | BETZ LABS INC COM BETZ JOHN DREW | 1,250 | 10/23/74 |
| BARNER OIL CORP COM ESTATE OF ROERLING RUTH W | 5,000 | 10/09/74 | MEYER S OLAF | 19,000 | |
| BAKO C R INC COM LEMOYNE ROBERT G | 2,300 | 11/21/74 | TRUST FBO BETZ PETER J | 15,000 | 11/11/74 |
| WILLITS HARRIS L | 10,300 | | TRUST FBO BETZ JOHN D JR | 800 | 11/11/74 |
| WILLITS HARRIS L | 5,712 | 11/14/74 | TRUST FBO BETZ MICHAEL | 5,000 | 11/11/74 |
| BARNETT MTG TR SH REN INT DOTTS EDITH H | 5,712 | | TRUST FBO CORNELLY MARIAN A B | 5,000 | 11/11/74 |
| DOTTS GUY W | 554 | 11/14/74 | BIG DYNAMICS INC COM ABELES JOSEPH C | 35,800 | |
| BARRY R G CORP COM OHIDANS FOR GILLIGAN | 10,000 | 10/25/74 | BLUE BELL INC COM WESLEY LONG HOSPITAL INC | 5,711 | 11/10/74 |
| BASIN PETE CORP COM SINGLETARY CIANN WHITNEY | 9,000 | 11/01/74 | RODZ ALLEN & HAMILTON INC COM YOUNG MENS CHRISTIAN ASSOCIATION | 5,711 | |
| BATH INDS INC COM BLANKENSHIP JAMES D | 500 | 11/11/74 | RODG WARNER CORP COM TEPPLIN DONALD C | 1,000 | 11/14/74 |
| BAUSCH & LOMB INC COM RUSHNELL STEVEN E | 3,100 | 11/11/74 | TEPPLIN JUDY A | 1,000 | 11/14/74 |
| BAXTER LABS INC COM FALK SUZANNE CLARKE | 3,600 | | BOW VALLEY INDS LTD COM LUKACS JOSEPH | 200 | 11/14/74 |
| FALK SUZANNE CLARKE | 200 | 11/04/74 | BOWMAR INSTR CORP COM DURKEE GILBERT M & ELIZABETH J | 2,500 | 10/31/74 |
| TR FRO FALK RALPH JR | 10,000 | 11/06/74 | BRADFORD COMPUTER & SYS INC COM AMERICAN FRIENDS OF THE UNIV OF HAIFA | 2,500 | |
| BEATRICE FOODS CO COM FREUND TRUST | 10,000 | | CRAWFORD JOHN H THIRD | 1,500 | 11/14/74 |
| HAROLF MICHAEL F | 15,147 | 11/01/74 | BRENTWOOD INDS INC COM YEUNG BENJAMIN M P | 4,000 | 12/01/74 |
| SHWAYDER KEITH R | 15,147 | | BRILLIND MINES LTD COM MICHLIN SAM | 5,900 | |
| BEEHIVE MED ELECTRS INC COM AMERICAN HFCICORP INC | 972 | 11/36/74 | BRISTOL MYERS CO COM DRACKETT ROGER TRUST | 37,000 | 10/20/74 |
| BEICO PETE CORP COM WALTER ARTHUR M | 972 | | GOLDMAN HAROLD H | 37,000 | |
| | 5,000 | 11/05/74 | WDCROFT FOUND | 5,000 | 11/15/74 |
| | 8,300 | 11/12/74 | WDCROFT FOUND | 5,000 | 11/15/74 |
| | 10,000 | 10/22/74 | | 500 | 11/07/74 |
| | 23,300 | | | 178 | 11/08/74 |
| | 4,814 | 10/25/74 | | 500 | 11/06/74 |
| | 5,900 | 10/17/74 | | 200 | 08/10/74 |
| | 2,000 | 10/14/74 | | 1,378 | |
| | 11,814 | | | | |
| | 9,000 | 11/19/74 | | | |
| | 9,000 | | | | |
| | 5,200 | 11/14/74 | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| BROTHER INTL CORP COM SMITH JOHN E | 1,000 | 10/25/74 | SODERBERG MARGARET | 15,000 | 10/23/74 |
| BUMBLEBERRY ENTERPRISES INC COM RIE WAYNE | 1,900 | | CARTERPHONE COMMUNICATIONS CORP COM AMERICAN TECHNOLOGY N V | 107,500 | |
| VETTERLI RICHARD | 25,300 | 10/01/74 | CASTLEWOOD INTL CORP COM KOROBOW HERMAN | 19,500 | 10/07/74 |
| YOUNG INVESTMENT CO | 14,292 | 11/05/74 | CAVANAGH CMNTYS CORP COM 301 ASSOCIATES | 19,500 | |
| BURLINGTON INDS INC COM FASTWICK JOSEPH L TRUST FASTWICK JOSEPH L TRUST | 2,500 | 11/05/74 | CAVITRON CORP DEL COM RUSZ TIBOR | 2,000 | |
| JONES KATHERINE F TRUST | 42,092 | | CENCO INC COM FISCHBERG CHARLES H | 29,250 | 10/21/74 |
| MYERS CHARLES F JR | 825 | 11/01/74 | FISCHBERG FANNIE | 29,250 | |
| BURNS R L CORP COM HARDING ROBERT H | 975 | 11/01/74 | SPELLMAN BURTON | 4,125 | 11/15/74 |
| BUTTES GAS & OIL CO COM GOLDSMITH TANYA H | 525 | 11/01/74 | CENCOR INC COM GALLAGHER MYLES M | 4,125 | |
| TR FBO GOLDSMITH TANYA HAMBURG | 7,200 | 11/20/74 | CENCOR INC COM GALLAGHER MYLES M | 10,000 | 10/31/74 |
| TR FBO RUEHL SONYA HAMBURG | 9,325 | | CENTER CORP COM CROSSEN FRANK M | 10,000 | 10/31/74 |
| C & K PETE INC COM CHAMBERS CHARLES F & DEBORAH BUTLER | 500 | 11/11/74 | CENTRONICS DATA COMPUTER CORP COM COLLER EDMOND M | 2,761 | 10/31/74 |
| RUTHER M J | 500 | | ROBINSON PRENTICE | 22,761 | |
| CCA ELECTRS CORP COM SALZER E STAN | 1,013 | 11/11/74 | CENTURY LABS INC COM WRIGHT B V JR | 5,900 | 11/13/74 |
| SAVAGE NEIL W | 1,063 | 10/21/74 | CHAMPION HOME BLDRS CO COM ESTATE OF GEORGE HENRY E | 5,300 | |
| CALRIODCHEM COM CALIF FOUND FOR BIOCHEMICAL RESH | 1,063 | 10/21/74 | GEORGE HENRY E TRUST | 31,500 | 11/01/74 |
| FREEMAN LEON | 3,139 | | CHART HOUSE ENTERPRISES COM JOHNS OTTO JR | 31,500 | |
| CAMERON FILM CORP COM RIVES RALPH E | 590 | 08/27/74 | CHARTER MED CORP COM PROFFITT JAMES H | 275 | 11/08/74 |
| CAMPDOWN INDS INC COM LYNN HARRY G | 1,000 | 10/16/74 | CHELSEA INDS INC COM FAY CYNTHIA TRUST | 19,980 | 11/21/74 |
| CAPITAL CITIES COMMUNICATIONS INC COM TR FBO FAIRCHILD JAMES JILL & STEVEN | 1,550 | | FAY MICHAEL TRUST | 10,275 | |
| CAPITAL HLDG CORP DEL COM QUODERAR LENA L | 6,865 | 10/14/74 | FAY PAMELA TRUST | 1,330 | 11/21/74 |
| GAMBERT CLARA A | 6,865 | 10/14/74 | FAY STEPHEN TRUST | 1,330 | |
| ROULSTON ROBERT T | 13,730 | | CHESAPRE INDS INC COM PRAZIER JAMES F & MADELYN A | 34,000 | 11/15/74 |
| STENGER BERTHA L | 4,500 | 11/14/74 | CHICAGO BRDG & IRON CO COM HEX POLLY HORTON FAMILY TRUST | 50,000 | 11/04/74 |
| TORTIAS JOHN L M | 2,000 | 11/15/74 | LANGE KENNETH W | 84,888 | |
| TR FBO SCHAEER TERESA | 6,500 | | CHRIS CRAFT INDS INC SUR DEB CONV LINDWFS DAVID F | 210,625 | 11/05/74 |
| CAPITOL TRANSAMERICA CORP COM KENNEDY ROBERT J | 400 | 10/16/74 | | 210,625 | |
| ROLDSEYER FREDERICK H | 400 | | | 2,409 | 10/28/74 |
| CARDIODYNAMICS INC COM GIANNI RICHARD | 25,000 | 11/07/74 | | 800 | 10/01/74 |
| LAWHORN RICHARD C & RITA A | 25,000 | | | 800 | |
| NAEGLE CHARLES M | 160 | 10/14/74 | | 750 | 11/22/74 |
| NELSON ROBERT L & GWENDOLYN K JNT TENAN | 160 | 10/14/74 | | 750 | 11/22/74 |
| OCOMOR DELIA | 1,124 | 11/09/74 | | 750 | 11/22/74 |
| DEUNHOF EGAN | 160 | 10/14/74 | | 650 | 11/22/74 |
| | 2,466 | | | 2,900 | |
| | 2,026 | 11/04/74 | | 18,888 | 10/29/74 |
| | 754 | 10/29/74 | | 1,618 | 10/30/74 |
| | 2,782 | | | 19,618 | |
| | 2,500 | 11/10/74 | | 2,940 | 12/01/74 |
| | 20,700 | 11/01/74 | | 2,940 | |
| | 25,000 | 10/25/74 | | 8,085 | 10/22/74 |
| | 27,000 | 10/30/74 | | 4,400 | 11/07/74 |
| | 8,000 | 10/27/74 | | 12,485 | |
| | 10,000 | 11/02/74 | | 56,280 | 11/15/74 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|---|----------------------|----------------------------|
| CHUBB CORP COM MILLER CAROL | TOTAL..... | 50,200 | COMMERCIAL RES CORP COM ALPERT IRVING | 3,000 | 11/15/74 |
| MILLER FRANK CUST BEN | | 2,836 10/24/74 | ALPERT MIRIAM | 752 | 11/15/74 |
| MILLER JEFF CUST BEN | | 240 10/24/74 | ALPERT PATRICIA | 1,500 | 11/15/74 |
| MILLER SCOTT H CUST BEN | | 240 10/24/74 | DRISCOLL ELVIRA | 200 | 11/15/74 |
| MILLER TODD CUST BEN | | 225 10/24/74 | FINN BARRY | 4,000 | 01/15/75 |
| | | 240 10/24/74 | FINN DORIS | 752 | 11/15/74 |
| CHURCH & DWIGHT INC COM LYONS DUDLEY E | TOTAL..... | 3,781 | FINN SHELLEY | 2,000 | 01/15/75 |
| | | 1,000 11/05/74 | INGRASSIA SALVATORE | 2,000 | 11/17/74 |
| CLEVELAND CLIFFS IRON CO COM MIDORE H THOMAS | TOTAL..... | 1,000 | KATZ JACQUELINE | 400 | 11/15/74 |
| VICKERY JOHN C | | 1,500 10/29/74 | KAYNER ROBERT I | 4,000 | 11/06/74 |
| | | 600 11/12/74 | COMMERCIAL TECHNOLOGY INC COM | TOTAL..... | 18,604 |
| CLEVELAND ELEC ILLUM CO COM RESSE RALPH H | TOTAL..... | 2,100 | TRACY COLLINS BANK & TRUST ED | 2,300 | 10/28/74 |
| | | 1,100 10/29/74 | COMMUNICATIONS PPTVS INC COM | TOTAL..... | 2,300 |
| CLEVEPAK CORP COM MCIPHERSON HUBERT J | TOTAL..... | 1,100 | STILES G SCOTT | 250 | 11/06/74 |
| | | 3,400 11/08/74 | COMMUNITY PSYCHIATRIC CTWS COM | TOTAL..... | 250 |
| COAST CATAMARAN CORP COM RANDALL DONALD | TOTAL..... | 3,400 | ROBERTS CHARLES | 5,500 | 11/08/74 |
| | | 1,300 11/15/74 | COMPREHENSIVE CMNTYS CORP COM | TOTAL..... | 5,500 |
| COASTAL STS GAS CORP COM LEE JACK E | TOTAL..... | 1,000 | OSTAS HARRY | 7,433 | 11/22/74 |
| | | 33 11/19/74 | OSTAS LEAH | 6,246 | 11/22/74 |
| COASTAL STS GAS PRODUCING CO COM ANTONE RONALD E | TOTAL..... | 33 | OSTAS RICHARD A | 4,700 | 10/18/74 |
| ANTONS RONALD E | | 42 09/04/74 | COMPUCORP CORP COM | TOTAL..... | 23,379 |
| | | 42 09/04/74 | EASTON ELMER R | 25,000 | 10/31/74 |
| COBE LABS INC COM RELLS RANDALL F | TOTAL..... | 84 | COMPUSCAN INC COM | TOTAL..... | 25,000 |
| BYRNE LAWRENCE J | | 500 11/20/74 | GREENSIDE JACOB & HATTIE | 1,500 | 11/25/74 |
| GARDNER WENDELL J | | 300 11/18/74 | SCHEINMAN VIVIAN J | 2,700 | 11/25/74 |
| WINBURN BYRON E | | 1,180 11/06/74 | COMPUTER BUSINESS SUPPLIES COM | TOTAL..... | 4,200 |
| | | 1,000 11/01/74 | COHEN MARLENE SUE | 16,000 | 11/04/74 |
| COCA COLA BOTTLING CO N Y INC COM SCARFF EDWARD L | TOTAL..... | 2,980 | COMPUTER ELECTION SYS INC COM | TOTAL..... | 16,000 |
| | | 15,000 11/15/74 | HARMAN J DAVID | 800 | 09/05/73 |
| COCA COLA CO COM CLEAVER JOHN C | TOTAL..... | 15,000 | PIETRASANTA ADONE C JR | 500 | 10/24/74 |
| | | 1,000 10/28/74 | TAGGARD JEAN P | 2,400 | 10/31/74 |
| CODEX CORP COM SODERSTROM ROLF E & GERTRUDE K | TOTAL..... | 1,000 | COMPUTER OPERATIONS INC COM | TOTAL..... | 3,700 |
| | | 1,500 11/19/74 | HEARN JOHN BERKELEY | 602 | 11/15/74 |
| COHERENT RADIATION COM MET VICTOR | TOTAL..... | 1,500 | COMPUTER PRODS INC COM | TOTAL..... | 602 |
| | | 1,161 11/11/74 | MCMAIL ROBERT B | | 10/31/74 |
| COLLINS & AIRMAN CORP COM STEWART ALEC C | TOTAL..... | 1,161 | COMPUTERVISION CORP COM | TOTAL..... | |
| | | 5,000 10/17/74 | SCHEINMAN RICHARD P | 2,029 | 11/07/74 |
| COLUMBIA BROADCASTING SYS INC COM GEFFEN DAVID | TOTAL..... | 5,000 | SCHEINMAN VICTOR DAVID | 2,029 | 11/07/74 |
| | | 37,500 10/24/74 | STOESSER WILLIAM F | 10,000 | 11/05/74 |
| COMBUSTION EQUIP ASSOC INC COM ALPIN JEROME | TOTAL..... | 37,500 | CONTECH LABS INC COM | TOTAL..... | 14,058 |
| MECKEN ANDREW | | 700 10/31/74 | LEVIN RENEE S & ROBERT | 1,500 | 05/15/74 |
| WEITZ MOE | | 4,500 11/06/74 | POOD PHILIP | 3,000 | 11/04/74 |
| | | 280 11/20/74 | COMPUTER MACHY CORP COM | TOTAL..... | 4,500 |
| COMMERCE CLEARING HOUSE INC COM ROSS ROBERT S JR | TOTAL..... | 5,400 | HEYRANH REX J L | 5,000 | 11/08/74 |
| FEENEY DENNIS J & KATIE LANE | | 1,000 10/28/74 | CONNELLY CONTAINERS INC COM | TOTAL..... | 5,000 |
| | | 400 10/21/74 | PEPPER ANTHONY J JR | 1,700 | 11/20/74 |
| | | 1,400 | CONSOLIDATED FOODS CORP | TOTAL..... | 1,700 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|--|---|----------------------|--|
| COM MALONEY JOHN P MALONEY JOHN P | 9,881 2,500 | 10/26/74 11/07/74 | DANSON OIL CORP COM DERROWITZ HAROLD D | TOTAL..... | 10,000 |
| CONSOLIDATED NAT GAS CO COM REID JOE D | TOTAL..... | 12,381 | DANA CORP COM ROIT ANITA T | TOTAL..... | 1,158 11/07/74 |
| CONSOLIDATED PAPERS INC COM YOUNG MENS CHRISTIAN ASSOCIATION | TOTAL..... | 3,000 11/18/74 3,000 | DANKER & WOHLK INC COM TEITLER STANLEY | TOTAL..... | 1,158 4,999 10/21/74 |
| CONSUMAT SYS INC COM FLOWERS WILLIAM W | TOTAL..... | 300 11/01/74 300 | DART INDS INC COM MILWAUKEE BLOOD CENTER INC | TOTAL..... | 4,099 763 11/07/74 |
| CONSYNE CORP COM PAUL TOWNSEND B | TOTAL..... | 500 11/18/74 500 | DATA DISC INC COM ANDERSON THOMAS REID | TOTAL..... | 1,293 10/29/74 2,056 |
| CONTINENTAL AIR LINES INC COM HANKINS DICK R | TOTAL..... | 4,000 11/15/74 4,000 | DATA LINK CORP COM SHEAHAN DONALD F SHEAHAN DONALD F | TOTAL..... | 964 11/18/74 964 |
| CONTINENTAL MTG INVS SM BEN INT COLTS EMPLOYEES PENSION PLAN TRUST | TOTAL..... | 500 10/30/74 500 | DATA PRODS CORP COM VARNI ADRIAN | TOTAL..... | 1,000 11/15/74 1,000 11/08/74 1,000 11/08/74 |
| PRATT & WHITNEY EMPLOYEE BENEFIT PLAN T | TOTAL..... | 4,968 11/18/74 9,231 11/18/74 | DATAPOINT CORP COM HYDER ARLEN D | TOTAL..... | 3,000 1,600 11/21/74 1,600 |
| CONTINENTAL TEL CORP COM DELLAMY EARLE G | TOTAL..... | 14,190 | DATASCOPE CORP COM ESTATE OF SAPER LOUIS W | TOTAL..... | 7,925 07/01/74 13,735 07/01/74 |
| CONTROL DATA CORP DEL COM KEYE WILLIAM R KEYE WILLIAM R KEYE WILLIAM R | TOTAL..... | 25,000 11/01/74 25,000 | DATATROL INC COM MCNEISH DONALD W | TOTAL..... | 21,680 1,943 10/15/74 1,943 |
| COQUINA OIL CORP COM CONLY GEO T | TOTAL..... | 200 09/11/74 900 10/09/74 500 11/05/74 | DEN TAL EZ INC COM LOEST GARY E | TOTAL..... | 200 10/15/74 200 |
| CORCO INC COM HAMILTON R MATTHEW G ANN K | TOTAL..... | 1,200 3,000 11/12/74 3,000 | DENTCO MED INDS INC COM COOPER ABRAHAM | TOTAL..... | 9,000 11/08/74 9,000 |
| CORDIS CORP COM MURPHY WILLIAM P JR | TOTAL..... | 225 10/15/74 225 | DEMARTINI CAROL ANN GUILFMAN SALOMON | TOTAL..... | 1,525 10/25/74 1,525 |
| COTTON PETE CORP COM BURKHART JAMES L | TOTAL..... | 1,000 11/07/74 1,000 | DELHI INTL OIL CORP COM RITH LANGDOH | TOTAL..... | 400 10/25/74 1,000 11/25/74 |
| CREST ULTRASONICS CORP COM STEWART B A | TOTAL..... | 1,500 11/21/74 1,500 | DEN TAL EZ INC COM LOEST GARY E | TOTAL..... | 1,400 600 11/07/74 600 |
| CROMPTON & KNOWLES CORP COM LEINWEBER WILLIAM F JR | TOTAL..... | 3,000 11/01/74 3,000 | DENTCO MED INDS INC COM COOPER ABRAHAM | TOTAL..... | 3,700 11/14/74 3,700 |
| CROMPTON INC COM RICHMOND HOWARD | TOTAL..... | 1,000 10/28/74 1,000 | DEMARTINI CAROL ANN GUILFMAN SALOMON | TOTAL..... | 1,000 11/06/74 1,900 11/30/74 2,500 11/06/74 |
| CRUM & FORSTER COM KIRKLAND CHARLES A JR KIRKLAND CHARLES A JR RIDGWAY WILLIAM C JR | TOTAL..... | 2,500 11/19/74 2,500 | LINDENBAUM MALVIN PARISI MICHAEL | TOTAL..... | 900 11/11/74 2,000 11/06/74 3,000 11/07/74 |
| DMJ INDS INC COM SCHWARZ BERT | TOTAL..... | 12,084 11/04/74 6,000 11/22/74 10,000 11/08/74 | ROSENBERG KENNETH SKLOVER I THEODORE | TOTAL..... | 500 11/07/74 500 11/06/74 6,000 11/07/74 |
| DMP INC COM WILLIAMS COLLEGE | TOTAL..... | 12,975 11/12/74 12,975 | TEICHMAN JULIUS TRACER OSCAR | TOTAL..... | 1,000 11/04/74 1,000 4,000 11/06/74 |
| DAIRY QUEEN STORES INC COM PICK ALFRED | TOTAL..... | 1,000 10/23/74 1,000 | WEXLER JOSEPHINE B | TOTAL..... | 23,700 |
| DARON CORP COM PIESTER LFROY E & BETTY J | TOTAL..... | 1,000 10/28/74 10,000 10/28/74 | DENTSPLY INTL INC COM DIEMER JOHN A LIEBOWITZ NORMAN J | TOTAL..... | 3,000 11/15/74 2,629 11/01/74 2,629 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| DEPOSIT NATL BK DUBOIS PA COM CHAPLIN D EDWARD | 400 | 11/01/74 | EASCH OIL CO COM MCCOWN F P | 33 | 11/04/74 |
| TOTAL..... | 400 | | SLINKARD R M | 2,500 | 11/05/74 |
| DESERET PHARMACEUTICAL INC COM BALLARD DALE H | 2,000 | 11/12/74 | EASTECH INC COM WHITE DOUGLAS F | 2,933 | |
| CARTWRIGHT VICTOR I | 1,000 | 10/25/74 | TOTAL..... | 5,000 | 12/31/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 5,000 | |
| DIAGNOSTIC INC COM HORTON ROBERT R | 4,750 | 10/25/74 | EASTERN GAS & FUEL ASSOC COM ESTATE OF GOLDSTON ELI | 25,000 | 11/01/74 |
| TOTAL..... | 4,750 | | TR FBO GOLSTON ROBT J | 2,357 | 10/28/74 |
| DIAGNOSTIC DATA INC COM RALBERSZSKI THEODORE | 2,000 | 01/15/75 | TR FBO SMITH DIAN G | 3,655 | 10/30/74 |
| CRETE CHARLES A | 1,000 | 11/01/74 | TOTAL..... | 31,012 | |
| TOTAL..... | 3,000 | | EASTERN PETE CO COM PAR 30-10 HUFF VERNON J | 14,000 | 11/20/74 |
| DIAMOND M DRILLING CO COM DOM CHEMICAL CO | 317 | 10/28/74 | MURHEAD RAY CARLTON | 14,200 | 11/07/74 |
| WILCOX J GEER | 3,752 | 10/25/74 | TOTAL..... | 28,200 | |
| WOOD JOHN S | 1,197 | 11/20/74 | ECMLN MFG CO COM OLEFSON FREDRIC D | 16,349 | 11/14/74 |
| TOTAL..... | 5,266 | | TOTAL..... | 16,349 | |
| DICK A B CO COM DICK EDISON W | 7,000 | 11/07/74 | ECHO OIL CORP COM ARMOR WILLIAM C JR ARMOR WILLIAM C JR | 2,500 | 11/11/74 |
| DOUGLAS GARNETT C TRUST 2-39216 | 10,250 | 10/22/74 | HAYS ROBERT H | 5,000 | 11/11/74 |
| DOUGLAS GARNETT C TRUST 2-16324 | 10,250 | 10/22/74 | TOTAL..... | 25,000 | 11/14/74 |
| TOTAL..... | 27,500 | | TOTAL..... | 27,500 | |
| DIGICON INC COM PULJU HUGO J | 2,100 | 09/23/74 | ECKERD JACK CORP COM FIRESTONE GEORGE | 4,800 | 11/18/74 |
| TOTAL..... | 2,100 | | MARCUS MARVIN A | 4,916 | 11/15/74 |
| DILLINGHAM CORP COM CHASE WENDY WICK | 13,656 | 11/14/74 | TOTAL..... | 9,716 | |
| DEYOUNG PENELOPE WICK | 15,190 | 11/15/74 | EDGINGTON OIL CO COM SCHUMACHER MARVIN L | 1,575 | 11/07/74 |
| TOTAL..... | 28,846 | | TOTAL..... | 1,575 | |
| DILLON COS INC COM IMMACULATE HEART OF MARY CHURCH | 100 | 11/11/74 | EL CHICO CORP COM CUELLAR J ROBERT & BARBARA | 575 | 10/29/74 |
| TOTAL..... | 100 | | TOTAL..... | 575 | |
| DONRAR DEV CORP COM RISWANGER CHRISTI | 1,000 | 10/22/74 | ELECTRONIC ARRAYS INC COM ANDERSON SIDNEY L | 930 | 10/25/74 |
| DOUMET TANNOUS K | 15,000 | 01/04/74 | HAPES KENNETH W | 400 | 12/15/74 |
| TOTAL..... | 16,000 | | MCCOY MICHAEL R | 1,180 | 11/18/74 |
| DOYLE DANE BERNBACH INC COM REIDER DAVID | 1,000 | 11/22/74 | TOTAL..... | 2,910 | |
| TOTAL..... | 1,000 | | ELECTRONIC DATA SYS CORP COM MILLER CHARLES E MILLER CHARLES E | 2,000 | 11/14/74 |
| DUPLAN CORP COM BROWN MELVIN H | 2,600 | 10/23/74 | TOTAL..... | 4,000 | |
| TOTAL..... | 2,600 | | ELECTRONIC MEMORIES & COM KALMAN CHARLES A | 4,950 | 10/18/74 |
| DURHAM LIFE INS CO COM COLEY HAROLD D | 5,000 | 10/23/74 | OLSON JEROME C | 375 | 10/15/74 |
| TOTAL..... | 5,000 | | TOTAL..... | 5,325 | |
| DURR FILLAUER MED INC COM THAMES DAVID W THAMES DAVID W | 1,500 | 10/30/74 | ELECTRONICS CORP AMER COM THOMSON E CRAIG | 360 | 11/06/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 360 | |
| ELY INC COM RECKLEY JENNIFER M | 1,000 | 11/10/74 | ELECTRONICS MISSILES & COM CHALMERS H P | 5,000 | 10/31/74 |
| PATNER LEWIS J | 5,000 | 09/30/74 | TOTAL..... | 5,000 | |
| TOTAL..... | 6,000 | | ELIXIR INDS COM CALIFORNIA INDIAN LEGAL SERVICES EDUCAT | 5,850 | 11/01/74 |
| EMC ENERGIES INC COM TWIFORD THEODORE F & GENEVA I | 5,000 | 11/01/74 | TOTAL..... | 5,850 | |
| TOTAL..... | 5,000 | | ELPAC INC CALIF COM DAVIDSON MURIEL | 100 | 11/08/74 |
| ERC CORP COM PELCT JOHN S & BETTY L | 500 | 11/13/74 | TOTAL..... | 100 | |
| TOTAL..... | 500 | | ERERSON ELEC CO COM WICK EARL L | 1,500 | 11/06/74 |
| EARTH SCIENCES INC CL A RISK ROBERT G RISK ROBERT G | 4,000 | 10/28/74 | TOTAL..... | 1,500 | |
| TOTAL..... | 19,000 | | ENERGY MINERALS CORP COM DROULLARD E K | 16,980 | 11/21/74 |
| | | | TOTAL..... | 16,980 | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|--|----------------------|----------------------------|
| ENVIRONMENTAL CMNTYS INC COM LEVITT DONALD | 10,800 | 11/01/74 | COM HUGHES H L | 1,000 | 11/15/74 |
| TOTAL..... | 10,800 | | FLIGHTSAFETY INTL INC COM WHITMAN BRUCE N | 1,000 | |
| ENVIRONMENTAL CMNTYS INC COM RENEAU LOUIS E | 4,000 | 10/23/74 | TOTAL..... | 13,214 | 11/18/74 |
| RENEAU RAYMOND W | 3,000 | 10/23/74 | FLORIDA MNG & MATLS CORP COM CONE J L JR | 13,214 | |
| TOTAL..... | 7,000 | | TOTAL..... | 2,000 | 10/30/74 |
| ESCALADE INC COM THATCHER ARTHUR G | 3,696 | 11/20/74 | FLOWTRON INDS INC COM BLITZER KALMAN | 2,000 | |
| TOTAL..... | 3,696 | | LCFFREDD RONALD J | 2,000 | 11/30/74 |
| FDI INC COM TABRAT BERNICE | 2,500 | 10/25/74 | TOTAL..... | 4,000 | |
| TOTAL..... | 2,500 | | FLYING DIAMOND CORP UTAH COM MOTORS CHUCK PETERSON | 2,000 | 11/07/74 |
| FABULOUS INNS AMER COM CIRELLO BRUNO & SANDRA | 2,866 | 10/16/74 | STORRS GEORGE R | 1,000 | 11/14/74 |
| TOTAL..... | 2,866 | | SWAIN DAN | 12,000 | 11/08/74 |
| FAIRFIELD CMNTYS LD CO COM MICKLE JAMES D | 2,000 | 11/11/74 | TOTAL..... | 15,000 | |
| TOTAL..... | 2,000 | | FOOD FAIR STORES INC COM GOTTLIEB JACOB I | 5,000 | 11/01/74 |
| FAIRMOUNT CMEN INC COM KISH CALMAN J | 600 | 11/14/74 | TOTAL..... | 5,000 | |
| TOTAL..... | 600 | | FOOTHILL GROUP INC COM PAUCETT RUSSELL B | 2,000 | 11/15/74 |
| FALCON SEABOARD INC COM LAW T N JR | 1,000 | 10/24/74 | TOTAL..... | 2,000 | |
| TOTAL..... | 1,000 | | FOREST OIL CORP COM BISGARD SHEILA DORN | 3,000 | 10/29/74 |
| FAMILIAN CORP COM MILMAN ELLIOTT | 195 | 11/30/74 | PARKER CHARLES B | 429 | 11/12/74 |
| TOTAL..... | 195 | | TOTAL..... | 3,429 | |
| FEDERATED DEPT STORES INC COM ESTATE OF LAZARUS FRED JR | 5,700 | 11/21/74 | FRANKLIN ELEC INC COM NIXON ROBERT P | 500 | 11/01/74 |
| SIMON LAZARUS TRUST | 25,000 | 10/21/74 | NIXON ROBERT P | 500 | 11/14/74 |
| TOTAL..... | 30,700 | | TOTAL..... | 1,000 | |
| FEDERATED INCOME & PRIVATE COM CASEY WARREN A | 2,317 | 11/07/74 | FREQUENCY SOURCES INC COM BECK CHARLES J | 308 | 02/01/75 |
| TOTAL..... | 2,317 | | BRENNAN WILLIAM J | 300 | 02/01/75 |
| FIRST BANCSHARES FLA INC COM FLEMING FCB B | 3,255 | 10/30/74 | TOTAL..... | 608 | |
| FLEMING FCB B | 3,255 | 10/30/74 | FRIENDLY ICE CREAM CORP COM ANDERSON ERIC W | 600 | 10/18/74 |
| TOTAL..... | 6,510 | | BEMDEN ELLEN | 508 | 11/16/74 |
| FIRST INTL BANCSHARES INC COM FIELOS CAROLYN GUENTAN | 18,077 | 11/12/74 | BEMDEN JOHN | 405 | 11/12/74 |
| TOTAL..... | 18,077 | | TOTAL..... | 1,500 | |
| FIRST MELVILLE BANCORP INC COM NINA CORP | 1,000 | 10/25/74 | FRIGITENP CORP COM HERCOGLIANO JOSEPHINE A | 3,750 | 10/25/74 |
| NINA CORP | 580 | 10/25/74 | STANLEY RUTH | 10,000 | 10/24/74 |
| NINA CORP | 800 | 11/21/74 | TOTAL..... | 13,750 | |
| TOTAL..... | 2,100 | | FRIGITRONICS INC COM ALLIANCE MEDICAL INDUSTRIES INC | 14,750 | 10/22/74 |
| FIRST PA CORP COM FELIX ANTHONY G JR | 46,000 | 10/21/74 | HEALTH RESEARCH INC | 285 | 10/21/74 |
| TOTAL..... | 46,000 | | KIRCHNER FREDRIC M | 1,100 | 10/21/74 |
| FIRST PIEDMONT CORP COM BISHOP BENJAMIN C JR | 700 | 10/21/74 | TOTAL..... | 16,135 | |
| BISHOP BENJAMIN C JR | 1,000 | 11/14/74 | FULLER M B CO COM ANDERSEN ELMER L & ELEANOR J FOUNO | 1,000 | 11/01/74 |
| TOTAL..... | 1,700 | | WALTON J L | 900 | 10/31/74 |
| FISHER FOODS INC COM DINATTE ETHEL | 2,000 | 11/13/74 | TOTAL..... | 1,500 | |
| DINATTE ETHEL | 2,000 | 11/22/74 | GAE CORP COM WILLS SIDNEY HAYWARD | 4,000 | 11/15/74 |
| TOTAL..... | 4,000 | | TOTAL..... | 4,000 | |
| FLAVORLAND INDS INC COM DEJAGER HAROLD G | 1,700 | 10/25/74 | GABLE INDS INC COM ZETLIN LEV | 5,000 | 10/25/74 |
| TOTAL..... | 1,700 | | ZETLIN LEV | 8,900 | 11/12/74 |
| FLEETWOOD ENTERPRISES INC COM WEIDE WILLIAM TR | 1,000 | 11/20/74 | TOTAL..... | 14,700 | |
| TOTAL..... | 1,000 | | GANNETT INC COM MILLER PAUL TALFORD SECOND | 2,888 | 11/07/74 |
| FLEMING COS INC COM MILLER ARTHUR | 7,775 | 11/20/74 | TOTAL..... | 2,888 | |
| TOTAL..... | 7,775 | | GATES LEARJET CORP COM | | |
| FLEXSTEEL INDS INC | | | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|---|----------------------|----------------------------|
| GATES CHARLES G | 2,000 | 11/12/74 | GLADDING CORP COM BUCKLEY JOHN J JR | 337 | 09/16/74 |
| TOTAL..... | 2,000 | | TOTAL..... | 337 | |
| GAYLORDS NATE CORP COM SCHIFF LEONARD J | 400 | 11/14/74 | GOLDEN CYCLE CORP COM AMERICAN DIVERSIFIED ENTERPRISES INC | 14,820 | 10/25/74 |
| TOTAL..... | 400 | | TOTAL..... | 14,820 | 10/25/74 |
| GEARHART OWEN INDS INC COM DUGGETT GLENN | 1,000 | 11/04/74 | KAMUF NELSON C | 5,600 | 10/24/74 |
| OWEN HAROLD O | 650 | 11/08/74 | WELLS WILLIAM T | 5,600 | 10/28/74 |
| ROUNTREE C CHESLEY | 1,500 | 11/01/74 | TOTAL..... | 21,420 | |
| TRAIL LAKE CHURCH OF CHRIST | 700 | 11/08/74 | GOVERNMENT EMPLOYEES INS CO COM AREGER BARNET ESTATE | 10,000 | 10/22/74 |
| TOTAL..... | 3,850 | | LEWIN GEORGE F | 400 | 11/08/74 |
| GELCO CORP COM WARD ROBERT C | 2,800 | 11/16/74 | TOTAL..... | 10,400 | |
| TOTAL..... | 2,800 | | GRACE W R & CO COM GRAY JAMES L | 3,000 | 11/01/74 |
| GENERAL AMER OIL CO TEX COM VAUGHN JAMES W JR | 3,500 | 10/21/74 | GRAY JAMES L | 3,000 | 11/01/74 |
| TOTAL..... | 3,500 | | HOWACK PETER | 4,737 | 11/06/74 |
| GENERAL CAP CORP COM ESTATE OF LLOYD MARY L | 4,022 | 11/15/74 | JEWISH WELFARE FUND JEWISH WELFARE FUND JEWISH WELFARE FUND | 256 | 10/31/74 |
| TOTAL..... | 4,022 | | MCINTYRE WILLIAM C & HARRIET W JOINT TE | 589 | 11/01/74 |
| GENERAL CRUDE OIL CO COM PEW RICHARD H | 4,500 | 11/12/74 | PEARSON DANIEL | 256 | 10/31/74 |
| TOTAL..... | 4,500 | | TOTAL..... | 30,000 | 11/14/74 |
| GENERAL ENERGY CORP DEL COM INVESTMENT CORP OF PHILADFLPHIA INC | 5,500 | 10/22/74 | GRANITE MGMT SVCS INC COM GRANE FRANK | 2,000 | 11/19/74 |
| MARTIN CARL J | 5,000 | 10/24/74 | TOTAL..... | 2,000 | |
| MARTIN CARL J | 3,000 | 11/18/74 | GREAT BASINS PETE CO COM KEYES ROBERT L | 15,000 | 11/12/74 |
| SOURCE CAPITAL INC | 42,200 | 10/30/74 | TOTAL..... | 15,000 | |
| STEVENS ELEANOR | 8,333 | 10/24/74 | GREAT NORTHN WERKOOSA CORP COM FIRESTONE SOLWAY FRED | 1,000 | 11/11/74 |
| TOTAL..... | 60,933 | | TOTAL..... | 1,000 | |
| GENERAL INSTR CORP COM MOTOHOLA TRANSACTIONS SYSTEMS INC | 5,100 | 11/15/74 | GREATER HERITAGE CORP COM CASSETTARI JAMES | 2,000 | 11/19/74 |
| TOTAL..... | 5,100 | | TOTAL..... | 2,000 | |
| GENERAL MED CORP COM SAUNDERS JOHN | 787 | 11/06/74 | GREGGS FOOD PRODS INC COM HILAIRE LARRY | 1,000 | 11/04/74 |
| TOTAL..... | 787 | | TOTAL..... | 1,000 | |
| GENERAL REINS CORP DEL COM PAYSON JOAN WHITNEY | 20,000 | 11/13/74 | GREY ADVERTISING INC COM KLUDT MILLIAN D | 1,000 | 10/25/74 |
| SCAIFE RICHARD PELLEN TRUST | 5,000 | 11/13/74 | TOTAL..... | 1,000 | |
| TOTAL..... | 25,000 | | GROUND DATA CORP COM WILLIAMS CLINT JR | 12,000 | 11/01/74 |
| GENERAL SEMICONDUCTOR INDS INC COM SEIBERT DONALD C | 5,000 | 10/23/74 | TOTAL..... | 12,000 | |
| TOTAL..... | 5,000 | | GROW CHEM CORP COM MASSACHUSETTS MUTUAL LIFE INSURANCE CO | 1,025 | 11/19/74 |
| GENERICS CORP AMER COM OLIVER JACOB | 1,400 | 10/22/74 | TOTAL..... | 1,025 | |
| TOTAL..... | 1,400 | | GULF OIL CORP COM ALLEGHENY FOUNDATION | 25,000 | 11/14/74 |
| GENESCO INC COM ISRAEL CHARLES R | 5,000 | 10/18/74 | TOTAL..... | 25,000 | |
| ISRAEL JUSTIN R | 5,000 | 10/18/74 | HALL FRANK B & CO INC COM CARROLL JOHN T | 1,044 | 11/14/74 |
| ROSENSTEIN ARTHUR | 23,000 | 10/23/74 | SMITH HENRY | 4,624 | 11/12/74 |
| YALE UNIVERSITY | 200 | 11/09/74 | TOTAL..... | 5,668 | |
| TOTAL..... | 33,200 | | HALLIRURTON CO COM HEBREW ACADEMY OF NASSAU CO | 75 | 10/22/74 |
| GENUINE FRRTS CO COM DOLIVE EARL | 2,000 | 10/22/74 | HEBREW ACADEMY OF NASSAU COUNTY | 950 | 10/21/74 |
| FRASER CAROLYN CHAPMAN | 2,000 | 10/08/74 | HORNSTEIN GERTRUDE | 1,000 | 10/24/74 |
| FRASER JACK C | 3,000 | 10/08/74 | JEWISH WELFARE FEDN OF GREATER HOLLYWOOD | 135 | 10/21/74 |
| FRASER JACK C | 5,000 | 11/12/74 | JOSSI RICHARD P | 3,950 | 11/04/74 |
| GRACE CARY | 900 | 11/15/74 | | | |
| MILLER HENRY D | 2,000 | 11/15/74 | | | |
| SPEECH FOUNDATION OF AMERICA | 2,000 | 11/05/74 | | | |
| TOTAL..... | 16,900 | | | | |
| GEOTHERMAL RES INTL INC COM REED TRAVIS & MARY E | 6,450 | 11/18/74 | | | |
| TOTAL..... | 6,450 | | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|--|----------------------|----------------------------|
| TOURO COLLEGE | 550 | 10/21/74 | WARNE TRUST | 20,000 | 11/18/74 |
| UNITED JEWISH APPEAL OF GREATER NY | 265 | 10/22/74 | | 25,000 | |
| TOTAL..... | 6,525 | | HUGHES TOOL CO COM COLLIER C J JR | 400 | 11/05/74 |
| HAMILTON DIGITAL CYLS INC COM TESSITORE JOSEPH | 10,500 | 11/10/74 | CONNOR EARL H | 1,000 | 10/31/74 |
| TOTAL..... | 10,500 | | LESCH JAMES R | 400 | 11/22/74 |
| HANDY E HARMAN COM WEMPLER FRANCIS H | 1,000 | 11/19/74 | MYERS PARK L | 1,000 | 10/30/74 |
| TOTAL..... | 1,000 | | TOTAL..... | 2,800 | |
| HANDOVER PLANNING INC COM RUSNAK MILTON J | 5,756 | 10/25/74 | HUYCK CORP COM HUDSON INSTITUTE INC | 1,000 | 10/29/74 |
| TOTAL..... | 5,756 | | TOTAL..... | 1,000 | |
| HARLAND JOHN H CO COM WILSON H GRADY JR | 3,000 | 11/06/74 | HYATT CORP COM SCHNEIDER JOSEPH F | 11,687 | 10/15/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 11,687 | |
| HARLYN PRODS INC COM SYMANCH FOUNDATION INC | 6,155 | 10/17/74 | HYDRO OPTICS INC COM ROTH KENNETH | 3,500 | 10/31/73 |
| TOTAL..... | 6,155 | | ROTH KENNETH S | 1,000 | 10/28/74 |
| HARTE HANKS NEWSPAPERS INC COM MARQUIS ALICE | 5,594 | 11/30/74 | TOTAL..... | 4,500 | |
| TOTAL..... | 5,594 | | HYDRON EUROPE INC COM STINGER & FRIEDLANDER LTD | 13,000 | 11/06/74 |
| HEALTH ADVANCEMENT INC COM BUND IAN RICHARD NATHAN | 3,550 | 10/31/74 | TADOMIO JOAN | 8,000 | 10/22/74 |
| UNTERBERG THOMAS I | 1,000 | 11/21/74 | TOTAL..... | 16,000 | |
| TOTAL..... | 4,550 | | ICH PHARMACEUTICALS INC COM KOLIER IRVING | 17,083 | 11/01/74 |
| HEALTH INOS INC COM CHURCH OF JESUS CHRIST OF LATTER DAY ST | 2,500 | 11/19/74 | TOTAL..... | 17,083 | |
| TOTAL..... | 2,500 | | IVAC CORP OEL COM PAR 80-10 MITH DUNCAN A | 2,400 | 11/18/74 |
| HEINZ H J CO COM HEINZ HENRY J SECOND | 2,000 | 11/07/74 | SMITH DUNCAN A | 1,000 | 10/29/74 |
| HOWARD HEINZ ENDOWMENT | 20,000 | 10/25/74 | SMITH DUNCAN A | 1,000 | 11/08/74 |
| TOTAL..... | 22,000 | | SMITH DUNCAN A | 3,200 | 11/12/74 |
| HELLER WALTER E INTL CORP COM COHEN BENJAMIN R | 46,250 | 12/31/74 | SMITH DUNCAN A | 3,000 | 11/21/74 |
| FREEMAN MICHAEL I | 1,000 | 11/15/74 | TOTAL..... | 16,800 | |
| KANES HYMAN | 2,500 | 11/06/74 | INGERSOLL WAND CO COM KASHDEN R PAUL | 5,000 | 10/22/74 |
| ROSS EDWARD W | 20,000 | 10/30/74 | TELLERMAN EDWARD | 5,000 | 10/22/74 |
| WEXLER JERROLO | 20,000 | 10/30/74 | VOSSEN WALTER | 1,300 | 10/25/74 |
| TOTAL..... | 83,750 | | TOTAL..... | 11,300 | |
| HERITAGE QUILTS INC COM WRIGHTSON GEORGE D JR | 2,700 | 10/23/74 | INSTRUMENTATION LAB INC COM FIDUCIARY ACCOUNTS | 2,200 | 10/29/74 |
| TOTAL..... | 2,700 | | TOTAL..... | 2,200 | |
| HEWLETT PACKARD CO COM PACKARD DAVID | 10,000 | 11/06/74 | INTEGRATED RES INC COM BLOCK HARRIET | 3,991 | 10/18/74 |
| TOTAL..... | 10,000 | | TOTAL..... | 3,991 | |
| HILL BROS INC COM AMERICAN CANCER SOCIETY | 1,236 | 11/08/74 | INTEL CORP COM AMERFUND & CO | 22,000 | 11/18/74 |
| TOTAL..... | 1,236 | | COBB JOHN H | 4,554 | 11/04/74 |
| HIPOTRONICS INC COM PESCHEL STANLEY G | 2,500 | 11/08/74 | SPIEGEL PHILIP | 425 | 11/08/74 |
| TOTAL..... | 2,500 | | WOODWARD HARPER | 500 | 11/01/74 |
| HOLOBREAN INC COM POLLOCK DAVID H | 1,000 | 02/04/74 | TOTAL..... | 27,479 | |
| TOTAL..... | 1,000 | | INTERCO INC COM CHARNESS GERALD | 18,616 | 10/21/74 |
| HOOK DRUGS INC COM ST VINCENTS HOSPITAL FOUND | 1,000 | 10/31/74 | GOLOMAN MARCIA FINE | 1,000 | 11/01/74 |
| TOTAL..... | 1,000 | | TOTAL..... | 19,616 | |
| HOSPITAL AFFILIATES INC COM LASHER ALFRED W JR | 4,110 | 11/06/74 | INTERCONNECT RES CORP COM BALDING BRUCE E | 4,250 | 10/21/74 |
| TOTAL..... | 4,110 | | TOTAL..... | 4,250 | |
| HOSPITAL CORP AMER COM FRIST DOROTHY CATE FRIST DOROTHY CATE | 500 | 11/13/74 | INTERCONTINENTAL ENERGY CORP COM BIELING HANS A | 1,000 | 10/31/74 |
| TOTAL..... | 1,000 | | TANNIAN JAMES P | 872 | 10/24/74 |
| HOWELL CORP COM | | | TOTAL..... | 1,872 | |
| | | | INTERFACE SYS INC COM GAGNON RICHARD THOMAS & JANIS CLAIRE | 70,000 | 11/14/74 |
| | | | TOTAL..... | 70,000 | |
| | | | INTERMEDCO INC COM CARPENTER JOHN | 1,800 | 11/01/74 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|---|----------------------|----------------------------|
| HENSEL D GRANT | 1,700 | 10/28/74 | KAPPA SYS INC COM TR FBO SHAVINGS VIRGINIA BALED | 3,400 | 10/04/74 |
| INTERMOUNTAIN EXPL CO COM BRENNAN & ROGERS | 3,300 | | KATY INDS INC COM SAHLMAN CHARLES W | 3,400 | |
| INTERNATIONAL BUSINESS MACHS COM ESTATE OF WATSON ARTHUR K | 2,375 | 10/14/74 | SALIBA JACOB | 3,500 | 10/30/74 |
| INTERNATIONAL FLAVORS & COM KIND KENNETH A TR | 2,375 | | PPD SER B CONV \$1.46 SAHLMAN CHARLES W | 700 | 10/31/74 |
| VAN AMERINCEN FOUNDATION INC | 5,000 | 11/19/74 | KAY MARY COSMETICS INC COM ALLEN GERALD M | 1,800 | 10/30/74 |
| VANAMERINCEN H FOUND | 5,000 | | ALLSTATE INSURANCE CO ALLSTATE INSURANCE CO | 6,000 | |
| INTERNATIONAL FUNERAL SVCS INC COM GRUHN JOSEPH P | 500 | 11/13/74 | ROGERS J BEN JR | 5,000 | 11/12/74 |
| HAMILTON PAUL G | 10,000 | 10/23/74 | KAY MARY INC COM ALLSTATE INSURANCE CO | 28,000 | |
| INTERNATIONAL MINERALS & CHEM CORP COM CASCINO ANTHONY E | 2,000 | 11/14/74 | KELLY JORDAN ENTERPRISES INC COM FIRST VENTURE FUND | 19,000 | 10/25/74 |
| LORD REVERLEY | 12,500 | | KERR MC GEE CORP COM WATKINS D A & NACMI R | 8,800 | 11/06/74 |
| MOORE ROBERTA LORD | 1,327 | 11/04/74 | KIDDE WALTER & CO INC COM LEVY PATRICIA DEMBO | 4,300 | 10/28/74 |
| INTERNATIONAL MNG CORP COM HARDER LEWIS B | 3,000 | 12/01/74 | RIVKIN SELMA | 4,300 | |
| INTERNATIONAL MULTIFOODS CORP COM REAN F A FOUND INC | 4,327 | | WATSON ANN T | 600 | 11/05/74 |
| F A REAN FOUNDATION | 10,000 | 10/21/74 | KNIGHT NEWSPAPERS INC COM KNIGHT JOHN S THIRD | 858 | 11/01/74 |
| INTERNATIONAL SYS & CTLS CORP PPD CONV SER 2H \$1.50 JOSEPH J BARTRELL JR | 135 | 11/08/74 | KNIGHT JOHN S THIRD | 1,000 | 11/05/74 |
| INTERNATIONAL VIDEO CORP COM ELDRIDGE FOUND | 135 | 11/08/74 | KRATOS COM PASADENA ART MUSEUM FOR CULTURAL CENTER | 6,520 | 10/30/74 |
| INTERPOOL LTC COM SERENBETZ WARREN L | 10,270 | | ROBINSON SUZANNE H | 0,178 | |
| TUCHMAN MARTIN | 9,500 | 11/05/74 | LVD CORP COM GUSTAFSON GEORGE H | 700 | 11/06/74 |
| INTERSIL INC COM FARFEL AARON J | 9,500 | | LANCASTER COLONY CORP COM DREITZER ALBERT J | 2,300 | 11/11/74 |
| INVESTORS RLTY TR SH BEN INT STENGEL KERMIT C JR | 1,000 | 11/01/74 | DREITZER MILDRED | 3,000 | |
| JR S BIG BOY FAMILY COM ARNESSE HAROLD K | 2,300 | 11/05/74 | LANE INC COM LANE EDWARD H JR | 10,000 | 11/30/74 |
| JERRICO INC COM REAVES FRANK | 6,500 | 11/12/74 | PEARSALL ADRIAN | 15,000 | 11/13/74 |
| VANDYKE GUY W | 6,500 | | LARSON INDS INC COM SPURZEM RICHARD H | 25,000 | |
| JOHNSON & JOHNSON COM JOHNSON ROBERT WOOD FOUNDATION | 1,000 | 11/20/74 | LARWIN NYC INVS SH BEN INT GALE FREDRIC G | 20,000 | 11/18/74 |
| JOHNSON PRODS INC CEL COM JOHNSON JOHN F | 1,000 | | LATROBE STL CO COM SAXMAN M W THIRD | 20,000 | |
| KALVAR CORP COM ARCATA NATIONAL CORP | 1,000 | | LAWSON PRODS INC COM LITTLE CITY FOUND | 6,000 | 11/14/74 |
| KAMPFROUNDS AMER INC COM BOWEN FRED & MORREIN | 50,000 | 10/25/74 | MOUNT SINAI HOSPITAL SERVICE CLUB | 3,950 | 11/22/74 |
| | 50,000 | | LAUTER CHEMS INC COM HACKER RICHARD A | 3,950 | |
| | 500 | 10/23/74 | LEADVILLE CORP | 1,500 | 11/08/74 |
| | 500 | | | 1,900 | 11/08/74 |
| | 5,000 | 11/12/74 | | 3,400 | |
| | 556 | 11/22/74 | | 400 | 10/29/74 |
| | 556 | | | 400 | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| COM SHARRON JOHN F | 3,000 | 10/16/74 | COM KAUFMAN PAUL P | 2,000 | 11/19/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 2,000 | |
| LEAR PETE CORP COM COOLEY DOUGLAS R | 6,502 | 09/13/74 | LOWES COS INC COM ATWOOD LARRY T | 249 | 10/23/74 |
| MCCARTHEY ROBERT G JR | 2,85 | 10/22/74 | BYRAN WILLIE FERRELL | 1,843 | 09/16/74 |
| TOTAL..... | 6,767 | | TODD HENRY E | 5,000 | 10/22/74 |
| LEAR SIEGLER INC COM KOTANSKY DANIEL J | 2,899 | 11/13/74 | VALENTINE CHARLES T | 970 | 10/28/74 |
| TROUSDALE PAUL W | 2,200 | 10/30/74 | LUCKY STORES INC COM CLAYTON ODESSA H | 1,215 | 11/10/74 |
| TOTAL..... | 4,200 | | HANCOCK LAWRENCE D | 11/15/74 | |
| LEE PHARMACEUTICALS COM IBSEN ROBERT L | 7,200 | 10/23/74 | TOTAL..... | 1,215 | |
| TOTAL..... | 7,200 | | MCA INC COM JEWISH FEDERATION COUNCIL OF GREATER LA | 5,000 | 11/11/74 |
| LEGGETT & PLATT INC COM HILL JOHN C SR | 2,400 | 11/05/74 | TOTAL..... | 5,000 | |
| HOOKER JOE B | 2,800 | 10/21/74 | MCI COMMUNICATIONS CORP COM ADDISON CD | 4,420 | 12/16/74 |
| TOTAL..... | 5,200 | | DAYTON JULIA WINTON | 3,000 | 12/16/74 |
| LEVI STRAUSS & CO COM ROTH ARTHUR L | 6,500 | 11/20/74 | EVANS KATHERINE WINTON | 3,000 | 12/16/74 |
| TOTAL..... | 6,500 | | PEACOCK THOMAS P | 1,000 | 11/12/74 |
| LEVITZ FURNITURE CORP COM LEVITZ GARY R | 44,475 | 11/20/74 | WHITNEY HELEN WINTON | 3,000 | 12/16/74 |
| LEVITZ WILLIAM L | 20,558 | 10/23/74 | WINTON CHARLES J THIRD | 3,000 | 12/16/74 |
| TOTAL..... | 65,033 | | WINTON DAVID M | 19,620 | 12/16/74 |
| LEXITRON CORP COM ANDERSON DAVID L | 750 | 10/22/74 | WINTON McDONALD | 3,000 | 12/16/74 |
| FRANK NASON L | 1,000 | 10/31/74 | MSI DATA CORP COM DYNARSKI JOHN J | 4,500 | 10/21/74 |
| GCM INVESTMENTS | 8,000 | 10/31/74 | TOTAL..... | 4,500 | |
| TOTAL..... | 9,750 | | MACRODATA CORP COM BOETTCHER AUDREY | 600 | 10/25/74 |
| LIBERTY NATL LIFE INS CO COM SAMPFORD JOHN S P | 5,000 | 11/13/74 | TOTAL..... | 600 | |
| TOTAL..... | 5,000 | | MAGIC MARKER CORP COM WHITEHORN WILBERT | 3,750 | 12/01/74 |
| LIFE INVS INC COM HOEGEN J THOMAS | 500 | 11/08/74 | TOTAL..... | 3,750 | |
| ONEARA ROBERT H | 2,250 | 10/29/74 | MAJESTIC ELECTRO INDS LTD COM LABB PAUL J | 1,000 | 10/29/74 |
| TOTAL..... | 2,750 | | TOTAL..... | 1,000 | |
| LIFE RES INC COM ADAMS FRED C | 20,075 | 11/05/74 | MALLINCKRODT INC CL A ANDERSON F N | 824 | 11/19/74 |
| RIEKE RONALD R | 4,000 | 10/24/74 | FED OF JEWISH PHILANTHROPIES OF NY | 185 | 10/18/74 |
| TOTAL..... | 24,075 | | TOTAL..... | 1,009 | |
| LIFE SCIENCES INC COM FARROW WENDALL M | 2,000 | 11/15/74 | MALONE & HYDE INC ESM GGLO PAUL | 6,000 | 11/19/74 |
| TOTAL..... | 2,000 | | TOTAL..... | 6,000 | |
| LILLY ELI & CO COM WABASH COLLEGE | 20,000 | 10/30/74 | MANITOWOC INC COM NASH JOHN P | 1,480 | 11/01/74 |
| TOTAL..... | 20,000 | | TOTAL..... | 1,480 | |
| LITTLE SQUAM GOLD PNG CO COM HARRIS GEORGE V | 10,500 | 11/13/74 | MANUFACTURERS SYS INC COM BERGEN LAWRENCE J | 5,000 | 09/12/74 |
| KELLNER KENNETH K | 40,000 | 11/07/74 | TOTAL..... | 5,000 | |
| TOTAL..... | 50,500 | | MARCUS CORP COM HARTMAN JAMES F | 500 | 10/29/74 |
| LITTON INDS INC COM AMERICAN EXPRESS INVESTMENT FUND INC | 64,774 | 10/30/74 | TOTAL..... | 500 | |
| TOTAL..... | 64,774 | | MARION LABS INC COM KAUFFMAN EWING M | 30,000 | 11/15/74 |
| LOGICOM INC COM SOUTHWORTH RICHARD H & CAROL A | 600 | 11/25/74 | KIRSOPP EDGAR B | 3,200 | 10/22/74 |
| TOTAL..... | 600 | | TOTAL..... | 33,200 | |
| LONE STAR INDS INC COM WARNER LEON C THIRD | 2,000 | 11/08/74 | MARLENNAN CORP COM BRODHEAD JOHN JR | 2,000 | 10/26/74 |
| WARNER LEON C TRUST | 1,500 | 11/04/74 | BRODHEAD JOHN JR | 2,000 | 11/19/74 |
| TOTAL..... | 3,500 | | KUKLINSKI PAUL S | 300 | 11/20/74 |
| LONGS DRUG STORES INC COM LONG JOSEPH M | 11,500 | 10/25/74 | TOTAL..... | 4,300 | |
| TOTAL..... | 11,500 | | MCCORMICK & CO INC COM VTC | | |
| LOWENSTEIN M & SONS INC | | | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|--------------------------------|----------------------|----------------------------|
| MCCORMICK CHARLES P JR | 3,446 | 12/15/74 | THOMASON ROBERT | 8,400 | 11/01/74 |
| WELLS HARRY K | 1,200 | 10/29/74 | TOTAL..... | 10,265 | |
| WILSON HILLSMAN V | 142 | 10/28/74 | MICROFILM SYS INC | | |
| TOTAL..... | 4,788 | | COM | | |
| MCCRORY CORP | | | MARK SYSTEMS INC | 3,000 | 11/06/74 |
| COM | | | TOTAL..... | 3,000 | |
| LANE HAROLD W JR | 125,000 | 10/11/74 | MICROFILM UNLTD INC | | |
| TOTAL..... | 125,000 | | COM | | |
| MC CULLOCH OIL CORP | | | RURGIN WILLIAM T | 5,100 | 10/21/74 |
| COM | | | TOTAL..... | 5,100 | |
| MIND SCIENCE FOUND | 400 | 10/25/74 | MID CENT PTYS LTD | | |
| TOTAL..... | 400 | | COM | | |
| MCDONALDUS CORP | | | LEDERMAN SAUL | 5,200 | 10/28/74 |
| COM | | | TOTAL..... | 5,000 | |
| GOLDBERG CHERYL L | 514 | 10/31/74 | MID CONTINENT TEL CORP | | |
| GOLDBERG DAVID A | 125 | 10/31/74 | COM | | |
| TOTAL..... | 639 | | WALKER JOHN E | 1,000 | 10/30/74 |
| MCGRAW EDISON CO | | | TOTAL..... | 1,000 | |
| COM | | | MILGO ELECTR CORP | | |
| LOGAN JOHN A | 1,400 | 10/09/74 | COM | | |
| TOTAL..... | 1,400 | | PILLER MONROE A | 1,500 | 10/16/74 |
| MCGRAW HILL INC | | | TOTAL..... | 1,500 | |
| COM | | | MILLEPORE CORP | | |
| WERSTER ELIZABETH MCGRAW | 20,000 | 11/06/74 | COM | | |
| TOTAL..... | 20,000 | | DANTI BERNARD RICHARD | 3,140 | 11/06/74 |
| MCMURAN EXPL CO | | | KENT ALFRED S | 300 | 11/13/74 |
| COM | | | TOTAL..... | 3,440 | |
| NORMAN ERNEST H JR | 3,250 | 10/29/74 | MILTON ROY CO | | |
| NORMAN J ROBERT | 3,250 | 10/29/74 | COM | | |
| TOTAL..... | 6,500 | | HARTNETT EDWARD A | 1,000 | 10/17/74 |
| MEASUREX CORP | | | TOTAL..... | 1,000 | |
| COM | | | MINNESOTA MFG & MFG CO | | |
| FORSETH DEAN A | 4,700 | 12/01/74 | COM | | |
| TOTAL..... | 4,700 | | MCKNIGHT WILLIAM L | 10,000 | 10/31/74 |
| MED PACK CORP | | | TOTAL..... | 10,000 | |
| COM | | | MITCHELL ENERGY & DEV CORP | | |
| RES INC | 8,000 | 11/08/74 | COM | | |
| TOTAL..... | 8,000 | | MITCHELL GEORGE P | 10,650 | 10/24/74 |
| MEDIA HORIZONS INC | | | MITCHELL JOHNNY | 2,300 | 11/06/74 |
| CL A | | | TOTAL..... | 12,950 | |
| CROWN FRANK T | 9,000 | 12/01/74 | MORTLE HOME INDS INC | | |
| TOTAL..... | 9,000 | | COM | | |
| MEDICAL DEV CORP UTAH | | | WILLIAMS W D | 14,500 | 10/21/74 |
| COM | | | TOTAL..... | 14,500 | |
| PETERSEN DONALD P | 2,000 | 11/05/74 | MODULAR COMPUTER SYS INC | | |
| TOTAL..... | 2,000 | | COM | | |
| MEDICAL ELECTROSCIENCE & PHARMACEUTICALS INC | | | LAZAR HERRERT | 5,200 | 10/26/74 |
| CL A | | | LAZAR LEONARD | 1,000 | 11/11/74 |
| CRAIG CLINTON C | 9,501 | 11/30/74 | MARLATT RAYMOND L | 3,000 | 10/24/74 |
| TOTAL..... | 9,501 | | PEDERSEN JAMES O | 7,000 | 10/29/74 |
| MEDTRONIC INC | | | PRIM WAYNE L | 11,000 | 10/21/74 |
| COM | | | SCHWARTZ SEYMOUR D & SALLY | 3,000 | 11/06/74 |
| BAKKEN EARL E | 2,000 | 11/01/74 | TRAUB BARRY | 3,000 | 10/28/74 |
| TOTAL..... | 2,000 | | TOTAL..... | 33,200 | |
| MEGO INTL INC | | | MOLEX INC | | |
| COM | | | COM | | |
| KAPLAN JOHN H & CO | 2,575 | 11/01/74 | HALES DANIEL | 1,000 | 11/04/74 |
| TOTAL..... | 2,575 | | AREHBIEL FRED A | 2,000 | 11/21/74 |
| MELVILLE SHCE CORP | | | POHLMANN HERBERT CHARLES JR | 1,000 | 11/19/74 |
| COM | | | TOTAL..... | 4,000 | |
| FERRON DAVID V | 1,000 | 11/04/74 | MENSANTO CO | | |
| TOTAL..... | 1,000 | | COM | | |
| MERRILL LYNCH & CO INC | | | HULMAN JULE | 500 | 10/22/74 |
| COM | | | TOTAL..... | 500 | |
| FERRIS DAKIN B | 7,500 | 10/30/74 | MONTCALM VINTNERS INC | | |
| TOTAL..... | 7,500 | | COM | | |
| MESA PETE CO | | | MACKENZIE LAURIE ANN | 3,000 | 10/23/74 |
| COM | | | MACKENZIE THOMAS A | 3,000 | 10/23/74 |
| PICKENS T B JR | 10,000 | 11/19/74 | MCDONALD JOAN R | 6,500 | 11/14/74 |
| TOTAL..... | 10,000 | | TOTAL..... | 12,500 | |
| METALIZED CERAMICS CORP | | | MOCRE SAMUEL & CO | | |
| COM | | | COM | | |
| MARCELLO JOSEPH W | 3,630 | 03/21/74 | OLTON NATALIE P CUST BEN | 160 | 10/30/74 |
| TOTAL..... | 3,630 | | TOTAL..... | 160 | |
| METRIC RES CORP | | | MORTONS SHOE STORES INC | | |
| COM | | | COM | | |
| MOSELEY WAYNE W | 4,100 | 11/01/74 | BRUNER HERBERT | 1,850 | 10/21/74 |
| TOTAL..... | 4,100 | | BRENNER HERBERT | 1,350 | 10/31/74 |
| MICHIGAN SUGAR CO | | | TOTAL..... | 3,200 | |
| COM | | | POSTER CORP | | |
| HERZOG RUTH | 1,100 | 11/06/74 | COM | | |
| TOTAL..... | 1,100 | | | | |
| MICRODATA CORP | | | | | |
| COM | | | | | |
| PAGAN DONALD D | 1,865 | 11/03/74 | | | |

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|---|----------------------|----------------------------|--|----------------------|----------------------------|
| DEAN RALPH T | 500 | 11/19/74 | NEWELL COS INC COM BERKELEY FRED D | 5,000 | 11/18/74 |
| MOUNTAIN STS RES CORP COM HUNTER DAN H HUNTER DAN H | 10,000 | 10/28/74 | NEWPORT LABS INC COM PRELL DONALD B | 4,000 | 10/27/74 |
| MURPHY OIL CORP COM INGLEWOOD FOUND | 1,000 | 11/18/74 | NEWPORT PHARMACEUTICALS INTL COM GLASKY ALVIN J | 5,000 | 11/11/74 |
| NCC INDS INC COM FINNERAN FRANCIS J | 8,848 | 10/25/74 | NORLIN CORP COM STEVENS NORTON | 1,000 | 11/15/74 |
| NATIONAL CAN CORP COM FLAVOR SERVICE CO | 10,870 | 11/04/74 | NORTH AMERN PURG CO COM RECONSTRUCTIONIST RABBINICAL COLLEGE | 250 | 11/15/74 |
| FSC CORP | 10,870 | 10/24/74 | NORTH AMERN RTYS INC COM STREET GORDON P | 11,800 | 11/05/74 |
| SILVERSTEIN NATHAN | 3,445 | 11/05/74 | NORTHUP KING & CO COM NORTHUP KING & CO EMPLOYEES TR | 100,000 | 11/22/74 |
| NATIONAL CHEMSEARCH CORP COM LEVY MILTON P JR | 5,000 | 10/30/74 | NORTHUP KING & CO COM NORTHUP KING & CO EMPLOYEES TR | 100,000 | 11/22/74 |
| NATIONAL CSS INC COM LABUDE ROY C | 588 | 11/04/74 | NORTRUST CORP COM MCCONNACK WINIFRED S TRUST | 42,000 | 10/28/74 |
| PRESIDENT & FELLOWS OF HARVARD COLLEGE | 88 | 11/04/74 | NUCLEAR SVCS CORP COM MCLEOD JOHN A | 3,000 | 11/15/74 |
| NATIONAL ENVIRONMENT CORP COM BDM GENERAL CORP | 10,700 | 11/07/74 | NUCLEAR SVCS CORP COM MCLEOD JOHN A | 3,000 | 11/15/74 |
| NATIONAL INDS INC COM NELSON FRANK | 5,000 | 11/12/74 | NUCLEAR SVCS CORP COM MCLEOD JOHN A | 3,000 | 11/15/74 |
| NATIONAL LIBERTY CORP COM ROCKEFELLER BROTHERS FUND INC | 70 | 10/22/74 | NUMERAX INC COM ISAACS RUTH ISAACS RUTH | 6,200 | 01/15/75 |
| NATIONAL MED CARE INC COM BRECK MCNEISH NAGLE & DELOREY INC | 1,500 | 11/11/74 | NUMERAX INC COM ISAACS RUTH ISAACS RUTH | 1,300 | 01/15/75 |
| DELOREY EDWARD F | 1,700 | 10/18/74 | ORC CORP COM JAMICKE W GRANT | 800 | 11/21/74 |
| DELOREY EDWARD F | 1,300 | 11/05/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| DELOREY EDWARD F | 1,000 | 11/19/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| MCNEISH DAVID D | 1,000 | 11/08/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| MELICAN JOSEPH H | 1,000 | 10/31/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| MERCY HOSPITAL BUILDING FUND | 1,000 | 11/06/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NAGLE GARRETT J JR | 800 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| SMITH RICHARD A | 6,081 | 10/31/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NATIONAL PATENT DEV CORP COM ESTATE OF RODITI HAROLD L | 1,000 | 11/18/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| FOREIGN & COLONIAL INVT TR CO LTD | 41,250 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| SKLOVER ESTHER | 8,250 | 11/06/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NATIONAL SEMICONDUCTOR CORP COM SPRAGUE CARL TR FBO | 1,000 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| SPRAGUE KEVIN TR FBO | 1,000 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| SPRAGUE MICHAEL TR FBO | 1,000 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| SPRAGUE STEVEN TR FBO | 1,000 | 11/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NATIONAL STARCH & CHEM CORP COM REIDLEMAN PATRICIA P | 6,800 | 11/18/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NESCO MNG CORP COM HOMMEL WILLIAM G HOMMEL WILLIAM G | 75,000 | 10/25/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |
| NEW HAMPSHIRE BALL COM AMTOWN RICHARD E | 800 | 10/11/74 | OAK INDS INC COM HARPER CAROLYN L & PHILIP S TRUST | 200 | 11/13/74 |

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|-------------------------------------|----------------------|----------------------------|-------------------------------------|----------------------|----------------------------|
| FABERMAN ALEC R | 1,150 | 11/14/74 | PIZZA HUT INC DEL | TOTAL..... | 200 |
| ORANGE-CO INC | TOTAL..... | 1,150 | FRANCHISE RESOURCE SYSTEMS INC | 4,800 | 10/20/74 |
| COM | | | WAGNON ROBERT T | 482 | 10/17/74 |
| MCQUIRE LOUIS G | 200 | 11/01/74 | PLANT INDS INC | TOTAL..... | 4,482 |
| MCQUIRE LOUIS G & MARYANN | 200 | 10/31/74 | COM | | |
| TOTAL..... | 400 | | PIASKY ALBERT | 5,151 | 11/08/74 |
| ORIGINALA INC | | | TOTAL..... | 5,151 | |
| COM | | | POLYCHROME CORP | | |
| WACS ILIE | 2,150 | 06/10/74 | COM | | |
| TOTAL..... | 2,150 | | BEAVER COLLEGE | 1,850 | 10/25/74 |
| P & MGMT CORP | | | TOTAL..... | 1,850 | |
| COM | | | POLYMER MATLS INC | | |
| CICCAELLI FRANK | 25,000 | 11/06/74 | COM | | |
| DEMARE NICHOLAS A | 33,000 | 11/06/74 | BRIDON THOMAS F | 1,500 | 12/15/74 |
| TOTAL..... | 58,000 | | TOTAL..... | 1,500 | |
| PACIFIC SCIENTIFIC CO | | | PONDEROSA SYS INC | | |
| COM | | | COM | | |
| BREWER JACK H | 3,453 | 11/01/74 | OURANT EDWIN L | 1,199 | 10/08/74 |
| TOTAL..... | 3,453 | | TOTAL..... | 1,199 | |
| PANAX CORP | | | POSTAL INSTANT PRESS | | |
| COM | | | COM | | |
| CAMPAIGNE JAMESON G JR | 11,639 | 10/23/74 | BECKMAN DAVID | 1,300 | 10/28/74 |
| TOTAL..... | 11,639 | | TOTAL..... | 1,300 | |
| PATENT DEV CORP | | | PCTE INDS INC | | |
| COM | | | COM | | |
| GORDON PAUL | 5,000 | 11/25/74 | MCCLENNAN WILLIAM F MARYAN F | 10,000 | 10/05/74 |
| TOTAL..... | 5,000 | | TOTAL..... | 10,000 | |
| PATO CONS GOLD DRIPPING LTD | | | PRELUDE CORP | | |
| COM | | | CL R | | |
| INTERNATIONAL MINING CORP | 14,500 | 10/25/74 | HOERTH JOSEPH G | 1,400 | 11/22/74 |
| INTERNATIONAL MINING CORP | 23,500 | 10/25/74 | TOTAL..... | 1,400 | |
| INTERNATIONAL MINING CORP | 35,000 | 10/25/74 | | | |
| TOTAL..... | 73,000 | | PRENTICE HALL INC | | |
| PAYLESS CASHWAYS INC | | | COM | | |
| COM | | | EDUCATIONAL FOUNDATION OF AMERICA | 10,000 | 11/12/74 |
| MEIER HARRY E & CATHERINE R JT/TEN | 3,000 | 11/20/74 | HESTER OLIVER H | 200 | 10/25/74 |
| TOTAL..... | 3,000 | | TOTAL..... | 1,400 | |
| PEASE WILLARD OIL & GAS CO | | | POWERS JOHN P | 1,400 | 11/08/74 |
| COM | | | TR FBO BRAGG CAROLE P | 6,000 | 11/01/74 |
| HATSIIS ANTHONY G | 10,000 | 10/24/74 | TR FBO EYTINGER PAMELA P | 10,000 | 10/31/74 |
| HATSIIS ANTHONY G | 10,000 | 10/04/74 | TOTAL..... | 27,700 | |
| HATSIIS ANTHONY G | 5,000 | 10/31/74 | PRESIDENTIAL LIFE INS CO | | |
| TOTAL..... | 25,000 | | COM | | |
| PENN METAL FABRICATORS INC | | | KRAMER DONALD | 2,343 | 11/19/74 |
| COM | | | TOTAL..... | 2,343 | |
| COMEN MARLENE SUE | 9,000 | 11/08/74 | PRESIDENTIAL RLTY CORP | | |
| TOTAL..... | 9,000 | | CL R | | |
| PEPSICO INC | | | ELLIS JOHN | 1,000 | 11/13/73 |
| COM | | | TOTAL..... | 1,000 | |
| WHITE SUSAN LAY | 1,000 | 12/01/74 | PROCESS PLTS CORP | | |
| TOTAL..... | 1,000 | | COM | | |
| PETRO SILVER INC | | | PAUL REVERE VARIABLE ANNUITY INS CO | 6,000 | 11/20/74 |
| COM | | | TOTAL..... | 6,000 | |
| HAFFER RALPH | 15,000 | 10/11/74 | PROCESS SYS INC NEV | | |
| HAFFER RALPH | 5,000 | 09/03/74 | COM | | |
| HAFFER RALPH | 30,000 | 10/17/74 | ROSENTHAL CONRAD | 3,225 | 10/30/74 |
| TOTAL..... | 50,000 | | TOTAL..... | 3,225 | |
| PETROLEUM DEV CORP | | | PROPERTY CAP TR | | |
| COM | | | SH REN INT | | |
| COVIN JEROME | 22,214 | 10/30/74 | WARREN RONALD A | 4,700 | 11/21/74 |
| TOTAL..... | 22,214 | | TOTAL..... | 4,700 | |
| PHILADELPHIA SUBN CORP | | | PURGLATOR INC | | |
| COM | | | COM | | |
| KEELAN JOHN H | 1,000 | 11/15/74 | FRNESTA & JOSEPHINE PADILLA | 4,300 | 11/15/74 |
| TOTAL..... | 1,000 | | WILSON ROBERT J | 12,958 | 11/20/74 |
| PHILIPS INDS INC | | | TOTAL..... | 17,258 | |
| COM | | | QUANTOR CORP | | |
| LEVENSTEIN ROBERT | 3,000 | 11/06/74 | COM | | |
| TOTAL..... | 3,000 | | COOPER MILTON I | 2,500 | 10/25/74 |
| PHYSIO CTL CORP | | | LANE RICHARD N | 900 | 10/21/74 |
| COM | | | TOTAL..... | 1,500 | 11/01/74 |
| EDMARK K WILLIAM | 1,500 | 10/21/74 | LUCAS JOHN W | | |
| EDMARK K WILLIAM | 1,000 | 11/05/74 | TOTAL..... | 4,400 | |
| EDMARK K WILLIAM | 5,000 | 11/07/74 | QUOTE ME INC | | |
| TOTAL..... | 7,500 | | COM | | |
| PIECE GOODS SHOPS INC | | | RIER SIDNEY | 10,000 | 11/12/74 |
| COM | | | TOTAL..... | 10,000 | |
| FERGUSON ROBERT A | 2,040 | 10/28/74 | RSP CORP | | |
| TOTAL..... | 2,040 | | COM | | |
| PIER 1 IMPORTS INC | | | ROTHPLETZ BYRON | 2,500 | 10/24/74 |
| COM | | | TOTAL..... | 2,500 | |
| COOPER CALVIN H | 7,000 | 08/15/74 | R T E CORP | | |
| COOPER CALVIN H | 1,000 | 11/11/74 | COM | | |
| TOTAL..... | 8,000 | | JUNGBLUTH HAROLD J | 15,000 | 10/24/74 |
| PRIMEER HI BRED INTL INC | | | | | |
| COM | | | | | |
| LIVING HISTORY FARMS FOUNDATION INC | 200 | 10/29/74 | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|--|----------------------|----------------------------|---|----------------------|----------------------------|
| RADIANT INDS INC COM CARLSON ROBERT D | TOTAL..... | 19,000 | RIDER PUBS INC COM BRECK SCHOOL | TOTAL..... | 3,130 |
| | | 750 12/01/74 | UNITED WAY OF THE ST PAUL AREA INC | | 800 10/28/74 |
| RALSTON PURINA CO COM CORNELSEN PAUL F | TOTAL..... | 750 | | | 800 10/24/74 |
| DEAN R HALLACAY | | 3,800 10/25/74 | RILEY CO COM FLYNN RICHARD J | TOTAL..... | 1,800 |
| GOLDEN WINFREC L | | 10,000 10/25/74 | | | 4,900 11/20/74 |
| HOGAN JAMES W | | 2,500 10/25/74 | RIO GRANDE INDS INC COM LEAVELL CHARLES H | TOTAL..... | 4,900 |
| SHAPLEIGH WARREN R | | 2,000 10/25/74 | SCHULZE WALTER B | | 3,300 11/14/74 |
| | TOTAL..... | 23,300 | SMITH TAO R | | 14,275 11/15/74 |
| RANGER OIL COA LTD COM CHANDLER A H | | 321 11/08/74 | | | 3,000 10/31/74 |
| | TOTAL..... | 321 | RITE AID CORP COM ABRAMS MORRIS D | TOTAL..... | 20,975 |
| RAPIDATA INC COM LIPP MICHAEL | | 3,000 10/22/74 | SOMMER FOUNDATION | | 14,000 11/20/74 |
| O'BRIEN R J | | 600 11/11/74 | | | 4,000 11/10/74 |
| | TOTAL..... | 3,600 | RIVIANA FOODS INC COM RICHMAN MELTON & LILLIAN | TOTAL..... | 18,000 |
| RAY RES CORP COM LEWENTHAL REEVES | | 20,000 11/06/74 | | | 3,000 11/11/74 |
| | TOTAL..... | 20,000 | ROBIND LADD CO COM ROBIND CHARLES L | TOTAL..... | 3,000 |
| RAYCHEM CORP COM COOK PAUL H | | 8,000 11/01/74 | ROBIND CHARLES L | | 7,325 11/01/74 |
| HALPERIN ROBERT H | | 2,500 10/31/74 | SHEPARD CHARLES C & JUNE S | | 7,325 11/05/74 |
| | TOTAL..... | 10,500 | | | 1,200 11/11/74 |
| RECE CORP COM TR FBO WILSON MAREL S | | 3,000 11/07/74 | ROBINTCH INC COM DAGOSTINO JAMES S & FRANCES L | TOTAL..... | 19,050 |
| | TOTAL..... | 3,000 | MORTENSEN ARTHUR L | | 400 10/18/74 |
| RELIANCE GROUP INC COM LEVENSOW ELAINE S | | 1,100 10/29/74 | MORTENSEN EVELYN E | | 350 10/15/74 |
| STEINBERG ROBERT H | | 9,000 11/19/74 | NAST THOMAS | | 400 10/15/74 |
| | TOTAL..... | 10,100 | | | 1,778 11/20/74 |
| REPUBLIC CORP COM HALT FRANCIS J JR | | 2,000 11/15/74 | ROGERS BROS CO COM CRIGGS PERCY R | TOTAL..... | 2,920 |
| ROSS PAUL | | 382 09/26/74 | | | 1,125 10/24/74 |
| ROSENBERG SAM | | 2,182 10/14/74 | ROHM & HAAS CO COM WILLIAM PENN FOUNDATION | TOTAL..... | 1,125 |
| | TOTAL..... | 4,564 | | | 9,000 10/29/74 |
| RESEARCH FUELS INC COM HAMILTON G BERNARD | | 1,500 11/15/74 | ROLLINS BURDICK HUNTER CO COM ESTATE OF DWIGHT GEORGE | TOTAL..... | 9,000 |
| | TOTAL..... | 1,500 | | | 10,800 11/08/74 |
| RESEARCH INDS CORP COM CHURCH OF JESUS CHRIST OF LATTER DAY ST | | 1,000 10/29/74 | ROLLINS INC COM DWORKIN NATHAN | TOTAL..... | 10,800 |
| JACKSON ROWLAND A | | 1,000 11/01/74 | MARRS SYLVIA S | | 10,800 11/01/74 |
| | TOTAL..... | 2,000 | | | 15,000 11/25/74 |
| RESERVE OIL & MINERALS CORP COM HUDSON WILLIAM T | | 100 11/20/74 | ROSARIO RES CORP COM REININGER ROBERT H & MARGARET R | TOTAL..... | 28,000 |
| SWANSON MELVIN A | | 500 11/21/74 | | | 9,000 11/05/74 |
| | TOTAL..... | 600 | ROYALPAR INDS INC COM GOLDSTEIN ROBERT | TOTAL..... | 9,000 |
| REYNOLDS METALS CO COM REYNOLDS VIRGINIA S | | 4,300 11/01/74 | | | 9,399 10/23/74 |
| | TOTAL..... | 4,300 | RUCKER CO COM LA VERNE COLLEGE | TOTAL..... | 9,399 |
| REYNOLDS SECS INC COM RALPH RICHARD G | | 1,000 09/10/74 | | | 1,000 10/30/74 |
| | TOTAL..... | 1,000 | RUCKER PHARMACAL INC COM CROW RICHARD DENMAN | TOTAL..... | 1,000 |
| RICHARDSON CO COM IRVIN HARRY C TRUST | | 4,440 11/01/74 | SMITH ALEXANDER R FOURTH | | 800 10/28/74 |
| | TOTAL..... | 4,440 | WISE JAMES H | | 25 11/21/74 |
| RICHFORD INDS INC COM SLOTNICK AL | | 1,675 11/20/74 | | | 25 08/21/74 |
| | TOTAL..... | 1,675 | RUSS TOGS INC COM FINS DAVID | TOTAL..... | 850 |
| RICHMOND CORP COM CROWSHAW JAMES & YVONNE L | | 2,794 11/07/74 | | | 10,800 11/20/74 |
| HILL JERRY L | | 344 11/21/74 | RYAN HOMES INC COM RYAN EDWARD H | TOTAL..... | 10,800 |
| | | | RYAN HOMES INC | | 30,000 11/07/74 |
| | | | | | 39,275 10/30/74 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|------------------------------------|----------------------|----------------------------|---------------------------------------|----------------------|----------------------------|
| TITGENEIER CARL J | 8,000 | 09/10/74 | SCIENTIFIC TEXTILE SYS INC | 11,000 | |
| YOUNGMAN DAVID A | 10,000 | 11/01/74 | CCM | | |
| | 07,275 | | EZRATY LAWRENCE | 5,000 | 10/29/74 |
| RYDER SYS INC | | | | 5,000 | |
| COM | | | SCOTT & FETZER CO | | |
| PICE EDWARD G JR | 1,000 | 09/26/74 | CCM | | |
| PICE MARGUERITE M | 500 | 09/26/74 | DEAN WILLIAM O | 5,265 | 11/20/74 |
| | | | | 5,265 | |
| RYLAND GROUP INC | 1,500 | | SCOTTS LIQUID GOLD INC | | |
| COM | | | CCM | | |
| HAALCN BERNARD P | 950 | 11/20/74 | ESTATE OF BUCHHALTER LIBBY | 8,000 | 07/17/74 |
| MILLER JACK | 10,800 | 11/07/74 | | 8,000 | |
| ST AMBROSE HOUSING STD CENTER INC | 3,300 | 11/14/74 | SEA CONTAINERS INC | | |
| | | | CCM | | |
| | 14,750 | | SCHLEE PHILIP J R | 8,250 | 11/22/74 |
| SEA SVCS INC | | | | 8,250 | |
| COM | | | SEDCO INC | | |
| TEN BRINK LAWRENCE G & M RUTH | 5,000 | 11/15/74 | CCM | | |
| YOUNTS MORRIS A & MARJORIE A | 7,470 | 11/12/74 | BRUYERE WILLIAM H | 7,000 | 10/18/74 |
| | | | DAVIS IRVING E | 150 | 10/29/74 |
| S R C LARS INC | 12,470 | | MAHMOOD STEPHEN C | 100 | 10/21/74 |
| COM | | | MAHMOOD STEPHEN C | 400 | 10/31/74 |
| ROTHSCHILD HARRY | 3,378 | 10/31/74 | MAHMOOD STEPHEN C | 200 | 11/04/74 |
| | | | | 7,850 | |
| SABINE RTY CORP | 3,378 | | SENTECH CORP | | |
| COM | | | CCM | | |
| HARKINS A L JR & ELAINE M | 500 | 12/15/74 | MILLARD MARK J FOUND INC | 1,200 | 11/15/74 |
| | | | | 1,200 | |
| SAFEGUARD INDS INC | 500 | | SENTRY MFG CO | | |
| COM | | | CCM | | |
| POPLAWSKY GUILLERMO & MARLENE | 809 | 10/22/74 | TR PRO HORVITZ BEATRICE GERSTEIN | 1,700 | 10/30/74 |
| | | | VENNER GEORGE A | 1,000 | 11/10/74 |
| SAFETECH INC | 809 | | | 2,700 | |
| COM | | | SERVICE CORP AMER | | |
| TOMKOWICH WILLIAM | 12,400 | 10/30/74 | CCM | | |
| | | | LOWENKRON MICHAEL | 7,278 | 01/10/75 |
| SALEM CORP | 12,400 | | | 7,278 | |
| COM | | | SHAPELL INDS INC | | |
| QUINN S E | 4,500 | 10/24/74 | CCM | | |
| | | | CONGREGATION BETH ISRAEL | 9,000 | 10/30/74 |
| SANTA FE INTL CORP | 4,500 | | | 9,000 | |
| COM | | | SHEARSON HAYDEN STONE INC | | |
| SHANNON E L JR | 15,490 | 11/20/74 | CCM | | |
| | | | BLOMBERG JOHN I | 10,000 | 11/01/74 |
| SASLOW D L INC | 15,490 | | FREUND HARRY | 500 | 10/23/74 |
| COM | | | | 10,500 | |
| SASLOW DANIEL L | 5,000 | 11/15/74 | SHELTER RES CORP | | |
| | | | COM | | |
| SAVIN BUSINESS MACHS CORP | 5,000 | | GLICKMAN CARL C | 4,000 | 11/12/74 |
| COM | | | | 4,000 | |
| LOW EVELYN & MAX FOUNDATION | 3,000 | 11/01/74 | SHERANDOAH OIL CORP | | |
| | | | COM | | |
| SCAN OPTICS INC | 3,000 | | BATES T P | 2,400 | 11/01/74 |
| COM | | | HOWELL A J & RUBY F | 1,500 | 10/23/74 |
| RECKENSTEIN HENRY | 500 | 10/03/74 | | 3,900 | |
| RECKENSTEIN LOUIS | 500 | 10/03/74 | SIGMA INTL LTD | | |
| HAVERL RONALD A | 10,000 | 09/30/74 | COM | | |
| | | | COMMUNITY ASSN OF SCHOOLS OF THE ARTS | 2,000 | 11/07/74 |
| SCHERER R P CORP | 11,000 | | | 2,000 | |
| COM | | | SMITH INTL INC | | |
| BEARDSLEE JOSEPHINE S | 20,000 | 10/22/74 | COM | | |
| BEARDSLEE JOSEPHINE S | 57,000 | 10/31/74 | GRAHAM DONALD E & CHARLOTTE TRUST | 15,692 | 11/15/74 |
| | | | WILLIAMS JCE | 4,500 | 10/28/74 |
| SCHERING PLOUGH CORP | 77,000 | | | 20,192 | |
| COM | | | SMITHLINE CORP | | |
| PLOUGH ABE | 8,100 | 10/31/74 | CCM | | |
| PLOUGH ABE | 5,000 | 11/08/74 | MATERIALS TESTING CO LTD | 62,600 | 11/14/74 |
| PLOUGH ABE | 6,000 | 11/06/74 | | 62,600 | |
| PLOUGH ABE | 10,000 | 11/11/74 | SNAP ON TOOLS CORP | | |
| PLOUGH ABE | 5,000 | 11/22/74 | COM | | |
| | | | HARRIS TRUST & SAVINGS BANK | 10,000 | 11/07/74 |
| SCHLITZ JOS BREWING CO | 34,100 | | | 10,000 | |
| COM | | | SOLID ST SCIENTIFIC INC | | |
| CITY OF COLUMBUS MISS | 916 | 10/22/74 | CCM | | |
| | | | GREATER WASHINGTON INVESTORS INC | 1,400 | 10/31/74 |
| SCHLUMBERGER LTD | 916 | | GREATER WASHINGTON INVESTORS INC | 6,500 | 11/13/74 |
| COM | | | | 7,900 | |
| DEMENTI LOUISE ACLAIDE | 5,000 | 10/30/74 | SOLID ST TECHNOLOGY INC | | |
| WILLIAM MARSH RICE UNIVERSITY | 3,000 | 11/15/74 | COM | | |
| | | | SANTORO ROBERT J | 1,000 | 12/01/74 |
| SCHULMAN A INC | 8,000 | | | 1,000 | |
| COM | | | SONICS INTL INC | | |
| LEVENTHAL JEANNE | 10,000 | 10/22/74 | COM | | |
| SACKS FAMILY CHARITABLE FOUNDATION | 1,000 | 11/06/74 | HARLAN L E | 800 | 10/22/74 |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|---|--|----------------------|----------------------------|
| SONOCO PRODS CO COM COKER CHARLES W JR COKER JAMES L | TOTAL..... | 800 | SMITH DARWIN W | 7,500 | 10/25/74 |
| | | 2,000 10/30/74 | STRIDE RITE CORP COM | 7,500 | |
| | | 3,000 10/31/74 | JEWISH PHILANTHROPIES OF GREATER BOSTON | 324 | 11/21/74 |
| SOUTHERN SCOTTISH INNS INC COM KULLMAN WILFRED W JR | TOTAL..... | 5,000 | STRUTHERS WELLS CORP COM KAMERNAN DAVID | 324 | |
| | | 7,500 11/12/74 | RISTAU PAUL E | 1,000 | 11/05/74 |
| SOUTHLAND EQUITY CORP COM HOLLOWAY LEVI M | TOTAL..... | 7,500 | | 1,000 | 11/04/74 |
| | | 10,000 10/20/74 | SULLAIP CORP COM GUSTAFSON ROGER B | 1,776 | |
| SOVEREIGN CORP COM TERRY VICTOR T | TOTAL..... | 10,000 | VAGENIUS BORJE H | 2,250 | 11/04/74 |
| | | 10,000 10/31/74 | | 2,000 | 11/01/74 |
| SPARTAN FOOD SYS INC COM PHILLIPS WILLIAM P RICHARDSON JEROME J | TOTAL..... | 10,000 | SUN OIL CO COM PEW ROBERT ANDERSON PEW ROBERT ANDERSON PEW ROBERT ANDERSON | 4,250 | |
| | | 3,700 11/05/74 | PEW SOLANGE R | 100 | 10/22/74 |
| | | 10,000 10/25/74 | PEW WALTER C | 100 | 11/01/74 |
| SQUARE D CO COM MAGIN JAMES F | TOTAL..... | 13,700 | RENTZ LEOPOLD W | 200 | 11/15/74 |
| | | 3,900 11/07/74 | | 213 | 11/15/74 |
| SQUIBB CORP COM BROWN UNIVERSITY FEON OF JEWISH PHILANTHROPIES OF NY | TOTAL..... | 3,900 | SUNSET INDS INC COM PINCUS CHARLES | 5,000 | 11/04/74 |
| | | 5,400 10/24/74 | | 1,000 | 11/08/74 |
| | | 2,380 11/07/74 | SUPER VALU STORES INC COM KRAUSS EUGENE M | 0,613 | |
| STA RITE INDS INC COM AUTH C GORDON | TOTAL..... | 7,780 | ROSENBLATT NATHAN B | 787 | 12/31/74 |
| | | 1,500 11/08/74 | | 787 | |
| STALEY A E MFG CO COM WAGNER FRANK | TOTAL..... | 1,500 | SYNEX CORP COM ESTATE OF HOLTZMANN LILLIAN | 634 | 11/14/74 |
| | | 5,000 10/29/74 | SKOWRONEK JAY C | 1,124 | 11/03/74 |
| STANDARD BRANDS INC COM LINCOLN GRAPHIC ARTS INC | TOTAL..... | 5,000 | SYSCO CORP COM WICKER HELEN FAYE | 1,758 | |
| | | 649 11/12/74 | SYSTEMATICS GEN CORP COM WHITWORTH JAMES E | 5,000 | 10/14/74 |
| STANDUN INC COM MATHEWSON CHARLES N | TOTAL..... | 649 | SYSTEMS CORP COM CHAMBERLAIN DONALD C | 5,000 | |
| | | 5,000 10/02/74 | TACO BELL COM BELL GLEN W JR | 1,500 | 11/12/74 |
| STANGE CO COM DUCE HACK D | TOTAL..... | 5,000 | TAFT BROADCASTING CO COM HAYDOCK ELIZABETH S TRUST | 9,300 | 11/06/74 |
| | | 9,410 12/16/74 | TAX CORP AMER COM STERLING WALTER H JR | 80 | 11/13/74 |
| STEAK & ALE RESTAURANTS AMER COM BANKERS LIFE INSURANCE CO OF NEBR | TOTAL..... | 9,410 | TAYLOR WINE INC COM ESTATE OF KEELER FLORA T | 9,380 | |
| | | 24,000 11/11/74 | TECHNICARE CORP COM AMES B CHARLES | 200 | 11/13/74 |
| STERLING ELECTRS CORP COM BERKSHIRE DOROTHY PAIK SALLY M | TOTAL..... | 24,000 | TEJAS GAS CORP COM | 1,300 | 11/13/74 |
| | | 545 11/14/74 | | 1,300 | |
| STEWART SANDWICHES INC COM ISEL THERESA R | TOTAL..... | 17,000 11/08/74 | | 500 | 10/23/74 |
| | | 17,545 | | 500 | |
| STONE CONTAINER CORP COM STONE ROGER & SUSAN FAMILY FOUNDATION | TOTAL..... | 2,500 10/23/74 | | 8,000 | |
| | | 2,500 | | 8,000 | |
| STONE ROGER & SUSAN FAMILY FOUNDATION | TOTAL..... | 800 | | 8,000 | 10/23/74 |
| STORAGE TECHNOLOGY CORP COM HORSMAN LARRY R | | 2,100 01/03/75 | | 8,000 | |
| MOUNTAIN VIEW UNITED METHODIST CHURCH | | 250 11/01/74 | | 13,542 | 10/15/74 |
| SMITH RICHARD A | | 2,500 10/25/74 | | 13,542 | |
| STORM DRILLING & MARINE INC COM CURRAN D MICHAEL | TOTAL..... | 4,850 | | 5,000 | 11/14/74 |
| | | 7,212 10/15/74 | | 450 | 11/06/74 |
| WEISS ARTHUR WEISS ARTHUR WEISS ELLIN SUE | TOTAL..... | 9,000 10/24/74 17,000 11/08/74 1,000 10/25/74 | | 9,450 | |
| | | 34,212 | | 15,000 | 11/06/74 |
| STORY CHEM CORP COM | | | | 15,000 | |

| ISSUER ISSUF SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUF SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|--|----------------------|----------------------------|
| PREJEAN J S | 2,600 | 11/11/74 | TORO CO COM SHANENUR DWIGHT C JR | 1,500 | 10/25/74 |
| TERTRONIX INC COM FITZGERALD ROBERT G | 10,000 | 10/15/74 | TORR LABS INC COM SYSTEMS RESEARCH ASSOCIATES | 2,000 | 11/06/74 |
| TENNANT CO COM LYON JOSEPH | 2,300 | 11/20/74 | TRANS UN CORP COM JOHNSEN NIELS W & HILLICENT JT TEN | 3,300 | 11/26/74 |
| TENNOCO INC COM HOWELL MEYEL S | 200 | 12/15/74 | TRANSAMERICA CORP COM CONN M ROBERT | 8,439 | 10/29/74 |
| RATAJCZAK THOMAS J | 542 | 10/18/74 | CRAMER GLENN A | 8,000 | 10/30/74 |
| TENNESSEE VALLEY BANCORP INC COM NELSON EDWARD G | 417 | 10/22/74 | TRIPLE CHECK INC COM FARR WILLOUGHBY | 4,449 | 11/31/74 |
| TERRA CHEMS INTL INC COM GALLOWAY THOMAS S CUST REN | 100 | 11/01/74 | TRUS JOIST CORP COM PATTERSON KEITH E | 750 | 11/10/74 |
| TESORO PETE CORP COM AMERICAN FRIENDS OF TEL AVIV UNIV | 1,000 | 11/12/74 | TYRSHARE INC COM DUCAS ROBERT | 10,280 | 11/07/74 |
| AMERICAN FRIENDS OF THE UNIV OF HAIFA | 320 | 11/25/74 | SMALL BUSINESS ENTERPRISES CO | 29,927 | 11/10/74 |
| SALINAS COMMUNITY YMCA | 200 | 10/29/74 | TR FBO LINTON ELIZABETH MARIE | 2,100 | 11/05/74 |
| UNITED JEWISH WELFARE FUND | 2,050 | 10/17/74 | TR FBO LINTON JEFFREY ROBERT | 2,100 | 11/05/74 |
| TEXAS COMM BANCSHARES INC COM JACKSON LEO E MARIAN | 1,585 | 11/01/74 | TR FBO LINTON ROBERTA HELEN | 2,100 | 11/05/74 |
| TEXAS FIRST MTG REIT WT EXP HORNE HOWARD W | 5,000 | 10/21/74 | TR FBO LINTON THOMAS NORMAN | 2,100 | 11/05/74 |
| TEXAS INDS INC COM PROCTOR FRED L SR | 5,467 | 10/09/74 | UA COLUMBIA CABLEVISION INC COM PRECISION INDUSTRIAL DESIGN CO INC | 5,811 | 11/15/74 |
| TEXAS INSTRS INC COM DALLAS FOUNDATION | 14 | 11/07/74 | UAL INC COM BIRD HELEN M TRUST # 45 | 400 | 10/24/74 |
| DALLAS FOUNDATION | 520 | 11/07/74 | BIRD RENSEN D TRUST #46 | 400 | 10/24/74 |
| HARRIS REBECCA LYNNE | 70 | 11/21/74 | CLAPP RIGER ARBET TRUST # 8 | 300 | 10/24/74 |
| PUBLIC COMM FDN FOR NORTH TEXAS | 500 | 10/17/74 | CLAPP STEPHEN C TRUST # 10 | 1,300 | 10/24/74 |
| PUBLIC COMM FDN FOR NORTH TEXAS | 400 | 10/01/74 | UPF SYS INC COM KING KENNETH D | 15,060 | 11/19/74 |
| ST MARKS SCHOOL OF TEXAS | 300 | 10/23/74 | UNION CARBIDE CORP COM MASON BIRNY JR | 1,700 | 10/23/74 |
| YOUNG WOMENS CHRISTIAN ASSOC OF DALLAS | 2,890 | 10/31/74 | MASON BIRNY JR | 1,000 | 10/31/74 |
| TEXAS OIL & GAS CORP COM BANK OF N Y CO INC RETIREMENT PLAN | 14,248 | 11/25/74 | UNITED STATES ANTIMONY CORP COM SODRONNE ROBERT | 4,000 | 11/22/74 |
| BELL TELEPHONE LABORATORIES INC | 84,600 | 10/26/74 | UNITED STATES FID & GTV CO COM DOERRE KARL M | 500 | 10/24/74 |
| TEXTONE INC COM POLATCHER ROY D & JUDY | 2,100 | 10/31/74 | UNITED STATES FILTER CORP COM DELIA AUDREY ARD | 11,200 | 11/22/74 |
| THRESHOLD TECHNOLOGY INC COM POLISH JACK H | 6,170 | 10/16/74 | DELIA ROBERT A & AUDREY A | 16,800 | 11/22/74 |
| TIDEWATER MARINE SVC INC COM CONNES MARILYN FERERT | 45 | 11/28/74 | WAY HUGH M | 970 | 11/14/74 |
| LABORDE GENIE Z | 1,030 | 10/30/74 | U S HOME CORP COM BROWN RUSSELL A | 30,000 | 10/27/74 |
| TIME MOSS INC NEV COM YOUNGLOD TERRELL P | 99 | 10/31/74 | KORNGUT RICHARD | 33,500 | 11/04/74 |
| TIMES MIRROR CO COM CHAMBERS JAMES F THIRD | 150 | 11/11/74 | WITKOFF LOUIS A | 752 | 10/29/74 |
| TODD SHIPYARDS CORP COM REILLY JOHN D JR | 3,930 | 11/15/74 | U S LD RES INC COM BERGER BRUCE | 100 | 11/12/74 |
| | 3,900 | | BERGER BRUCE | 100 | 10/10/74 |
| | | | U S REDUCTION CO COM | 200 | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|--|----------------------|----------------------------|
| SOLMON JERRY I | 1,000 | 10/28/74 | GREENFIELD JEROME | 3,375 | 10/22/74 |
| TOTAL..... | 1,000 | | GREENFIELD PEARL | 3,300 | 10/22/74 |
| UNITED STATES SURGICAL CORP COM WHITTINGHAM CECIL ANTHONY | 1,500 | 11/15/74 | GROSSMAN NATALIE | 2,500 | 10/23/74 |
| TOTAL..... | 1,500 | | RIORDAN ANN M | 1,000 | 11/18/74 |
| UNIVERSAL INSTRS CORP COM FIRST PRESBYTERIAN CHURCH | 75 | 10/16/74 | RIORDAN GEORGE N | 1,500 | 11/18/74 |
| ANDERSON CENTER FOR THE ARTS & SCIENCES | 50 | 10/22/74 | TOTAL..... | 13,875 | |
| UNITED WAY OF BROOME COUNTY INC | 125 | 10/22/74 | VISUAL SCIENCES INC COM DISANTO FRANK J | 10,000 | 08/07/74 |
| UNIVERSITY OF MICHIGAN | 20 | 09/18/74 | TOTAL..... | 10,000 | |
| TOTAL..... | 270 | | VOLUME SHOE CORP COM BURNSTEIN MYRON | 4,000 | 11/04/74 |
| UPJOHN CO COM ESTATE OF OSBORN MYRA E | 1,000 | 10/23/74 | TOTAL..... | 4,000 | |
| TOTAL..... | 1,000 | | WAGNER E R MFG CO COM WAGNER ROBERT S | 1,000 | 11/20/74 |
| VTN CORP COM WESTFALL WIN & PATRICIA | 2,000 | 10/23/74 | TOTAL..... | 1,000 | |
| TOTAL..... | 2,000 | | WAL MART STORES INC COM WALKER WILLARD J & AMY S | 1,000 | 10/23/74 |
| VALLEY INDS INC COM BARNES JAMES C | 5,000 | 10/31/74 | WALTON JAMES L & AUDREY W | 7,200 | 11/14/74 |
| BORDING CLIFFORD | 1,500 | 11/01/74 | TOTAL..... | 8,200 | |
| CLARK RAYMOND G | 5,175 | 11/01/74 | WALTER JIM CORP COM GREENBERG CAROL S | 1,100 | 11/04/74 |
| CLARK RAYMOND G | 5,000 | 11/05/74 | SHEMIN BERT I | 5,000 | 11/04/74 |
| FAIN E MACIE | 3,630 | 11/14/74 | TOTAL..... | 6,100 | |
| FAIN ROSALIE B | 2,460 | 11/14/74 | WANGCO INC COM TIAO HUI LI | 625 | 12/10/74 |
| FERRARO MICHAEL J | 1,500 | 11/05/74 | WANG BEN C | 2,400 | 11/05/74 |
| MCKEEVER HOWARD W | 1,300 | 10/30/74 | TOTAL..... | 3,225 | |
| PILAVIN SELMA F | 4,070 | 11/14/74 | WARNACO INC COM BRONSON MILTON H | 6,228 | 11/08/74 |
| PILAVIN SELMA F MARITAL TRUST | 8,410 | 11/14/74 | HIRSCH L B TRUST | 400 | 10/18/74 |
| TOTAL..... | 38,045 | | TOTAL..... | 6,628 | |
| VALTEC CORP COM GODBEY JAMES A GODBEY JAMES A | 1,300 | 11/20/74 | WARNER LAMBERT CO COM NEWMAN EMANUEL TRUST | 500 | 11/11/74 |
| TOTAL..... | 3,800 | 11/25/74 | TOTAL..... | 500 | |
| VALTEX INC COM ANTHONY THOMAS E | 6,000 | 11/29/74 | WARNER LAMBERT PHARMACEUTICAL COM SNOWDEN THOMAS L | 2,300 | 11/13/74 |
| CHURCH OF JESUS CHRIST OF LATTER DAY ST | 3,000 | 11/11/74 | TOTAL..... | 2,300 | |
| TOTAL..... | 9,000 | | WASHINGTON POST CO CL B TRUST FRO GRAHAM ELIZABETH K | 1,000 | 10/23/74 |
| VARADYNE INDS INC COM FRASCHILLA JERRY J | 900 | 11/07/74 | TOTAL..... | 1,000 | |
| PETERS SIDNEY B | 2,771 | 11/10/74 | WASTE MGMT INC COM CHRIST CHURCH OF OAK WOOD | 300 | 10/22/74 |
| TOTAL..... | 3,671 | | TOTAL..... | 300 | |
| VICTORIA STA INC COM LINCOLN LUTHERN HOME | 1,600 | 10/21/74 | WECC DEV CORP COM KLINE CARMENCITA | 1,000 | 11/01/74 |
| STREHKE WILLIAM F SR ESTATE | 9,500 | 10/21/74 | TOTAL..... | 1,000 | |
| WOODFORD JOHN B | 1,000 | 11/21/74 | WESTERN CO NORTH AMER COM CHILES M E CHILES M E CHILES M E | 15,000 | 10/23/74 |
| TOTAL..... | 12,100 | | JONES JOHN | 8,000 | 11/19/74 |
| VIKOA INC COM BANK OF CALIFORNIA | 12,300 | 10/03/74 | LOFTIN JOHN B | 1,000 | 11/21/74 |
| POTTER GARY G | 1,376 | 10/23/74 | TOTAL..... | 33,096 | |
| POTTER JO ANNE | 1,419 | 10/23/74 | WESTERN CORP COM HULANDER BENJAMIN | 5,000 | 10/21/74 |
| POTTER LEROY F | 5,807 | 10/23/74 | SALZBERG ISAAC S | 5,000 | 10/21/74 |
| TOTAL..... | 20,902 | | SALZBERG JACK I | 5,000 | 10/21/74 |
| VIPONT CHEM CO COM BRUNDAGE BARTON SCOTT TR FRO | 200 | 11/26/74 | TOTAL..... | 15,000 | |
| BRUNDAGE KELLY LYNN TR FRO | 200 | 11/26/74 | WESTERN DIGITAL CORP COM ALVES LAWRENCE J | 1,300 | 11/15/74 |
| BRUNDAGE WENDY KAY TR FRO | 200 | 11/26/74 | TOTAL..... | 1,000 | |
| TOTAL..... | 600 | | WESTERN FINE CORP DEL COM DRIGGS DON E | 1,000 | 11/07/74 |
| VIRGINIA INTL CO COM SCHREINER JEAN STARKEY ESTATE | 4,000 | 11/06/74 | DRIGGS JUNIUS E | 1,000 | 11/08/74 |
| SPROUL ARCHIBALD A | 1,000 | 10/22/74 | | | |
| TOTAL..... | 5,000 | | | | |
| VISUAL GRAPHICS CORP COM BURNS JACOB | 2,200 | 10/25/74 | | | |

| ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE | ISSUER ISSUE SELLER NAME | SHARES TO SELL | APPROX. DATE OF SALE |
|---|----------------------|----------------------------|---|----------------------|----------------------------|
| WESTERN PLASTICS CORP COM ESHELMAN DONALD F | TOTAL..... | 2,300 | NAGY ARTHUR | 2,939 | 10/25/74 |
| MORGENTHAUER RALPH L | | 1,607 11/10/74 | SCOTT PAUL B | 3,750 | 11/10/74 |
| WEBORG JAMES H | | 338 11/10/74 | STOLZOFF MARTIN S | 2,000 | 11/08/74 |
| | | 2,025 11/10/74 | WAGNER WILLIAM F | 750 | 10/25/74 |
| WESTERN UTD RES INC COM BRAUN WALTER J | TOTAL..... | 4,950 | YUBA GOLDFIELDS INC COM WOLFSON BERTRAM S | TOTAL..... | 14,200 |
| | | 25,000 10/23/74 | | 16,000 | 10/10/74 |
| WESTINGHOUSE ELEC CORP COM PARKER CLIFFORD | TOTAL..... | 25,000 | | TOTAL..... | 16,000 |
| | | 10,988 10/03/74 | | | |
| WEYERHAEUSER CO COM VICTORIA VILLAGE INC | TOTAL..... | 10,988 | | | |
| | | 1,650 10/22/74 | | | |
| WHITELPOOL CORP COM HBG CORP | TOTAL..... | 1,650 | | | |
| | | 15,000 11/12/74 | | | |
| WHITTAKER CORP COM WILLIAMS RALPH P | TOTAL..... | 15,000 | | | |
| | | 25,000 11/20/74 | | | |
| WICKES CORP COM STUMP ROBERT E | TOTAL..... | 25,000 | | | |
| | | 2,000 08/08/74 | | | |
| WILLAMETTE INDS INC COM CLARK MAURIE | TOTAL..... | 2,000 | | | |
| ESTATE OF SWINDELLS TRENF G | | 2,000 11/04/74 | | | |
| ESTATE OF WHEELER COLEMAN H | | 10,000 11/04/74 | | | |
| ESTATE OF WHEELER COLEMAN H | | 60,000 11/04/74 | | | |
| TP FBO WHEELER COLEMAN H | | 60,000 11/04/74 | | | |
| WHEELER SAMUEL C | | 1,600 11/04/74 | | | |
| | | 3,400 11/04/74 | | | |
| WILLCOX & GIBBS INC COM RUDDOLPH JOEL | TOTAL..... | 137,000 | | | |
| SALZBERG AMALIA | | 1,000 10/28/74 | | | |
| | | 5,000 09/10/74 | | | |
| | | 6,000 | | | |
| WILLIAMHOUSE REGENCY INC COM LONG ISLAND JEWISH HILLSIDE MED CENTER | | 1,000 10/08/74 | | | |
| NORTH SHORE UNIVERSITY HOSPITAL | | 1,000 11/12/74 | | | |
| | | 2,000 | | | |
| WINTER PARK TEL CO COM GALLOWAY SARAH E | TOTAL..... | 10,000 | | | |
| | | 10,000 10/23/74 | | | |
| WITTER DEAN & CO INC COM HEALY OTIS M | | 2,000 11/25/74 | | | |
| RICHESON WILL JR | | 900 10/24/74 | | | |
| | | 2,900 | | | |
| WITTER DEAN ORGANIZATION INC COM FELCHLIN JAMES A | TOTAL..... | 1,000 11/01/74 | | | |
| RICHESON WILL JR | | 1,000 10/30/74 | | | |
| | | 2,000 | | | |
| WOOLWORTH F W CO COM ESTATE OF KIRBY ALLAN P | | 150,570 11/08/74 | | | |
| KIRBY ESTATES INC | | 15,000 10/23/74 | | | |
| | | 165,570 | | | |
| WORLD WIDE COIN INVT LTD COM MURPHREYS GEORGE B | TOTAL..... | 4,000 10/22/74 | | | |
| | | 4,000 | | | |
| WRATHER CORP COM KEMPNER MARGARET L | | 1,145 11/19/74 | | | |
| | | 1,145 | | | |
| XONICS INC COM OWENS GLADYS | | 2,345 11/04/74 | | | |
| HOOKER WILLIAM J | | 2,502 11/15/74 | | | |

FORM 8-K FILINGS AND AMENDMENTS PROCESSED FOR THE MONTH ENDED 11/30/74 1/

THE COMPANIES LISTED BELOW HAVE FILED REPORTS ON FORM 8-K FOR THE PERIODS INDICATED, RESPONDING TO THE ITEM NUMBERS SHOWN IN THE COLUMN. AMENDMENTS TO REPORTS PREVIOUSLY FILED ARE ALSO LISTED, IDENTIFYING THE ITEM OR ITEMS AMENDED. PHOTOCOPIES OF SUCH REPORTS AND AMENDMENTS MAY BE PURCHASED FROM THE COMMISSION'S PUBLIC REFERENCE SECTION (IN ORDERING, PLEASE GIVE MONTH AND YEAR OF REPORT).

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|---|------------------|----------------|--|------------------|----------------|
| AARONSON BROTHERS STORES CORP | 3,5,13,14 | 10/74 | AMCON INTERNATIONAL INC | 3 | 08/74 |
| ABBOTT LABORATORIES | 7,14 | 10/74 | AMCON INTERNATIONAL INC | 2 | 09/74 |
| ABEX CORP | 3 | 09/74 | AMCORD INC | 3,13,14 | 10/74 |
| ABEX CORP | 3 | 10/74 | AMCOURT SYSTEMS INC | 7 | 10/74 |
| ACADEMY INSURANCE GROUP INC | 1,2,7,9,14 | 10/74 | AMERADA HESS CORP | 3 | 10/74 |
| ACF INDUSTRIES INC | 7,14 | 10/74 | AMERICAN AGRONOMICS CORP | 3,13 | 10/74 |
| ACME CLEVELAND CORP | NO ITEMS | 10/74 | AMERICAN APPRAISAL ASSOCIATES INC | 10 | 10/74 |
| ADAMS HILLIS CORP | 13 | 10/74 | AMERICAN ARTS & CRAFTS INC | 8 | 10/74 |
| ADDBE OIL & GAS CORP | 13 | 10/74 | AMERICAN BANCORP | 13 | 10/74 |
| ADVANCED MICRO DEVICES INC | 10 | 10/74 | AMERICAN BEEF PACKERS INC | 11 | 10/74 |
| ADVENTURE LANDS OF AMERICA INC | 7 | 10/74 | AMERICAN BIOCULTURE INC | 3,6,8,9,10 | 09/74 |
| AERO FLOW DYNAMICS INC | 2,8,10,13,14 | 10/74 | AMERICAN BUILDINGS CO | 4,14 | 10/74 |
| AETNA LIFE & CASUALTY CO | 3 | 10/74 | AMERICAN BUSINESS PRODUCTS INC | 13 | 10/74 |
| AFFILIATED BANKSHARES OF COLORADO INC | 10,13 | 10/74 | AMERICAN COMMUNITY SYSTEMS INC | 11,13,14 | 10/74 |
| AGRICULTURAL & INDUSTRIAL DEVELOPMENT I | 1 | 10/74 | AMERICAN CONSUMER INDUSTRIES INC | 7 | 10/74 |
| AGWAY INC | 8 | 10/74 | AMERICAN CYANAMID CO | 3 | 10/74 |
| AILEEN INC | 13 | 10/74 | AMERICAN DEFENDER LIFE INSURANCE CO | 13,14 | 10/74 |
| AIR FLORIDA SYSTEM INC | 1 | 10/74 | AMERICAN EAGLE PETROLEUMS LTD | 2,11,13,14 | 10/74 |
| AIR KING CORP | 10 | 10/74 | AMERICAN EQUITY INVESTMENT TRUST | NO ITEMS | 10/74 |
| AIR MICHIGAN INC | 13 | 10/74 | AMERICAN EXPORT INDUSTRIES INC | 3,14 | 10/74 |
| AIR PRODUCTS & CHEMICALS INC | 7,8 | 10/74 | AMERICAN FAMILY CORP | 13,14 | 10/74 |
| AIRCO INC | 10 | 10/74 | AMERICAN FINANCE SYSTEM INC | 13 | 10/74 |
| AIRLIFT INTERNATIONAL INC | 13 | 10/74 | AMERICAN FLETCHER MORTGAGE INVESTORS | 13 | 10/74 |
| AITS INC | 6,13 | 10/74 | AMERICAN FUNERAL HOMES INC | 9,11,14 | 10/74 |
| ARIZONA INC | 4,14 | 10/74 | AMERICAN GIRL FASHIONS INC | 6,13 | 10/74 |
| ALABAMA BY PRODUCTS CORP | 1 | 10/74 | AMERICAN HOUSING PARTNERS | 11,14 | 10/74 |
| ALARM PRODUCTS INTERNATIONAL INC | 13 | 10/74 | AMERICAN INTERNATIONAL PICTURES INC | 3 | 10/74 |
| ALASKA AIRLINES INC | 3 | 10/74 | AMERICAN INVESTORS LIFE INSURANCE CO INC | 3,13 | 10/74 |
| ALASKA INTERNATIONAL INDUSTRIES INC | 13 | 10/74 | AMERICAN LAFRANCE INC | 3 | 06/74 |
| ALASKA INTERSTATE CO | 8,10 | 10/74 | AMERICAN LAND EQUITY INC | 12,14 | 06/74 |
| ALASKA PETROLEUM & MINING INC | 2,13 | 10/74 | AMERICAN MEDICAL BUILDING GUILD INC | 1,8 | 10/74 |
| ALBERTSONS INC | 7,14 | 10/74 | AMERICAN MEDICORP INC | 4,14 | 10/74 |
| ALCAN ALUMINIUM LTD | NO ITEMS | 09/74 | AMERICAN NUCLEAR CORP | 11,14 | 10/74 |
| ALCO STANDARD CORP | 8 | 10/74 | AMERICAN SERVICE CORP | 13 | 08/74 |
| ALDON INDUSTRIES INC | 13,14 | 10/74 | AMERICAN SERVICE CORP | 13,14 | 08/74 |
| ALICO INC | 13,14 | 10/74 | AMERICAN SHIP BUILDING CO | 3,13,14 | 10/74 |
| ALKON INDUSTRIES INC | 12,14 | 11/74 | AMERICAN SMELTING & REFINING CO | 10,14 | 10/74 |
| ALL AMERICAN REALTY CO INC | 13 | 10/74 | AMERICAN SNACKS INC | 8 | 09/74 |
| ALL TECH INDUSTRIES INC | 11,14 | 10/74 | AMERICAN STANDARD INC | 7,14 | 10/74 |
| ALLCITY INSURANCE CO | 7,11,14 | 10/74 | AMERICAN TELEPHONE & TELEGRAPH CO | 3 | 10/74 |
| ALLEN GROUP INC | 7,8 | 10/74 | AMERICAN TELEVISION & COMMUNICATIONS COR | 9,10,11,14 | 10/74 |
| ALLIED ARTISTS PICTURES CORP | 13 | 10/74 | AMERICAN VIDEOMETRICS CORP | 7 | 10/74 |
| ALLIED CHEMICAL CORP | 3 | 10/74 | AMERICAN WESTERN CORP | 7,8 | 09/74 |
| ALLIED PRODUCTS CORP | 10,14 | 10/74 | AMERON INC | 14 | 10/74 |
| ALPHA PORTLAND INDUSTRIES INC | 3 | 10/74 | AMETEK INC | 3,13 | 10/74 |
| ALPINE INTERNATIONAL CORP | 10,13 | 10/74 | AMICOR INC | 13,14 | 10/74 |
| ALTON BOX BOARD CO | 3 | 10/74 | ARMEST GROUP INC | 1 | 10/74 |
| ALUMINUM CO OF AMERICA | 7 | 08/74 | AROSKEAG CO | 7,8,14 | 10/74 |
| ALUMINUM CO OF AMERICA | 3 | 10/74 | AMPCO PITTSBURGH CORP | 10 | 10/74 |
| ALUMINUM CO OF CANADA LTD | 7,14 | 10/74 | ARSTAR CORP | 11,14 | 10/74 |
| ALUMINUM CO OF CANADA LTD | 7,14 | 10/74 | ANACOMP INC | 11 | 10/74 |
| AMAREX INC | 2,8,13,14 | 10/74 | ANACONDA CO | 8,10,14 | 10/74 |
| AMCON INTERNATIONAL INC | 11 | 12/73 | ANCHOR COUPLING CO INC | 11 | 10/74 |
| AMCON INTERNATIONAL INC | 2 | 03/74 | ANDERSEN LABORATORIES INC | 12 | 10/74 |

1/ See Page 39.

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|---------------------------------------|---------------------|-------------------|--------------------------------------|---------------------|-------------------|
| ANDERSON TWO THOUSAND INC | 3 | 10/74 | BARWICK E T INDUSTRIES INC | 2,3,14 | 10/74 |
| ANSOWIA DERBY WATER CO | 4,7,14 | 10/74 | BASIC FOOD INDUSTRIES INC | 13,14 | 09/74 |
| ANTAREMI INDUSTRIES INC | 11 | 10/74 | BATES MANUFACTURING CO INC | 3,7 | 10/74 |
| APECO CORP | 3,6,13 | 10/74 | BATH INDUSTRIES INC | 10 | 10/74 |
| APL CORP | 2,8 | 10/74 | BAYUK CIGARS INC | 10,14 | 10/74 |
| APPALACHIAN POWER CO | 11,14 | 10/74 | BAZAR INC | 6 | 10/74 |
| APPLIED DEVICES CORP | 7,9,14 | 10/74 | BEHAVIORAL RESEARCH LABORATORIES INC | 7,14 | 10/74 |
| APPLIED MATERIALS INC | 7,14 | 10/74 | BEKER INDUSTRIES CORP | 13 | 10/74 |
| ARABIAN SHIELD DEVELOPMENT CO | 1,9,14 | 10/74 | BELCO PETROLEUM CORP | 2,14 | 10/74 |
| ARCATA NATIONAL CORP | 3,11 | 10/74 | BELL FUNDING CORP | 7,8 | 10/74 |
| ARCS EQUITIES CORP | 3,13,14 | 10/74 | BELL W & CO INC | 11 | 11/74 |
| ARISTAR INC | 11 | 10/74 | BENDIX CORP | 7,14 | 10/74 |
| ARKANSAS LOUISIANA GAS CO | 4,7,8,13,14 | 10/74 | BENEFICIAL CORP | 7,14 | 10/74 |
| ARKANSAS POWER & LIGHT CO | 3,8 | 10/74 | BERKSHIRE GAS CO | 13 | 10/74 |
| ARKANSAS WESTERN GAS CO | 11,14 | 10/74 | BERNZOMATIC CORP | 13 | 10/74 |
| ARMADA CORP | 8 | 10/74 | BEST PRODUCTS CO INC | 11,14 | 10/74 |
| ARMCO STEEL CORP | 14 | 10/74 | BETHLEHEM STEEL CORP | 3,14 | 10/74 |
| ARMOUR & CO | 3 | 10/74 | BETZ LABORATORIES INC | 7,14 | 10/74 |
| ARNOLD CONSTABLE CORP | 3,7 | 10/74 | BEVERLY ENTERPRISES | 3,6,10,14 | 10/74 |
| ARTS WAY MANUFACTURING CO INC | 11,13 | 10/74 | BII LIQUIDATING CORP | 14 | 10/74 |
| ASHLAND OIL INC | 3,7,14 | 10/74 | BIO MEDICAL SCIENCES INC | 13 | 09/74 |
| ASPEN SKIING CORP | 8 | 09/74 | BIO MEDICUS INC | 9,11 | 10/74 |
| ASSOCIATED HDSTS INC | 8 | 10/74 | BLACKSTONE VALLEY ELECTRIC CO | 2,14 | 10/74 |
| ASSOCIATED TRANSPORT INC | 1,3,11,14 | 06/74 | BLESSINGS CORP | 13 | 10/74 |
| ASSOCIATED TRANSPORT INC | 1,3,6,7,13,14 | 08/74 | BLISS & LAUGHLIN INDUSTRIES INC | 10,14 | 10/74 |
| ASSOCIATED TRANSPORT INC | 12,13 | 11/74 | BLUQUINT INC | 7,14 | 10/74 |
| ATCHISON TOPEKA & SANTA FE RAILWAY CO | 3,7 | 10/74 | BOISE CASCADE CREDIT CORP | 8 | 09/74 |
| ATHLONE INDUSTRIES INC | 3,13 | 10/74 | BON AIRE INDUSTRIES INC | 2,3 | 10/74 |
| ATLANTA NATIONAL REAL ESTATE TRUST | 13,14 | 10/74 | BOHANZA INTERNATIONAL INC | 10,13,14 | 10/74 |
| ATLANTIC AMERICAN CORP | 12,14 | 10/74 | BOND INDUSTRIES INC | 10,14 | 10/74 |
| ATLANTIC CITY ELECTRIC CO | 7 | 10/74 | BORG WARNER CORP | 2,13 | 10/74 |
| ATLANTIC RICHFIELD CO | 3,13,14 | 10/74 | BOSTON CO INC | 3,14 | 10/74 |
| ATLANTIC STEEL CO | 13,14 | 10/74 | BOWL AMERICA INC | 13,14 | 10/74 |
| ATLAS HOTELS INC | 13 | 10/74 | BOWMAR INSTRUMENT CORP | 6 | 10/74 |
| AUDIOTRONICS CORP | 11 | 10/74 | BOYERTOWN BURIAL CASKET CO | 11 | 10/74 |
| AUSTRAL OIL CO INC | 10,14 | 10/74 | BRADFORD COMPUTER & SYSTEMS INC | 10 | 10/74 |
| AUTHENTICOLOR INC | 11 | 10/74 | BRINKMANN INSTRUMENTS INC | 13 | 10/74 |
| AUTO TRAIN CORP | 11,14 | 10/74 | BRODY B SEATING CO | 10,14 | 10/74 |
| AUTOMATED BUSINESS CONTROLS INC | 11 | 10/74 | BROOKS & PERKINS INC | 2,7,14 | 10/74 |
| AVC CORP | NO ITEMS | 10/74 | BROOKS INTERNATIONAL INC | 11,13 | 10/74 |
| AVCO COMMUNITY DEVELOPERS INC | 7,14 | 10/74 | BROWNING FERRIS INDUSTRIES INC | 3,13 | 10/74 |
| AVCO CORP | 4,10,13,14 | 10/74 | BUDGET INDUSTRIES INC | 8 | 10/74 |
| AVCO FINANCIAL SERVICES INC | 12,13 | 10/74 | BUELL INDUSTRIES INC | 7 | 10/74 |
| AVIS INC | 3,13 | 10/74 | BUILDER INC | 13,14 | 10/74 |
| AVM CORP | 6 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 1,4,7,14 | 10/73 |
| AVNET INC | 8 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 7,14 | 12/73 |
| AVX CORP | 8 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 7,14 | 04/74 |
| AZCON CORP | 7,13 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 7,14 | 05/74 |
| BAKER INDUSTRIES INC | 10,14 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 4,7,8,14 | 07/74 |
| BALOWIN O H CO | 4,7,8,13 | 10/74 | BURNING THE FLORIST INC | 2 | 10/74 |
| BANCAL TRI STATE CORP | 7,10,14 | 10/74 | BUSH UNIVERSAL INC | 13 | 10/74 |
| BANGOR PUNTA CORP | 3,7 | 10/74 | BUTES GAS & OIL CO | 3 | 10/74 |
| BANKAMERICA CORP | 7,14 | 11/74 | CABLECOM GENERAL INC | 13 | 10/74 |
| BANKERS INVESTMENT CORP | 13 | 10/74 | CADENCE INDUSTRIES CORP | 8,13 | 10/74 |
| BANKERS TRUST | 3,13 | 09/74 | CAGLES INC | 3 | 10/74 |
| BANNER INDUSTRIES INC | 4,7,14 | 10/74 | CALLON PETROLEUM CO | 11,12,14 | 10/74 |
| BARCO OF CALIFORNIA | 11,13 | 10/74 | CALSPAN CORP | 11 | 10/74 |
| BARNETT WINSTON INVESTMENT TRUST | 10 | 10/74 | CALUMET INDUSTRIES INC | 7,14 | 04/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|--|---------------------|-------------------|
| CAMBRIDGE BEVERAGE CORP | 11 | 10/74 | CHELSEA INDUSTRIES INC | 10,14 | 06/74 |
| CAMBRIDGE COMPUTER CORP | 1,2,11,14 | 08/74 | CHEMICAL FINANCIAL CORP | 13 | 10/74 |
| CAMBRIDGE MEMORIES INC | 2,14 | 10/74 | CHESAPEAKE & OHIO RAILWAY CO | 14 | 10/74 |
| CAMELOT ENTERPRISES INC | 2,14 | 10/74 | CHESEBROUGH PONDS INC | 7,14 | 10/74 |
| CAMERON IRON WORKS INC | 11,14 | 10/74 | CHESSCO INDUSTRIES INC | 2,11,14 | 10/74 |
| CANNON HILLS CO | 13 | 10/74 | CHESSIE SYSTEM INC | 14 | 10/74 |
| CAPITAL FINANCIAL SERVICES INC | 7 | 10/74 | CHICAGO & EASTERN ILLINOIS RAILROAD CO | 11 | 10/74 |
| CAPITAL MORTGAGE INVESTMENTS | 10,14 | 10/74 | CHICKEN UNLIMITED ENTERPRISES INC | 2,14 | 10/74 |
| CAPITAL PLANNING RESOURCES | 13 | 10/74 | CHOCK FULL O NUTS CORP | 4,7,14 | 10/74 |
| CAPITAL RESOURCES REAL ESTATE PARTNERSHI | 1 | 10/74 | CHOMERICS INC | 1,10,13 | 10/74 |
| CAPITAL RESOURCES REAL ESTATE PARTNERSHI | 1 | 10/74 | CHRISTINA COMPANIES INC | 11,13 | 10/74 |
| CAPITOL INDUSTRIES EMI INC | 11 | 10/74 | CHRYSLER FINANCIAL CORP | 7 | 10/74 |
| CAPTAIN INTERNATIONAL INDUSTRIES LTD | 1,8,13,14 | 09/74 | CINERAMA INC | 4,8,11 | 10/74 |
| CARDIFF INDUSTRIES INC | 7,13 | 09/74 | CITICORP | 7,14 | 10/74 |
| CARDIODYNAMICS INC | 13,14 | 10/74 | CITINATIONAL DEVELOPMENT TRUST | 4 | 09/74 |
| CARLSBERG INCOME PROPERTIES LTD 72 | 2,14 | 10/74 | CITIZENS FINANCIAL CORP | 2,8,10,14 | 10/74 |
| CARLSBERG MOBILE HOME PROPERTIES LTD 72 | 3,10,13 | 10/74 | CITIZENS MORTGAGE INVESTMENT TRUST | 13 | 10/74 |
| CARLSBERG MOBILE HOME PROPERTIES LTD 73 | 3 | 10/74 | CITY INVESTING CO | 10 | 10/74 |
| CARLSBERG MOBILE HOME PROPERTIES LTD 73 | 2,3,13,14 | 10/74 | CLARK EQUIPMENT CO | 3,7 | 10/74 |
| CARRIER CORP | 13,14 | 10/74 | CLARK EQUIPMENT CREDIT CORP | 7,14 | 10/74 |
| CARROLS DEVELOPMENT CORP | 11 | 10/74 | CLARY CORP | 7,8,14 | 10/74 |
| CARTE BLANCHE CORP | 7,13,14 | 10/74 | CLEAR CREEK CORP | 6 | 10/74 |
| CARTER GROUP INC | 3 | 10/74 | CLEVELAND CLIFFS IRON CO | 3 | 10/74 |
| CARTER HAWLEY HALE STORES INC | NO ITEMS | 10/74 | CLEVELAND ELECTRIC ILLUMINATING CO | 3 | 10/74 |
| CASCADE STEEL ROLLING MILLS INC | 13,14 | 10/74 | CLEVETRUST REALTY INVESTORS | 13 | 10/74 |
| CATERPILLAR TRACTOR CO | 3,8,13,14 | 10/74 | CLOROX CO | 11,14 | 10/74 |
| CBET BANCSHARES INC | 13 | 08/74 | CNA FINANCIAL CORP | 10,14 | 10/74 |
| CDI CORP | 8 | 10/74 | CNA LARWIN REALTY 1972 FUND I | 6 | 10/74 |
| CECO CORP | 10,14 | 10/74 | CNA LARWIN 1971 REALTY FUND | 6 | 10/74 |
| CELANESE CORP | 10,13,14 | 10/74 | CNL FINANCIAL CORP | 3 | 10/74 |
| CELLU CRAFT INC | 7,14 | 10/74 | COACHES OF AMERICA INC | 13 | 11/74 |
| CENCO INC | 13,14 | 10/74 | COAP SYSTEMS INC | 12,14 | 07/74 |
| CENTENNIAL CORP | 13 | 08/74 | COASTAL INDUSTRIES INC | 7,13,14 | 10/74 |
| CENTENNIAL CORP | 13 | 10/74 | COASTAL STATES GAS CORP | 3,13 | 10/74 |
| CENTRAL BANCORP INC | 13 | 10/74 | COASTAL STATES GAS PRODUCE INC | 3,13 | 10/74 |
| CENTRAL BANKING SYSTEM INC | 10,13 | 10/74 | COCA COLA BOTTLING CO OF LOS ANGELES | 3 | 10/74 |
| CENTRAL HUDSON GAS & ELECTRIC CORP | 3 | 10/74 | COCA COLA BOTTLING CO OF MIAMI INC | 13 | 10/74 |
| CENTRAL ILLINOIS LIGHT CO | 7,11,14 | 10/74 | COCA COLA BOTTLING CO OF NEW YORK INC | 13,14 | 10/74 |
| CENTRAL ILLINOIS PUBLIC SERVICE CO | 3,7,14 | 10/74 | COFFEE NAT CORP | 13,14 | 10/74 |
| CENTRAL LOUISIANA ELECTRIC CO INC | 7,14 | 10/74 | COLEMAN CO INC | 3,4,14 | 10/74 |
| CENTRAL MAINE POWER CO | 4,11 | 10/74 | COLONIAL PENN GROUP INC | 14 | 10/74 |
| CENTRAL MINNESOTA TELEVISION CO | 1,2,11 | 10/74 | COLUMBIA PICTURES INDUSTRIES INC | 4,7,10,11,14 | 10/74 |
| CENTRAL MORTGAGE & REALTY TRUST | 3 | 10/74 | COMBANKS CORP | 8 | 10/74 |
| CENTRAL OF GEORGIA RAILROAD CO | 3 | 10/74 | COMBUSTION ENGINEERING INC | 3,7,10,14 | 10/74 |
| CENTRAL TELEPHONE CO OF FLORIDA | 7,14 | 10/74 | COMCORP INC | 3,13,14 | 10/74 |
| CENTURY FINANCIAL CORP OF MICHIGAN | NO ITEMS | 10/74 | COMMERCIAL BANCORPORATION OF COLORADO | 2,7,13,14 | 10/74 |
| CENTURY LABORATORIES INC | 2,7,11 | 11/74 | COMMERCIAL INTERNATIONAL CORP | 1,7 | 10/74 |
| CERTAIN TEED PRODUCTS CORP | 3,13 | 09/74 | CONMOORE CORP | 3,14 | 10/74 |
| CHAIRBOURN INC | 4,7,13 | 10/74 | COMMONWEALTH EDISON CO | 3,8,13 | 10/74 |
| CHAMPION PRODUCTS INC | 7,14 | 09/74 | COMMONWEALTH NATIONAL REALTY TRUST | 3,10,13,14 | 10/74 |
| CHAMPION SILVER MINING CO | 11 | 06/74 | COMMONWEALTH OIL REFINING CO INC | 4,7,8 | 10/74 |
| CHARAN INDUSTRIES INC | 8,13 | 08/74 | COMMONWEALTH REALTY TRUST | 1,4,7,9,14 | 10/74 |
| CHARLES RIVER BREEDING LABORATORIES INC | 4,7,14 | 10/74 | COMMUNICATIONS INDUSTRIES INC | 7,13,14 | 10/74 |
| CHARMING SHOPPES INC | 2 | 10/74 | COMMUNICATIONS SATELLITE CORP | 14 | 10/74 |
| CHASE MANHATTAN CORP | 10 | 10/74 | COMPONENTS CORP OF AMERICA | 8,14 | 10/74 |
| CHASE MANHATTAN MORTGAGE & REALTY TRUST | 4,7,14 | 10/74 | COMPREHENSIVE COMMUNITIES CORP | 13 | 10/74 |
| CHAYEN DRUG & CHEMICAL CO | 2,7,10,14 | 10/74 | CONPUORP | 4,10 | 10/74 |
| CHC CORP | 3 | 10/74 | COMPUTER CAREERS INC | 8 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|---|----------------|---------------------|-------------------|
| COMPUTER COMMUNICATIONS INC | 9 | 10/74 DANHEMANN FABRICS INC | 13 | 10/74 | |
| COMPUTER ELECTION SYSTEMS INC | 3,13 | 10/74 DATA DESIGN LABORATORIES | 9,14 | 10/74 | |
| COMPUTER INTERACTIONS INC | 3 | 04/74 DATA DYNAMICS INC | 13,14 | 09/74 | |
| COMPUTER OPERATIONS INC | 3 | 10/74 DATA LEASE FINANCIAL CORP | 2,3,13 | 10/74 | |
| COMPUTER OPTICS INC | 7,11,14 | 10/74 DATA LINK CORP | 3,6 | 10/74 | |
| COMPUTER PRODUCTS INC | 13 | 10/74 DATATROL INC | 6 | 10/74 | |
| COMPUTER SCIENCES CORP | 13 | 10/74 DATATYPE CORP | 13 | 10/74 | |
| COMPUTER TRANSCIVER SYSTEMS INC | 12 | 08/74 DAYLIN INC | 13 | 10/74 | |
| CONDEC CORP | 14 | 10/74 DAYTON POWER & LIGHT CO | 7,13 | 10/74 | |
| CONNECTICUT LIGHT & POWER CO | 10 | 10/74 DEANZA NATIONAL MOBILE ESTATES LTD | 13,14 | 10/74 | |
| CONSOLIDATED FOODS CORP | 11 | 10/74 DECATON INC | 11 | 10/74 | |
| CONSOLIDATED FREIGHTWAYS INC | 2,14 | 10/74 DEERE & CO | 4,14 | 10/74 | |
| CONSUMERS BUILDING MATS INC | 14 | 08/74 DEERE JOHN CREDIT CO | 13,14 | 10/74 | |
| CONSUMERS BUILDING MATS INC | 13,14 | 10/74 DEFLECTA SHIELD INDUSTRIES INC | 9,14 | 10/74 | |
| CONSUMERS POWER CO | 3,13 | 10/74 DEJUR AMSCO CORP | 13,14 | 10/74 | |
| CONSUMERS WATER CO | 2,7,14 | 10/74 DEL MONTE EDWP | 3 | 10/74 | |
| CONTAINERFREIGHT CORP | 13 | 10/74 DELTA AIR LINES INC | 7 | 10/74 | |
| CONTICO INTERNATIONAL INC | 11,13 | 10/74 DELTA CORP OF AMERICA | 10 | 10/74 | |
| CONTINENTAL AIR LINES INC | 7 | 10/74 DELTANA CORP | 3,7,13 | 10/74 | |
| CONTINENTAL CAN CO INC | 13 | 10/74 DENNISON MANUFACTURING CO | 7 | 10/74 | |
| CONTINENTAL CONNECTION CORP | 3 | 10/74 DERAND REAL ESTATE INVESTMENT TRUST | 11 | 10/74 | |
| CONTINENTAL COPPER & STEEL INDUSTRIES IN | 11,14 | 10/74 DETECTO SCALES INC | 6 | 10/74 | |
| CONTINENTAL ILLINOIS REALTY | 10 | 10/74 DETROIT EDISON CO | 14 | 10/74 | |
| CONTINENTAL INVESTMENT CORP MASSACHUSETT | 2,3,10,13,14 | 10/74 DEERON INC | 2,12,14 | 10/74 | |
| CONTINENTAL MORTGAGE INVESTORS | 3,6,10 | 10/74 DIAL FINANCIAL CORP | 7 | 10/74 | |
| CONTINENTAL OIL CO | 3,7,13 | 10/74 DIAMOND COAL CO INC | 8 | 10/74 | |
| CONTROL DEVELOPMENTS INC | 3 | 10/74 DIAMOND INTERNATIONAL CORP | 3 | 10/74 | |
| COOPER INDUSTRIES INC | 7,14 | 10/74 DIAMONDHEAD CORP | 1,13 | 10/74 | |
| COPELAND CORP | 13 | 10/74 DICKEY W S CLAY MANUFACTURING CO | 10 | 10/74 | |
| COPPER RANGE CO | 10,14 | 10/74 DIGI LOG SYSTEMS INC | 7 | 09/74 | |
| COPPERWELD CORP | 13 | 10/74 DIGIORGIO CORP | 8 | 10/74 | |
| CORDIS CORP | 11 | 10/74 DIGITAL EQUIPMENT CORP | 11 | 10/74 | |
| EDMURA CORP | 2,10,14 | 10/74 DILLINGHAM SYSTECH REALTY FUND ONE | 2,14 | 10/74 | |
| CORNWALL TIN & MINING CORP | 12,13,14 | 10/74 DISC INC OF AMERICA | 11 | 08/74 | |
| CORPORATION S | 2,8,11,13 | 10/74 DISCOVERY OIL LTD | 11 | 10/74 | |
| COUNTRY KITCHEN INTERNATIONAL INC | 2 | 10/74 DIVERSIFIED INDUSTRIES INC | 3 | 10/74 | |
| COURTESY PRODUCTS CORP | 2 | 11/73 DIVERSIFIED INDUSTRIES INC | 3 | 10/74 | |
| COUSINS MORTGAGE & EQUITY INVESTMENTS | 10,14 | 10/74 DIVERSIFIED MORTGAGE INVESTORS | 3,13,14 | 10/74 | |
| COVER GIRL OF MIAMI INC | 8,13 | 10/74 DIVERSIFIED REALTY INC | 2 | 10/74 | |
| COX BROADCASTING CORP | 1 | 10/74 DIVERSIFIED REALTY INC | 14 | 10/74 | |
| CRAIG CORP | 11,14 | 10/74 DOMINION HOLDINGS INC | 3,13,14 | 10/74 | |
| CRANE CO | 8 | 10/74 DOMIC CORP | 7 | 10/74 | |
| CRCOLE PETROLEUM CORP | 13,14 | 10/74 DORR OLIVER INC | 13 | 10/74 | |
| CREST ULTRASONICS CORP | 13 | 10/74 DOWNE COMMUNICATIONS INC | 13,14 | 10/74 | |
| CRESTHONT OIL & GAS CO | 3 | 10/74 DOYLE DANE BERNBACH INC | 13,14 | 10/74 | |
| CROSS CO | 3 | 10/74 DRAVO CORP | 3 | 10/74 | |
| CROWN CORK & SEAL CO INC | 10 | 10/74 DRESSER INDUSTRIES INC | 7,13,14 | 10/74 | |
| CRYPLEX INDUSTRIES INC | 1,3,7,8,12 | 03/74 DREYFUS CORP | 10,14 | 10/74 | |
| CUMMINS ENGINE CO INC | 8 | 10/74 DUBOIS INC | 13 | 10/74 | |
| CUNNINGHAM ART PRODUCTS INC | 11 | 10/74 DUKE POWER CO | 11,14 | 10/74 | |
| CURTIS HOOKER CORP | 11,13 | 10/74 DUPONT & I DENEMOURS & CO | 3 | 10/74 | |
| CURTISS WRIGHT CORP | 13 | 10/74 DUQUESNE LIGHT CO | 7,14 | 10/74 | |
| CYBERNATICS INC | 11 | 10/74 DWE CORP | 7 | 10/74 | |
| DAHLMAN INC | 1 | 09/74 DYMO INDUSTRIES INC | 11 | 10/74 | |
| DALLAS POWER & LIGHT CO | 3 | 10/74 DYNAMIC SECURITY CORP | 2,14 | 10/74 | |
| DAMSON DIL CORP | 4 | 10/74 E SYSTEMS INC | 3 | 10/74 | |
| DANA CORP | 8 | 10/74 EARLY & DANIEL CO | 13 | 10/74 | |
| DAILY MACHINE CORP | 13 | 10/74 EARTH ENERGY INC | 12 | 09/74 | |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|------------------|--|----------------|------------------|----------------|
| EARTH RESOURCES CO | 13,14 | 10/74 FARMLAND INDUSTRIES INC | 8 | 10/74 | |
| EASON OIL CO | 13,14 | 10/74 FAS INTERNATIONAL INC | 13 | 10/74 | |
| EASTERN AIR LINES INC | 8 | 10/74 FASHION TWO TWENTY INC | 13 | 10/74 | |
| EASTERN GAS & FUEL ASSOCIATES | 8,14 | 10/74 FEDDERS CORP | 13 | 10/74 | |
| EASTERN PETROLEUM CO | 2,14 | 07/74 FEDERAL CO | 3 | 10/74 | |
| EASTERN PETROLEUM CO | 2,12,14 | 08/74 FEDERAL SCREW WORKS | 11,13,14 | 10/74 | |
| EASTERN PETROLEUM CO | 13,14 | 09/74 FEDERAL SIGN & SIGNAL CORP | 13,14 | 10/74 | |
| EASTERN UTILITIES ASSOCIATES | 2,14 | 10/74 FEDERATED DEVELOPMENT CO | 8,14 | 10/74 | |
| EASTMAN KODAK CO | 14 | 09/74 FEDERATED ENTERPRISES CORP | 1,2,3,12,13,14 | 08/74 | |
| EBS DATA PROCESSING INC | 10 | 10/74 FEI CORP | 7 | 10/74 | |
| ECHLIN MANUFACTURING CO | 13 | 10/74 FERNANDO CORP | 3,10,13,14 | 10/74 | |
| ECI INDUSTRIES INC | 12,14 | 09/74 FIDELITY FINANCIAL CORP | 8 | 09/74 | |
| ECKERO JACK CORP | 13 | 10/74 FIDELITY FINANCIAL CORP | 8 | 10/74 | |
| ECKMAN CORP | 3 | 10/74 FIDELITY MORTGAGE INVESTORS | 13,14 | 10/74 | |
| EDS NUCLEAR INC | 1 | 10/74 FILMWAYS INC | 2,7,14 | 10/74 | |
| EDUCASTING SYSTEMS INC | 3 | 10/74 FINANCEAMERICA CORP | 13,14 | 10/74 | |
| ELECTRONIC COMPUTER PROGRAMMING INSTITUT | 11 | 10/74 FINANCIAL CORP OF ARIZONA | 2,7,8,13 | 10/74 | |
| ELECTRONIC ENTERPRISES INC | 14 | 11/74 FINANCIAL FEDERATION INC | 13 | 10/74 | |
| ELECTRONIC ENTERPRISES INC | 14 | 11/74 FINANCIERA METROPOLITANA S A | 13 | 09/74 | |
| ELECTRONIC RESEARCH ASSOCIATES INC | 13,14 | 10/74 FIRESTONE OVERSEAS FINANCE CORP | 2,8,11,14 | 10/74 | |
| ELIAS BROTHERS RESTAURANTS INC | 7,8,14 | 04/74 FIRESTONE TIRE & RUBBER CO | 13,14 | 10/74 | |
| ELT INC | 3,13,14 | 10/74 FIRST BANCSHARES OF FLORIDA INC | 13 | 10/74 | |
| EMCON INC | 2,14 | 09/74 FIRST BUILDERS BANCORP | 2,14 | 10/74 | |
| EMERSON LTD | 7,8 | 10/74 FIRST EQUITY FINANCIAL CORP | 8,13,14 | 10/74 | |
| EMERY INDUSTRIES INC | 10 | 10/74 FIRST FIDELITY INVESTMENT TRUST | 13,14 | 10/74 | |
| ENERGY MINERALS CORP | 8 | 10/74 FIRST FINANCIAL GROUP OF N H INC | 13 | 10/74 | |
| ENTER INC | 9,14 | 10/74 FIRST MEMPHIS REALTY TRUST | 9,10 | 09/74 | |
| ENVIROTECH CORP | 7 | 10/74 FIRST MORTGAGE INVESTORS | 6 | 10/74 | |
| EQUIPMENT CO OF AMERICA | 10,13,14 | 10/74 FIRST NATIONAL BANK TRUSTEES | 8,14 | 10/74 | |
| EQUITABLE BANCORPORATION | 13 | 10/74 FIRST NATIONAL BANKSHARES OF FLORIDA INC | 13,14 | 10/74 | |
| EQUITABLE GAS CO | 13,14 | 10/74 FIRST NATIONAL STORES INC | 8 | 10/74 | |
| EQUITABLE LIFE MORTGAGE & REALTY INVESTO | 3 | 10/74 FIRST TEXAS FINANCIAL CORP | 2,8 | 10/74 | |
| EQUITIES INTERNATIONAL LIFE INSURANCE CO | 12 | 09/74 FIRST UNION REAL ESTATE EQUITY & MORT | 7,14 | 10/74 | |
| EQUITY NATIONAL INDUSTRIES INC | 13 | 10/74 FIRST WISCONSIN MORTGAGE TRUST | 10 | 10/74 | |
| ETNA INC | 2,3 | 10/74 FIRST WISCONSIN MORTGAGE TRUST | 10 | 10/74 | |
| ESSEX INTERNATIONAL INC | 3 | 10/74 FIRST ZENITH MORTGAGE CO | 11 | 10/74 | |
| EVANS INDUSTRIES INC | 2,14 | 10/74 FIRSTMARK CORP | 7,8 | 10/74 | |
| EVANS PRODUCTS CO | 4,13,14 | 10/74 FISHER SCIENTIFIC CO | 10,13 | 10/74 | |
| EVANS PRODUCTS CO | 4,14 | 11/74 FLAVORLAND INDUSTRIES INC | 1 | 10/74 | |
| EVEREST & JENNINGS INTERNATIONAL | 2,14 | 10/74 FLEET CONTROL CORP | 13 | 10/74 | |
| EXCEPTION INC | 11 | 10/74 FLINTKOTE CO | 3 | 10/74 | |
| EXECUTIVE HOUSE INC | 2,10 | 10/74 FLORIDA EAST COAST RAILWAY CO | 13 | 10/74 | |
| EXECUTONE INC | 10,13,14 | 10/74 FLORIDA GAS CO | 3 | 10/74 | |
| EXTRACORPOREAL MEDICAL SPECIALTIES INC | 2,3 | 10/74 FLORIDA GAS TRANSMISSION CO | 3 | 10/74 | |
| EXXON CORP | 3 | 10/74 FLORIDA INVESTMENT TRUST | 13 | 10/74 | |
| EXXON PIPELINE CO | 3,7,14 | 10/74 FLORIDA MINING & MATERIALS CORP | 8 | 10/74 | |
| EZ PAINTS CORP | 3,11 | 10/74 FLORIDA POWER & LIGHT CO | 3,11,13,14 | 10/74 | |
| F&W CB&O INDUSTRIES INC | 3 | 05/74 FLORIDA POWER CORP | 3 | 10/74 | |
| F&W CB&O INDUSTRIES INC | 3 | 07/74 FLORIDA PROPERTIES INC | 2,13,14 | 10/74 | |
| FARREY INC | 3 | 10/74 FLORIDA WATER & UTILITIES CO | 12,14 | 02/74 | |
| FACSIMILE COMMUNICATIONS INDUSTRIES INC | 12 | 09/74 FLYNN ENERGY CORP | 9 | 08/74 | |
| FAIRBANKS INDUSTRIES INC | 2,8,13 | 10/74 FMC CORP | 3,10,13,14 | 10/74 | |
| FAIRCHILD INDUSTRIES INC | 13,14 | 10/74 FOOD MOST USA INC | 3,11,14 | 10/74 | |
| FAIRFIELD COMMUNITIES LAND CO | 2 | 09/74 FOOTE CONE & BELDING COMMUNICATIONS INC | 10,14 | 10/74 | |
| FALCON SEAMARINE INC | 13 | 10/74 FORD MOTOR CO | 3 | 10/74 | |
| FAMILIAN CORP | 11 | 10/74 FORD MOTOR CREDIT CO | 7 | 10/74 | |
| FARMING ENTERPRISES INC | 2,14 | 10/74 FOREST OIL CORP | 13,14 | 10/74 | |
| FARADAY ELECTRONICS CORP | 11,13 | 10/74 FORT HOWARD PAPER CO | 3 | 10/74 | |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--------------------------------------|---------------------|-------------------|-----------------------------------|---------------------|-------------------|
| FORTUNA CORP | 8 | 10/74 | GRANITE MANAGEMENT SERVICES INC | 7,14 | 10/74 |
| FOSTER WHEELER CORP | 7,13,14 | 10/74 | GRANITEVILLE CO | 7 | 10/74 |
| FOUNDERS PREFERRED LIFE INSURANCE CO | 13 | 08/74 | GRANT W T CO | 2,4,13,14 | 10/74 |
| FOUR PHASE SYSTEMS INC | 9,14 | 09/74 | GRAPHIC SCIENCES INC | 3,13,14 | 10/74 |
| FRANKLIN NEW YORK CORP | 2,3,6,14 | 10/74 | GREAT AMERICAN INDUSTRIES INC | 3 | 10/74 |
| FREEMONT MINERALS CO | 3 | 10/74 | GREAT AMERICAN MORTGAGE INVESTORS | 6 | 10/74 |
| FRIER INDUSTRIES INC | 11,14 | 10/74 | GREAT LAKES RECREATION CO | 11 | 10/74 |
| FUNDING SYSTEMS CORP | 3 | 09/74 | GREAT MARYLAND DEVELOPMENT CORP | 13 | 10/74 |
| GAF CORP | 3 | 10/74 | GREAT SOUTHWEST CORP | 3,6,7,14 | 10/74 |
| GALAXY OIL CO | 11 | 10/74 | GREAT WESTERN UNITED CORP | 3,6,13 | 10/74 |
| GAMBLE SKOGMO INC | 7,8,13,14 | 10/74 | GREEN GIANT CO | 7 | 10/74 |
| GARLOCK INC | 13,14 | 10/74 | GRIFF BROTHERS CORP | 7 | 10/74 |
| GATES LEARJET CORP | 10 | 10/74 | GREYHOUND CORP | 3 | 10/74 |
| GCA CORP | 7,14 | 10/74 | GRIOTER INC | 6,7 | 10/74 |
| GELCO FELD CORP | 11,14 | 10/74 | GROW CHEMICAL CORP | 4,7,11,13,14 | 10/74 |
| GENERAL AIRCRAFT CORP | 8 | 09/74 | GTE SYLVANIA INC | 13 | 10/74 |
| GENERAL AIRCRAFT CORP | 13 | 10/74 | GUARDIAN PACKAGING CORP | 10 | 10/74 |
| GENERAL AMERICAN TRANSPORTATION CORP | 13,14 | 10/74 | GUARDSMARK INC | 11,13 | 10/74 |
| GENERAL CRUDE OIL CO | 13 | 10/74 | GULF & WESTERN INDUSTRIES INC | 7,14 | 10/74 |
| GENERAL DEVELOPMENT CORP | 3,8,13 | 10/74 | GULF LIFE HOLDING CO | 3,14 | 10/74 |
| GENERAL ELECTRIC CREDIT CORP | 3 | 10/74 | GULF POWER CO | 3 | 10/74 |
| GENERAL FINANCIAL SYSTEMS INC | 13,14 | 10/74 | GULF STATES UTILITIES CO | 13,14 | 10/74 |
| GENERAL HOST CORP | 10,14 | 10/74 | HAGER INC | 12,14 | 10/74 |
| GENERAL MILLS INC | 13,14 | 10/74 | HALL MARK ELECTRONICS CORP | 8 | 10/74 |
| GENERAL MOTORS ACCEPTANCE CORP | 7,14 | 10/74 | HALLIBURTON CO | 3,4,7,14 | 10/74 |
| GENERAL MOTORS CORP | 3,14 | 10/74 | HALSTEAD INDUSTRIES INC | 13,14 | 10/74 |
| GENERAL RESEARCH CORP | 2,14 | 10/74 | HAMILTON INVESTMENT TRUST | 13,14 | 10/74 |
| GENERAL RESOURCES CORP | 12 | 10/74 | HAMPTON INDUSTRIES INC | 7,8 | 08/74 |
| GENERAL TELEPHONE & ELECTRONICS CORP | 10 | 10/74 | HAMPTON INDUSTRIES INC | 4 | 10/74 |
| GENERAL TELEPHONE CO OF MICHIGAN | 7 | 10/74 | HANDLERAN CO | 12 | 10/74 |
| GENESSEE BREWING CO INC | 11 | 09/74 | HANOVER PLANNING CO INC | 13,14 | 10/74 |
| GENESSEE BREWING CO INC | 11 | 10/74 | HARRIS CORP OHIO | 2,8,10,14 | 10/74 |
| GEON INDUSTRIES INC | 13,14 | 10/74 | HARSCO CORP | 7 | 10/74 |
| GEORGIA PACIFIC CORP | 3 | 08/74 | HARTFORD ELECTRIC LIGHT CO | 10 | 10/74 |
| GEORGIA PACIFIC CORP | 3 | 10/74 | HARTZ MOUNTAIN CORP | 3 | 10/74 |
| GEORGIA POWER CO | 13 | 10/74 | HARVEYS STORES INC | 13 | 10/74 |
| GEOSCIENCE TECHNOLOGY SERVICES CORP | 2,14 | 10/74 | HAVEN INDUSTRIES INC | 12,13,14 | 09/74 |
| GERBER PRODUCTS CO | 4,7 | 10/74 | HAWAIIAN TELEPHONE CO | 3 | 10/74 |
| GETTY OIL CO | 3 | 10/74 | HAWKEYE BANKCORPORATION | 7,13 | 10/74 |
| GIANT FOOD INC | 3 | 11/74 | HAWKEYE NATIONAL INVESTMENT CO | 2 | 10/74 |
| GIFFORD HILL & CO INC | 3 | 10/74 | HAZELTINE CORP | 13,14 | 10/74 |
| GILCO INVESTMENT CORP | 7,8 | 10/74 | HEALTH DELIVERY SYSTEMS INC | 13 | 11/74 |
| GILMAN SERVICES INC | 2,7,14 | 10/74 | HEALTH INDUSTRIES INC | 3,7,13,14 | 10/74 |
| GIMBEL BROTHERS INC | 3 | 10/74 | HEIGHTS FINANCE CORP | 8,13 | 10/74 |
| GLATFELTER P H CO | 7,8 | 10/74 | HEINICKE INSTRUMENTS CO | 2,4,7,11,14 | 10/74 |
| GLM INDUSTRIES INC | 13 | 11/74 | HELIX MARKETING CORP | 7,8 | 10/74 |
| GLOBAL GAS CORP | 13 | 10/74 | HELMET PETROLEUM CORP | 13,14 | 10/74 |
| GOLD & MINERALS CO INC | 13 | 10/74 | HERDALE ENTERPRISES INC | NO ITEMS | 07/74 |
| GOLDEN NUGGET INC | 7,8 | 10/74 | HENREDON FURNITURE INDUSTRIES INC | 7 | 10/74 |
| GOLDEN WEST FINANCIAL CORP | 13,14 | 10/74 | HERCULES INC | 7 | 08/74 |
| GOLDSMITH BROTHERS | 13,14 | 11/74 | HERITAGE COMMUNICATIONS INC | 7 | 10/74 |
| GOODRICH B F CO | 13 | 10/74 | HERSHEY FOODS CORP | 8,10,14 | 10/74 |
| GOODYEAR TIRE & RUBBER CO | 3,7,14 | 10/74 | HERZING INSTITUTES INC | 12 | 10/74 |
| GOODY'S FOOD SYSTEMS INC | 2,14 | 09/74 | HESS INC | 13 | 10/74 |
| GOODY'S FOOD SYSTEMS INC | 2 | 10/74 | HI SHEAR CORP | 2,3,7,13,14 | 10/74 |
| GOULD INVESTORS TRUST | 10 | 10/74 | HMO INTERNATIONAL | 1,10,14 | 10/74 |
| GPI INC | 13,14 | 10/74 | HMM INDUSTRIES INC | 13 | 10/74 |
| GRACE W R & CO | 3 | 10/74 | HMC MORTGAGE & REALTY INVESTORS | 3 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|--|---------------------|-------------------|
| HOLIDAY RESOURCES INC | 2,3,14 | 10/74 | INTROL CORP | 13,14 | 10/74 |
| HOLLY CORP | 13,14 | 10/74 | INVENT INC | 11 | 10/74 |
| HOLLY SUGAR CORP | 13 | 10/74 | INVESTMENT CORP OF FLORIDA | 13 | 10/74 |
| HOLLYWOOD PARK INC | 13,14 | 10/74 | INVESTORS DIVERSIFIED SERVICES INC | 5 | 10/74 |
| HOME INVESTORS TRUST | 13 | 10/74 | INVESTORS FUNDING CORP OF NEW YORK | 3,13,14 | 10/74 |
| HOMESTEAD MINERALS CORP | NO ITEMS | 09/74 | ION EQUIPMENT CORP | 2 | 10/74 |
| HOMESIDE CORP | 13 | 09/74 | IONICS INC | 9,12 | 10/74 |
| HON INDUSTRIES INC | 7,14 | 06/74 | IOWA BEEF PROCESSORS INC | 3,9,14 | 10/74 |
| HON INDUSTRIES INC | 7,14 | 09/74 | IOWA ELECTRIC LIGHT & POWER CO | 7,14 | 10/74 |
| HORMEL GEORGE A & CO | 4,7 | 10/74 | IOWA ILLINOIS GAS & ELECTRIC CO | 13 | 10/74 |
| HOST INTERNATIONAL INC | 4,7,8,14 | 10/74 | IOWA SOUTHERN UTILITIES CO | 14 | 10/74 |
| HOUSING SYSTEMS INCORP | 3 | 09/74 | IRELANDS RESTAURANTS INC | 1,13 | 10/74 |
| HOUSTON NATURAL GAS CORP | 7,14 | 10/74 | IROQUOIS BRANDS LTD | 9,13 | 10/74 |
| HOWELL CORP | 13 | 10/74 | ITER CORP | 10,14 | 10/74 |
| HUDSON PHARMACEUTICAL CORP | 13 | 10/74 | ITEL INVESTORS GROUP I | 2,14 | 10/74 |
| HUFFMAN MANUFACTURING CO | 11,13 | 10/74 | ITY CONTINENTAL BAKING CO | 3 | 10/74 |
| HUGHES & HATCHER INC | 8,14 | 10/74 | ITY FINANCIAL CORP | 3 | 10/74 |
| HUGHES TOOL CO | 3,7,13,14 | 10/74 | IU INTERNATIONAL CORP | 4,14 | 10/74 |
| HUMANA INC | 7,10 | 10/74 | IVAC CORP | 3,11 | 10/74 |
| HYDRONAUTICS INC | 11 | 10/74 | JACO ELECTRONICS INC | 13,14 | 10/74 |
| HYNES & HOWES REAL ESTATE INC | 3,11 | 10/74 | JACOBS ENGINEERING CO | 1,8,11,13 | 09/74 |
| ICM CORP | 13,14 | 10/74 | JAMAICA WATER & UTILITIES INC | 11,14 | 10/74 |
| ICM REALTY | 3,7,8 | 10/74 | JANTZEN INC | 13 | 10/74 |
| IDAHO POWER CO | 3,7 | 10/74 | JARREL FABRICS INC | 2 | 10/74 |
| IDEAL BASIC INDUSTRIES INC | 3,7,8,13,14 | 10/74 | JASMIN GROVES CO | 3 | 10/74 |
| IFC COLLATERAL CORP | 3,13,14 | 10/74 | JERRICO INC | 3,7 | 10/74 |
| ILLINOI BEEF PACKERS INC | 7,14 | 09/74 | JERSEY CENTRAL POWER & LIGHT CO | 3,14 | 10/74 |
| ILLINOIS CENTRAL GULF RAILROAD CO | 7,14 | 10/74 | JET AVION CORP | 11,14 | 10/74 |
| ILLINOIS CENTRAL INDUSTRIES INC | 3 | 10/74 | JETRONIC INDUSTRIES INC | 8 | 09/74 |
| ILLINOIS TOOL WORKS INC | 13 | 10/74 | JEWEL COMPANIES INC | 8 | 10/74 |
| IMPERIAL AMERICAN RESOURCES FUND INC | 3,13,14 | 09/74 | JOREM ENTERPRISES INC | 2,13,14 | 10/74 |
| IMPERIAL CORP OF AMERICA | 13 | 10/74 | JORGENSEN EARLE H CO | 7 | 10/74 |
| INDEPENDENCE MANAGEMENT CORP | 3,6,14 | 11/74 | JOY MANUFACTURING CO | 13 | 10/74 |
| INDEPENDENCE NATIONAL CORP | 2,14 | 10/74 | JUPITER INDUSTRIES INC | 3 | 10/74 |
| INDIAN HEAD INC | 11,14 | 10/74 | KAISER CEMENT & GYPSUM CORP | 8,14 | 10/74 |
| INDIANA BELL TELEPHONE CO INC | 7,14 | 10/74 | KAISER RESOURCES LTD | NO ITEMS | 10/74 |
| INDUSTRIAL FUELS CORP | 14 | 10/74 | KALVEX INC | 3 | 10/74 |
| INFORMATION INDUSTRIES INC | 13 | 08/74 | KAMAH CORP | 8 | 10/74 |
| INLAND CONTAINER CORP | 7 | 10/74 | KANE ANTHONY INC | 13,14 | 10/74 |
| INLAND CREDIT CORP | 3,7,8,14 | 10/74 | KANE MILLER CORP | 3 | 10/74 |
| INNOVATIVE COMMUNICATIONS INC | 11 | 10/74 | KANSAS CITY STAR CO | 3 | 10/74 |
| INSTRUMENT SYSTEMS CORP | 8,13,14 | 10/74 | KAUFMAN & BROAD INC | 8 | 10/74 |
| INTEGRITY ENTERTAINMENT CORP | 7,11 | 10/74 | KAUFMAN FUND INC | 12 | 10/74 |
| INTERFINANCIAL INC | 2 | 10/74 | KAWECKI BERYLCO INDUSTRIES INC | 7 | 10/74 |
| INTERLAKE INC | 2,3,14 | 10/74 | KBT CORP | 3,6 | 10/74 |
| INTERNATIONAL BANKNOTE CO INC | 10 | 10/74 | KEENE CORP | 13 | 10/74 |
| INTERNATIONAL BUSINESS MACHINES CORP | 3 | 10/74 | KENAI DRILLING LTD | 2,7,9,14 | 09/74 |
| INTERNATIONAL CLINICAL LABORATORIES INC | 8 | 11/74 | KENILWORTH RESEARCH & DEVELOPMENT CORP | 7 | 10/74 |
| INTERNATIONAL HARVESTER CO | 3 | 10/74 | KENNECOTT COPPER CORP | 3,7,10,14 | 10/74 |
| INTERNATIONAL HYDRONICS CORP | 2,3,4,6,13,14 | 10/74 | KERR MCGEE CORP | 3 | 10/74 |
| INTERNATIONAL INVESTORS LIFE INSURANCE C | 3 | 10/74 | KEYES FIORE CO | 10,14 | 10/74 |
| INTERNATIONAL MONETARY CORP | NO ITEMS | 10/74 | KEYSTONE CENTERS INC | 10,14 | 10/74 |
| INTERNATIONAL PAPER CO | 3 | 10/74 | KEYSTONE CUSTODIAN FUNDS INC | 8 | 09/74 |
| INTERNATIONAL RECTIFIER CORP | 3 | 10/74 | KIODE WALTER & CO INC | 13,14 | 10/74 |
| INTERPUBLIC GROUP OF COMPANIES INC | 8 | 10/74 | KIMBALL INTERNATIONAL INC | 3 | 10/74 |
| INTERSIL INC | 10,14 | 10/74 | KINEMOTIVE CORP | 7 | 10/74 |
| INTERSTATE BRANDS CORP | 13,14 | 10/74 | KIRK CORP | 13,14 | 10/74 |
| INTERSTATE CORP | 3,14 | 10/74 | KLYSTRONICS INC | 13 | 11/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|---|---------------------|-------------------|
| KNOTT HOTELS CORP | 3,6 | 10/74 | MAGNAVOX CO | 1 | 10/74 |
| KOEHRING CO | 13,14 | 10/74 | MAINE SUGAR INDUSTRIES INC | 3,6 | 10/74 |
| KONIG HOUSE FOODS INC | 11 | 11/74 | MALONE & HYDE INC | 11,14 | 10/74 |
| KOPPERS CO INC | 8 | 11/74 | MANAGEMENT ASSISTANCE INC | 3 | 10/74 |
| KORACORP INDUSTRIES INC | 7,8,14 | 10/74 | MANAGEMENT SERVICES INC | 12,14 | 10/74 |
| KORN FERRY INTERNATIONAL | 8,13,14 | 10/74 | MANN MORACE EDUCATORS CORP | 14 | 10/74 |
| KOSS CORP | 1,11 | 10/74 | MANNING GAS & OIL CO | 1 | 10/74 |
| KRAFTCO CORP | 10,14 | 10/74 | MANNING MARTHA CO | NO ITEMS | 05/74 |
| KROEHLER MANUFACTURING CO | 7,8,14 | 10/74 | MANNING MARTHA CO | 7 | 10/74 |
| KROGER CO | 3 | 09/74 | MANOR CARE INC | 7 | 10/74 |
| KROY INDUSTRIES INC | 2,7,14 | 10/74 | MEKPOWER INC | 11,14 | 10/74 |
| LADD ENTERPRISES INC | 7,11 | 10/74 | MANSION INDUSTRIES INC | 11 | 10/74 |
| LAFAYETTE FUNDS DRILLING PROGRAM | 7 | 10/74 | MARATHON MANUFACTURING CO | 7,10,14 | 10/74 |
| LAFAYETTE FUNDS EXPLORATORY DRILLING PRO | 7 | 10/74 | MARATHON OIL CO | 3 | 10/74 |
| LAIGLON APPAREL INC | 7,8,14 | 09/74 | MARCOR INC | 3 | 10/74 |
| LAIGLON APPAREL INC | 14 | 09/74 | MAREMONT CORP | 4,14 | 10/74 |
| LANCHART INDUSTRIES INC | 10,14 | 10/74 | MARION CORP | 13,14 | 10/74 |
| LAND FEE CORP | 3,14 | 09/74 | MARION FABRICS INC | 8 | 10/74 |
| LANDMARK LAND CO INC | 12,14 | 10/74 | MARX PRODUCTS INC | 10 | 10/74 |
| LARWIN MORTGAGE INVESTORS | 3 | 10/74 | MARLENNAN CORP | 3,14 | 09/74 |
| LARWIN REALTY & MORTGAGE TRUST | 3,14 | 10/74 | MARRIOTT CORP | 7,8,14 | 10/74 |
| LATOURAINE BICKFORDS FOODS INC | 2 | 10/74 | MARYLAND OLD LINE CORP | 12 | 10/74 |
| LEE NATIONAL CORP | 13 | 10/74 | MASONITE CORP | 7,13,14 | 10/74 |
| LEEDS SHOES INC | 3,6,7,8,11,13 | 10/74 | MASSACHUSETTS ELECTRIC CO | 3 | 10/74 |
| LEISURE LODGES INC | 3,14 | 10/74 | MATHEMATICA INC | 11,14 | 10/74 |
| LEVINGSTON SHIPBUILDING CO | 7,13 | 10/74 | MATTEL INC | 12,14 | 10/74 |
| LEXTON ANCIRA REAL ESTATE INCOME LTD 19 | 2,14 | 10/74 | HAUL BROTHERS INC | 11,14 | 10/74 |
| LIBERTY CIRCLE CORP | 13 | 10/74 | MAXX CORP | 2,14 | 10/74 |
| LIFE INSURANCE SECURITIES CORP | 13 | 10/74 | MEX INC | 8 | 10/74 |
| LIFE RESOURCES INC | 11 | 10/74 | MCCAFFREY & MCCALL INC | 7 | 10/74 |
| LIGGETT & MYERS INC | 10 | 10/74 | MCCRORY CORP | 13,14 | 10/74 |
| LINCOLN AMERICAN CORP | 13 | 10/74 | MCCULLOCH OIL CORP | 14 | 10/74 |
| LINDAL CEDAR HOMES INC | 7,9,14 | 07/74 | MCD HOLDINGS INC | 13 | 10/74 |
| LINDSEY GEORGE INTERNATIONAL INC | 2 | 10/74 | MCDONALDS CORP | 7,14 | 10/74 |
| LOCKHEED AIRCRAFT CORP | 8,13,14 | 10/74 | MCDONNELL DOUGLAS CORP HOURLY EMPLOYEES 5 | 13,14 | 10/74 |
| LOENS CORP | 10,14 | 10/74 | MCDONOUGH CO | NO ITEMS | 09/74 |
| LOENS THEATRES INC | 10,14 | 10/74 | MCGRAW HILL INC | 8,14 | 10/74 |
| LONG ISLAND LIGHTING CO | 13 | 10/74 | MCINTYRE MINES LTD | 13 | 10/74 |
| LORAL CORP | 1,3,11,14 | 10/74 | MCNEIL REAL ESTATE FUND FOUR LTD | 2,7,14 | 10/74 |
| LOS ANGELES AIRWAYS INC | 13,14 | 10/73 | MCRAE CONSOLIDATED OIL & GAS INC | 3 | 10/74 |
| LOUISIANA GENERAL SERVICES INC | 3,13,14 | 10/74 | HEAD CORP | 3,7,8,14 | 10/74 |
| LOUISIANA PACIFIC RESOURCES INC | 1 | 10/74 | HED MARK INC | 2 | 08/74 |
| LQ MOTOR INNS INC | 8,9,11,14 | 10/74 | HED MARK INC | 13 | 11/74 |
| LSB INDUSTRIES INC | 7,8,14 | 10/74 | MEDALLION LEISURE CORP | 3 | 10/74 |
| LSL CORP | 1,3,7,8,13,14 | 10/74 | MEDCOM INC | 13,14 | 10/74 |
| LUCKY STORES INC | 3 | 10/74 | MEDQUIP CORP | 7,9,13 | 10/74 |
| LUDLOW INDUSTRIES INC | 11,13 | 10/74 | MEDIC HOME ENTERPRISES INC | 7,8,14 | 10/74 |
| LUKENS STEEL CO | 3 | 10/74 | MEDICAL SCIENTIFIC INTERNATIONAL CORP | 3,14 | 10/74 |
| LUMINALL PAINTS INC | 13 | 10/74 | MEDITEL INC | 1,2 | 09/74 |
| LYDALL INC | 7 | 09/74 | REENAN OIL CO INC | 11 | 10/74 |
| LYKES YOUNGSTOWN CORP | 3,8 | 10/74 | MEI CORP | 3 | 10/74 |
| LYNN BARBARA STORES INC | 3,14 | 10/74 | MENDON LEASING CORP | 2,14 | 10/74 |
| LYNN BARBARA STORES INC | 3,14 | 10/74 | MERCURY GENERAL CORP | 13 | 10/74 |
| LYON METAL PRODUCTS INC | 13 | 10/74 | MEREDITH CORP | 11,14 | 10/74 |
| MADISON & BURKE CAPITAL | 12,14 | 10/74 | MERRILL LYNCH & CO INC | 3 | 10/74 |
| MADISON GAS & ELECTRIC CO | 3 | 10/74 | MERVINS | 3,13 | 10/74 |
| MADISON SQUARE GARDEN CORP | 3,11 | 10/74 | MESA PETROLEUM CO | 7,13,14 | 10/74 |
| MAGIC MARKER CORP | 11,14 | 10/74 | METRO MEAT PACKING INC | 3,6,14 | 04/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|--|---------------------|-------------------|
| MEGAMEDIA INC | 8 | 10/74 | NATIONAL LIBERTY CORP | 10 | 10/74 |
| MICHIGAN CONSOLIDATED GAS CO | 14 | 10/74 | NATIONAL MEDICAL PRODUCTS INC | 11 | 10/74 |
| MICHIGAN GAS UTILITIES CO | 4,7,14 | 10/74 | NATIONAL PATENT DEVELOPMENT CORP | 3,14 | 10/74 |
| MICHIGAN NATIONAL CORP | 3 | 10/74 | NATIONAL PETROLEUM CORP LTD | 1,3 | 11/74 |
| MICHIGAN SUGAR CO | 7,14 | 04/74 | NATIONAL PRESTO INDUSTRIES INC | 13,14 | 10/74 |
| MID AMERICA GREAT PLAINS FINANCIAL CORP | 3 | 10/74 | NATIONAL SEMICONDUCTOR CORP | 3,4,7,13,14 | 10/74 |
| MID ATLANTIC REAL ESTATE INVESTMENT TRUS | 13 | 09/74 | NATIONAL SUGAR REFINING CO | 13 | 10/74 |
| MID ATLANTIC REAL ESTATE INVESTMENT TRUS | 13 | 10/74 | NATIONAL TELEFILM ASSOCIATES INC | 12 | 10/74 |
| MID CENTRAL PROPERTIES LTD | 13 | 10/74 | NATIONWIDE THOROUGHBREDS INC | 13 | 10/74 |
| MID CONTINENT TELEPHONE CORP | 7 | 10/74 | NATOMAS CO | 3 | 10/74 |
| MIDLAND MORTGAGE INVESTORS TRUST | 11,13,14 | 11/74 | NATURAL GAS PIPELINE CO OF AMERICA | 13 | 10/74 |
| MIDLAND ROSS CORP | 4,7,10 | 10/74 | NAYADIC SCIENCES INC | 2,8,14 | 10/74 |
| MIDWEST CORP | 11 | 10/74 | NCC INDUSTRIES INC | 13,14 | 10/74 |
| MIDWESTERN UNITED LIFE INSURANCE CO | 13,14 | 10/74 | NEC CORP | 14 | 10/74 |
| MILLER OIL CO | 13,14 | 10/74 | NELSON L B CORP | 13,14 | 11/74 |
| MISSISSIPPI POWER & LIGHT CO | 13 | 10/74 | NEPTUNE INTERNATIONAL | 10,14 | 10/74 |
| MISSISSIPPI RIVER CORP | 3,13 | 10/74 | NEW ENGLAND ELECTRIC SYSTEM | 13 | 10/74 |
| MISSISSIPPI RIVER TRANSMISSION CORP | 3 | 10/74 | NEW IDRIA MINING & CHEMICAL CO | 2,14 | 08/74 |
| MISSOURI PACIFIC RAILROAD CO | 11,14 | 10/74 | NEW IDRIA MINING & CHEMICAL CO | 2,14 | 11/74 |
| MITCHELL ENERGY & DEVELOPMENT CORP | 2,14 | 10/74 | NEW JERSEY NATURAL GAS CO | 13,14 | 10/74 |
| MITCHUM JONES & TEMPLETON INC | 8,14 | 10/74 | NEW ORLEANS PUBLIC SERVICE INC | 13 | 10/74 |
| MOBIL OIL CORP | 3 | 10/74 | NEW YORK MAGAZINE CO INC | 13 | 10/74 |
| MODINE MANUFACTURING CO | 8 | 10/74 | NEW YORK STATE ELECTRIC & GAS CORP | 3,7,8,14 | 10/74 |
| MONASCO CORP | 13 | 10/74 | NEWELL COMPANIES INC | 2,3,7,14 | 10/74 |
| MONARK DATA SCIENCES CORP | 6 | 10/74 | NEWPORT GENERAL CORP | 13 | 10/74 |
| MONARCH CEMENT CO | 7,8 | 10/74 | NFC CORP | 13 | 10/74 |
| MONARCH MACHINE TOOL CO | 2,14 | 10/74 | NIAGARA FRONTIER SERVICES INC | 8 | 10/74 |
| MONROE AUTO EQUIPMENT CO | 11,14 | 10/74 | NIAGARA MONARK POWER CORP | 7,14 | 10/74 |
| MONROE GROUP INC | 13,14 | 10/74 | NICOLET INSTRUMENT CORP | 7,14 | 10/74 |
| MONSANTO CO | 13 | 10/74 | NL INDUSTRIES INC | 13 | 10/74 |
| MONTANA DAKOTA UTILITIES CO | 7 | 10/74 | NORCO OIL CORP | 2,8,14 | 10/74 |
| MONTGOMERY WARD & CO INC | 7,11 | 10/74 | NORFOLK & CAROLINA TELEPHONE & TELEGRAPH | 13 | 08/74 |
| MOR FLO INDUSTRIES INC | 7,14 | 10/74 | NORFOLK & CAROLINA TELEPHONE & TELEGRAPH | 13 | 09/74 |
| MORSE SHOE INC | 13 | 10/74 | NORFOLK & CAROLINA TELEPHONE & TELEGRAPH | 13 | 10/74 |
| MORTON NORWICH PRODUCTS INC | 11,13,14 | 10/74 | NORFOLK & WESTERN RAILWAY CO | 3,7,14 | 10/74 |
| MOTOR HOMES OF AMERICA INC | 2,8,14 | 10/74 | NORIN CORP | 7 | 10/74 |
| MOUNT VERNON HILLS INC | 8 | 10/74 | NORRIS INDUSTRIES INC | 8 | 10/74 |
| MOUNTAIN FUEL SUPPLY CO | 3 | 10/74 | NORTEK INC | 2,7,8,14 | 10/74 |
| MOUNTAIN STATES FINANCIAL CORP | 3,13 | 10/74 | NORTH AMERICAN CAR CORP | 7,14 | 10/74 |
| MULTI ARP CORP | 3 | 10/74 | NORTH AMERICAN CORP | 11,14 | 10/74 |
| MULTIVEST REAL ESTATE FUND LTD SERIES IV | 14 | 04/73 | NORTH LANSDALE ECONOMIC DEVELOPMENT CORP | 7,14 | 10/74 |
| MULTITRONICS INC | 2,9,13,14 | 10/74 | NORTH PENNSYLVANIA RAILROAD CO | 8 | 10/74 |
| MUMFORD GAMES INC | 13,14 | 10/74 | NORTH SHORE GAS CO ILLINOIS | 13 | 10/74 |
| MUTZ CORP | 13,14 | 10/74 | NORTHERN ILLINOIS GAS CO | 7,14 | 10/74 |
| MYC INDUSTRIES INC | 2,7,11,14 | 10/74 | NORTHERN INDIANA PUBLIC SERVICE CO | 3,14 | 10/74 |
| MYA CO | 11,13 | 10/74 | NORTHERN RESEARCH & ENGINEERING CORP | 1,3,11 | 10/74 |
| NACHMAN CORP | 12 | 10/74 | NORTHERN STATES BANCORPORATION INC | 7,14 | 10/74 |
| NALCO CHEMICAL CO | 13,14 | 10/74 | NORTHERN STATES MORTGAGE & REALTY INVEST | 6,13 | 10/74 |
| NATIONAL AVIATION UNDERWRITERS INC | 12,14 | 10/74 | NORTHERN STATES POWER CO | 7,13 | 10/74 |
| NATIONAL BELLAS HESS INC | 3,6,10,14 | 10/74 | NORTHWEST INDUSTRIES INC | 7,8,10,14 | 10/74 |
| NATIONAL BV PRODUCTS INC | 13,14 | 10/74 | NORTHWEST NATURAL GAS CO | 13,14 | 10/74 |
| NATIONAL CITY CORP | 4,7,14 | 10/74 | NORTHWESTERN MUTUAL LIFE MORTGAGE & REAL | 3,14 | 10/74 |
| NATIONAL CSS INC | 13 | 10/74 | NORTON CO | 7 | 10/74 |
| NATIONAL ENVIRONMENTAL CONTROLS INC | 3,6 | 10/74 | NORWESCO INC | 13 | 10/74 |
| NATIONAL FUEL GAS CO | 7,13,14 | 10/74 | NUCLEAR DATA INC | 8 | 10/74 |
| NATIONAL HOME PRODUCTS INC | 10,14 | 10/74 | NVF CO | 3,14 | 10/74 |
| NATIONAL INDUSTRIES INC | 13 | 10/74 | OAK HILL SPORTSWEAR INC | 2,13 | 10/74 |
| NATIONAL INVESTMENT CORP INC | 3,13 | 10/74 | OCEAN SCIENCE & ENGINEERING INC | 1,7,12,14 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|--|---------------------|-------------------|
| OCEANIC RESOURCES INC | 13 | 10/74 | PATRICK OIL & GAS CORP 1974 INCOME PROGR | 2 | 10/74 |
| OCTO LTD | 11 | 10/74 | PAXTON FRANK CO | 10,14 | 10/74 |
| ODYSSEY INC | 13,14 | 10/74 | PAYSAVER CATALOG SHOWROOMS INC | 12,14 | 10/74 |
| OFFSHORE EXPLORATION OIL CO | 11,14 | 10/74 | PEERLESS INSURANCE CO | 13 | 10/74 |
| OFFSHORE LOGISTICS INC | 11,14 | 10/74 | PENN CENTRAL CO | 3 | 10/74 |
| OMIO REAL ESTATE EQUITIES CO | 12 | 10/74 | PENN GENERAL AGENCIES INC | 4 | 08/74 |
| OMIO REAL ESTATE INVESTMENT CO | 12 | 10/74 | PENN VIRGINIA CORP | 13 | 10/74 |
| OMIO SEALY MATTRESS MANUFACTURING CO | 13 | 10/74 | PENN YAN EXPRESS INC | 13,14 | 10/74 |
| OIL SHALE CORP | 7,13,14 | 10/74 | PENNEY J C FINANCIAL CORP | 7,14 | 10/74 |
| OKLAHOMA NATURAL GAS CO | 4,7,13,14 | 10/74 | PENNHAM MOTOR INNS INC | 2,3,13 | 10/74 |
| OKURAYA DAVOS INTERNATIONAL INC | 13 | 10/74 | PENNSTAR CO | 8 | 10/74 |
| OLD FLORIDA RUM CO | 1 | 10/74 | PENNSYLVANIA ELECTRIC CO | 3,14 | 10/74 |
| OLEN CORP | 10,14 | 10/74 | PENNSYLVANIA LIFE CO | 3 | 10/74 |
| OMARK INDUSTRIES INC | 3 | 10/74 | PENNSYLVANIA POWER & LIGHT CO | 3,7,14 | 10/74 |
| ON GUARD CORP OF AMERICA | 11,13 | 10/74 | PENNZOIL CO | 3,7,14 | 10/74 |
| OPTEL CORP | 3,13 | 10/74 | PENNZOIL LOUISIANA & TEXAS OFFSHORE INC | 7,14 | 10/74 |
| ORANGE CO INC | 10,14 | 10/74 | PENNZOIL OFFSHORE GAS OPERATORS INC | 7,14 | 10/74 |
| ORBIT GAS CO | 3,11,13,14 | 10/74 | PENTAIR INDUSTRIES INC | 3,13,14 | 10/74 |
| ORBIT INSTRUMENT CORP | 8 | 10/74 | PENTRON INDUSTRIES INC | 11,13 | 10/74 |
| ORCHARD SUPPLY BUILDING CO | 4,7,8,9,14 | 10/74 | PEOPLES GAS CO | 13 | 10/74 |
| ORIGINALA INC | 13,14 | 10/74 | PEOPLES GAS LIGHT & COKE CO | 7,13,14 | 10/74 |
| ORION INDUSTRIES INC | 11 | 10/74 | PEORIA & EASTERN RAILWAY CO | 11 | 10/74 |
| ORION CORP | 13,14 | 10/74 | PERFORMANCE SYSTEMS INC | 3,13 | 10/74 |
| OUTLET CO | 3 | 10/74 | PERTEC CORP | 11 | 10/74 |
| OVERSEAS NATIONAL AIRWAYS INC | 6 | 09/74 | PETRO LEWIS CORP | 13 | 10/74 |
| OWITRON CORP | 12 | 10/74 | PETROFUND INC | 3 | 10/74 |
| OWENS CORNING FIBERGLAS CORP | 3,7,14 | 10/74 | PFIZER INC | 3,4,7,14 | 10/74 |
| OXFORD INDUSTRIES INC | 11,14 | 10/74 | PHILIPS DODGE CORP | 10 | 10/74 |
| PABST BREWING CO | 3 | 10/74 | PHILADELPHIA ELECTRIC CO | 7 | 10/74 |
| PACEMAKER FINANCIAL CORP | 1,13 | 09/74 | PHILIP MORRIS INC | 7 | 10/74 |
| PACER PHENIX CORP | 2,11,14 | 10/74 | PHILLIPS PETROLEUM CO | 3 | 10/74 |
| PACESETTER INDUSTRIES INC | 3,13,14 | 10/74 | PHOENIX STEEL CORP | 7,13 | 10/74 |
| PACIFIC AMERICAN INDUSTRIES INC | 2,14 | 10/74 | PIONEER NATURAL GAS CO | 7 | 10/74 |
| PACIFIC AMERICAN REAL ESTATE FUND 1971 | 0,14 | 10/74 | PIPER AIRCRAFT CORP | 13 | 10/74 |
| PACIFIC ASBESTOS CORP | 3,6,13 | 08/74 | PIPER JAFFRAY INC | 13,14 | 08/74 |
| PACIFIC ASBESTOS CORP | 3,6 | 10/74 | PK MANAGEMENT CORP | 7 | 10/74 |
| PACIFIC FAR EAST LINE INC | NO ITEMS | 10/74 | PLANTRONICS INC | 11,14 | 10/74 |
| PACIFIC GAMBLE ROBINSON CO | 13 | 10/74 | PLASTICS DEVELOPMENT CORP OF AMERICA | 1,13,14 | 09/74 |
| PACIFIC HOLDING CORP | 13,14 | 10/74 | PLAZA GROUP INC | 3 | 10/74 |
| PACIFIC POWER & LIGHT CO | 3,7,14 | 10/74 | POE & ASSOCIATES INC | 13 | 10/74 |
| PACIFIC SOUTHERN MORTGAGE TRUST | 13,14 | 10/74 | POLAROID CORP | 3 | 10/74 |
| PACIFIC TELEPHONE & TELEGRAPH CO | 7,13,14 | 10/74 | POLORON PRODUCTS INC | 9,14 | 10/74 |
| PACPAGE MACHINERY CO | 7 | 10/74 | POLY INDUSTRIES INC | 8 | 10/74 |
| PALOMAR FINANCIAL | 3,11,14 | 10/74 | PORTER H K CO INC | 10 | 10/74 |
| PAREX FOODS INC | 13 | 10/74 | PORTLAND GENERAL ELECTRIC CO | 7 | 10/74 |
| PAN AMERICAN BANCSHARES INC | 0,9,13,14 | 10/74 | POTTER INSTRUMENT CO INC | 6,13 | 10/74 |
| PAN AMERICAN WORLD AIRWAYS INC | 2,4,13,14 | 10/74 | POWER COMPUTER SYSTEMS INC | 6,13,14 | 10/74 |
| PANELFAB INTERNATIONAL CORP | 12 | 05/74 | PPG CORP | 11,14 | 10/74 |
| PARAPHERNALIA INC | 7,11 | 10/74 | PPG INDUSTRIES INC EMPLOYEE SAVINGS PLAN | 4,14 | 10/74 |
| PARKER DRILLING CO | 7,14 | 10/74 | PRECISION INSTRUMENT CO | 7,14 | 09/74 |
| PARKER MANUFIN CORP | 7,14 | 10/74 | PRECISION POLYMERS INC | 3,11 | 10/74 |
| PARTNERS IN HOUSING | 11,14 | 10/74 | FREE CORP | 13 | 10/74 |
| PASCO INC | 3 | 10/74 | PREMIER ATHLETIC PRODUCTS CORP | 3 | 09/74 |
| PASQUANEY BAY CO LTD | 12,13,14 | 11/74 | PRESLEY COMPANIES | 13,14 | 09/74 |
| PATENT MANAGEMENT INC | 1,2,3,7,14 | 10/74 | PRIME COMPUTER INC | 2,7,14 | 10/74 |
| PATERSON PARCHMENT PAPER CO | 2,13,14 | 10/74 | PRINCEVILLE CORP | 3 | 10/74 |
| PATRICK OIL & GAS CORP 1974 COMBINATION | 7 | 10/74 | PROCTER & GAMBLE CO | 11,14 | 10/74 |
| PATRICK OIL & GAS CORP 1974 DRILLING PRO | 7 | 10/74 | PROVIDENCE & WORCESTER CO | 13 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--------------------------------------|------------------|----------------|---------------------------------------|------------------|----------------|
| PRUDENTIAL BUILDING MAINTENANCE CORP | 3 | 10/74 | ROCKWOOD COMPUTER CORP | 8 | 09/74 |
| PUBLIC SERVICE CO OF INDIANA INC | 13 | 10/74 | ROCKWOOD COMPUTER CORP | 3,14 | 10/74 |
| PUBLIC SERVICE CO OF NEW MEXICO | 13,14 | 10/74 | ROGERS BROTHERS CO | 11,14 | 10/74 |
| PUBLICKER INDUSTRIES INC | 14 | 10/74 | ROLLINS INC | 11 | 10/74 |
| PULLMAN INC | 13 | 10/74 | RORER AMCHEN INC | 11 | 04/73 |
| PURITAN FASHIONS CORP | 7,11 | 10/74 | RORER AMCHEN INC | 13,14 | 10/74 |
| PYRAMID COMMUNICATIONS INC | 1,2,3,8,11,14 | 10/74 | ROTODYNE INC | 1,3 | 01/74 |
| QUAKER OATS CO | 7,14 | 10/74 | RQTRON INC | 11 | 11/74 |
| QUALITY DATA PROCESSING INC | 13 | 09/74 | HOUSE CO | 10,13,14 | 10/74 |
| QUALITY DATA PROCESSING INC | 13 | 10/74 | ROVAC CORP | 13,14 | 10/74 |
| QUANTA SYSTEMS CORP | 13,14 | 11/74 | ROWE FURNITURE CORP | 13 | 10/74 |
| RAHALL COMMUNICATIONS CORP | 13,14 | 09/74 | ROYAL AMERICAN INDUSTRIES INC | 8 | 09/74 |
| RAMADA INNS INC | 7 | 10/74 | ROYAL AMERICAN INDUSTRIES INC | 1,13,14 | 10/74 |
| RANSBURG CORP | 3 | 10/74 | ROYAL ATLAS CORP | 3,13 | 10/74 |
| RAPID AMERICAN CORP | 13 | 10/74 | ROYAL SCOTSMAN INNS CORP | 1,2,3,13,14 | 10/74 |
| RATH PACKING CO | 13,14 | 10/74 | RSR CORP | 3 | 10/74 |
| RAY RESOURCES CORP | 13,14 | 10/74 | RUCKER CO | 13,14 | 10/74 |
| RAYCHEN CORP | 11 | 10/74 | RUCKER PHARMACAL CO INC | 3,11,14 | 10/74 |
| RAYMOND PRECISION INDUSTRIES INC | 2 | 10/74 | RUSCO INDUSTRIES INC | 13 | 10/74 |
| READING & BAYES OFFSHORE DRILLING CO | 13 | 10/74 | RYDER SYSTEM INC | 7,13,14 | 10/74 |
| REALTY NATIONAL CORP | 13 | 10/74 | SAFETY KLEEN CORP | 1,4,7,14 | 10/74 |
| REDFERN FOODS CORP | 3 | 09/74 | SAGA CORP | 11 | 10/74 |
| REED INDUSTRIES INC | 2 | 10/74 | SAINT LOUIS STEEL CASTING INC | 8 | 10/74 |
| REEVES TELECOM CORP | 2,10 | 10/74 | SAINT PAUL AMMONIA PRODUCTS INC | 13,14 | 09/74 |
| REFAC TECHNOLOGY DEVELOPMENT CORP | 3,10 | 10/74 | SAINT PAUL AMMONIA PRODUCTS INC | 8 | 10/74 |
| REGAL BELLOIT CORP | 13 | 10/74 | SANITAS SERVICE CORP | 3,13 | 10/74 |
| REINELL INDUSTRIES INC | 3 | 07/74 | SANTA FE DOMNS INC | 12 | 09/74 |
| RELANCE INDUSTRIES INC | 3 | 10/74 | SANTA FE INTERNATIONAL CORP | 13 | 10/74 |
| RELANCE INSURANCE CO | 3 | 10/74 | SANTA FE PIPELINE CO | 8,13 | 10/74 |
| REMBRANDT ENTERPRISES INC | 4,7,8,11,14 | 10/74 | SAUL B F REAL ESTATE INVESTMENT TRUST | 8 | 10/74 |
| RENINGTON ARMS CO INC | 10 | 10/74 | SAYIN BUSINESS MACHINES CORP | 13,14 | 10/74 |
| REPUBLIC HOUSING CORP | 10,14 | 10/74 | SAVOY INDUSTRIES INC | 1,2,7,9,12,14 | 10/74 |
| REPUBLIC MORTGAGE INVESTORS | 10,13,14 | 10/74 | SCA SERVICES INC | 11,14 | 10/74 |
| REPUBLIC NATIONAL LIFE INSURANCE CO | 3,13,14 | 10/74 | SCAN OPTICS INC | 9,14 | 07/74 |
| REPUBLIC STEEL CORP | 3 | 10/74 | SCAN OPTICS INC | 9 | 10/74 |
| REPUBLIC VAN LINES INC | 2,14 | 10/74 | SCANFAX SYSTEMS CORP | 11 | 10/74 |
| RESEARCH COTTRELL INC | 13,14 | 10/74 | SCHAEFER F & H CORP | 12 | 10/74 |
| RESISTOFLEX CORP | 11 | 10/74 | SCHENUIT INDUSTRIES INC | 10,14 | 10/74 |
| RESORTS INTERNATIONAL INC | 3,14 | 10/74 | SCI SYSTEMS INC | 7,14 | 10/74 |
| RESOURCE MANAGEMENT CORP | 1 | 10/74 | SCIENCE MANAGEMENT CORP | 13 | 10/74 |
| RESTAURANT ASSOCIATES INDUSTRIES INC | 8,13 | 10/74 | SCIENTIFIC RESOURCES CORP | 2,3,14 | 10/74 |
| REUTER INC | 13,14 | 10/74 | SCIENTIFIC SOFTWARE CORP | 12 | 10/74 |
| REVCO D S INC | 13,14 | 10/74 | SCOTCO DATA COM INC | 7,9,12,13,14 | 10/74 |
| REVELL INC | 9 | 10/74 | SCOTTISH INNS OF AMERICA INC | 10 | 10/74 |
| REVERE RACING ASSOCIATION INC | 8 | 10/74 | SCOTTS LIQUID GOLD INC | 13 | 11/74 |
| REX PRECISION PRODUCTS INC | 3,8 | 10/74 | SEAGRAVE CORP | 13,14 | 10/74 |
| REYNOLDS METALS CO | 3 | 10/74 | SEATRAN LINES INC | 7,8,14 | 10/74 |
| REYNOLDS SECURITIES INC | 13 | 10/74 | SECURITY MORTGAGE INVESTORS | 3,6,14 | 10/74 |
| RIKER MAXSON CORP | 12 | 10/74 | SECURITY PACIFIC CORP | 3 | 10/74 |
| RILEY CO | 10,14 | 10/74 | SEEBURG INDUSTRIES INC | 7,14 | 10/74 |
| RIVERSIDE FINANCIAL CORP | 13,14 | 10/74 | SEISCOM DELTA INC | 10 | 10/74 |
| ROBERTS & PORTER INC | 7,14 | 10/74 | SELECT THEATRES CORP | 13,14 | 10/74 |
| ROBERTSON DISTRIBUTION SYSTEMS INC | 7,9,13 | 10/74 | SELECT THEATRES CORP | 11 | 10/74 |
| ROBINSON HALPERN CO | 11 | 10/74 | SEQUENTIAL INFORMATION SYSTEMS INC | 3,13,14 | 10/74 |
| ROBINTCH INC | 2 | 09/74 | SERNCO INC | 1,2,13 | 10/74 |
| ROBLIN INDUSTRIES INC | 4,6,7,8,14 | 10/74 | SERVICE MERCHANDISE CO INC | 13 | 10/74 |
| ROCHESTER & PITTSBURGH COAL CO | 13 | 10/74 | SERVINATIONAL INC | 2,14 | 10/74 |
| ROCKWELL INTERNATIONAL CORP | 3 | 10/74 | SERVO CORP OF AMERICA | 7,8,13 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|------------------------------------|--------------------------|-------------------|--------------------------------------|---------------------|-------------------|
| SERVOTRONICS INC | 13,14 | 10/74 | STELBER INDUSTRIES INC | 6,13 | 10/74 |
| SETON CO | 2,13,14 | 10/74 | STEPHENS JOHN & CO INC | 2,13,14 | 10/74 |
| SHARDN STEEL CORP | 3,14 | 10/74 | STERNENT CORP | 14 | 10/74 |
| SHEARSON HAYDEN STONE INC | 2,3,4,7,8,9,11,13,109/74 | 10/74 | STIRLING HOMEX CORP | 3 | 10/74 |
| SHELL OIL CO | 3 | 10/74 | STRATEGIC MEDICAL RESEARCH CORP | 7 | 10/74 |
| SHELL PIPE LINE CORP | 3 | 10/74 | STUDEBAKER WORTHINGTON INC | 9 | 10/74 |
| SHERWIN WILLIAMS CO | 2,14 | 10/74 | STV INC | 7 | 07/74 |
| SHOPWELL INC | 3 | 10/74 | SUBURBAN PROPANE GAS CORP | 3 | 10/74 |
| SHOWBOAT INC | 11,13 | 10/74 | SUCREST CORP | 11 | 10/74 |
| SIERRA PACIFIC INDUSTRIES | 8,13,14 | 10/74 | SUGARDALE FOODS INC | 4,10,14 | 10/74 |
| SIGNAL COMPANIES INC | 13 | 10/74 | SUGARLOAF MOUNTAIN CORP | 11 | 10/74 |
| SIGNODE CORP | 10,14 | 10/74 | SULLAIR CORP | 7,14 | 10/74 |
| SIKES CORP | 3 | 10/74 | SUMMIT GROUP INC | 3 | 10/74 |
| SILCO INC | 13,14 | 10/74 | SUMMIT PROPERTIES | 7,13 | 10/74 |
| SIMMONDS PRECISION PRODUCTS INC | 13,14 | 10/74 | SUN BANKS OF FLORIDA INC | 3 | 10/74 |
| SIMON NORTON INC | 3 | 10/74 | SUN OIL CO | 3,7 | 10/74 |
| SIMPLEX DEVELOPMENT CORP | 13,14 | 10/74 | SUNBEAM CORP | 7,14 | 10/74 |
| SIPPICAN CORP | 12 | 10/74 | SUNOSTRAND CORP | 3,13 | 10/74 |
| SKAGGS COMPANIES INC | 8,14 | 10/74 | SUPERIOR OIL CO | 7,13,14 | 10/74 |
| SKELLY OIL CO | 13 | 10/74 | SURETY FINANCIAL CORP | 13 | 10/74 |
| SKY CITY STORES INC | 13,14 | 10/74 | SURGICOT INC | 3,12 | 10/74 |
| SMITHFIELD FOODS INC | 7,8,13 | 10/74 | SURVEYOR COMPANIES INC | 1 | 10/74 |
| SOLITRON DEVICES INC | 13 | 10/74 | SUSQUEHANNA CORP | 6 | 10/74 |
| SOMESTA INTERNATIONAL HOTELS CORP | 3,7 | 10/74 | SYNERCON CORP | 12,13,14 | 10/74 |
| SONIC INDUSTRIES INC | 12 | 10/74 | SYSTEMS ENGINEERING LABORATORIES INC | 11,13 | 10/74 |
| SOD LINE RAILROAD CO | 7,14 | 10/74 | TACA INTERNATIONAL AIRLINES S A | 13 | 10/74 |
| SOUNDCRIBER CORP | 13 | 11/74 | TADS ENTERPRISES INC | 11 | 10/74 |
| SOUTHDOWN INC | 2 | 10/74 | TAFT BROADCASTING CO | 3,13 | 10/74 |
| SOUTHEAST BANKING CORP | 7,14 | 10/74 | TAMPE INC | 13 | 10/74 |
| SOUTHERN ACCEPTANCE CORP | 3,13 | 10/74 | TANMETICS INC | 2,4,7,14 | 10/74 |
| SOUTHERN BANCORPORATION | 2,7,13,14 | 10/74 | TAX CORP OF AMERICA | 7,8,11,13,14 | 10/74 |
| SOUTHERN CALIFORNIA GAS CO | 13,14 | 10/74 | TOA INDUSTRIES INC | 4,8,11,13,14 | 10/74 |
| SOUTHERN CO | 13 | 10/74 | TEJON AGRICULTURAL PARTNERS | 13,14 | 10/74 |
| SOUTHERN PACIFIC CO | 3 | 10/74 | TEJON RANCH CO | 13,14 | 10/74 |
| SOUTHERN PACIFIC TRANSPORTATION CO | 3 | 10/74 | TELCO MARKETING SERVICES INC | 9,13,14 | 10/74 |
| SOUTHERN RAILWAY CO | 3,7,14 | 10/74 | TELECREDIT INC | 3,14 | 10/74 |
| SOUTHERN REALTY & UTILITIES CORP | 1,13,14 | 10/74 | TELETAPE CORP | 12,13,14 | 10/74 |
| SOUTHERN UNION GAS CO | 7,14 | 10/74 | TERRY CORP OF CONNECTICUT | 1 | 11/74 |
| SOUTHWEST AIRLINES CO | 2,13,14 | 10/74 | TERRYDALE REALTY TRUST | 13,14 | 10/74 |
| SOUTHWEST PETROCHEM INC | 10 | 10/74 | TESORO PETROLEUM CORP | 7,14 | 10/74 |
| SOUTHWEST SCOTTISH INNS INC | 13,14 | 11/74 | TEXACO INC | 3 | 10/74 |
| SOUTHWESTERN BELL TELEPHONE CO | 7,11,13,14 | 10/74 | TEXAS ELECTRIC SERVICE CO | 3 | 10/74 |
| SOUTHWESTERN RESEARCH CORP | 6 | 09/74 | TEXAS GAS TRANSMISSION CORP | 8 | 10/74 |
| SOUTHWESTERN RESEARCH CORP | 3,13 | 10/74 | TEXAS OIL & GAS CORP | 7 | 10/74 |
| SPEIDEL NEWSPAPERS INC | 11,14 | 10/74 | TEXAS POWER & LIGHT CO | 3,7,14 | 10/74 |
| SPERRY RAND CORP | 4,14 | 10/74 | TEXAS UTILITIES CO | 3 | 10/74 |
| SPRINGS HILLS INC | 10,14 | 10/74 | TEXFI INDUSTRIES INC | 3,14 | 10/74 |
| STANDARD CONTAINER TRANSPORT CORP | 2 | 10/74 | TEXTONE INC | 12,13,14 | 10/74 |
| STANDARD HAVENS INC | 7,13,14 | 10/74 | TEXTRON INC | 3,7,14 | 10/74 |
| STANDARD OIL CO OF CALIFORNIA | 3 | 10/74 | THERM AIR MANUFACTURING CO INC | 1,3,6,12,13,14 | 10/74 |
| STANDARD OIL CO OF INDIANA | 3 | 10/74 | THIRD NATIONAL CORP | 7 | 09/74 |
| STANDARD OIL CO OF OHIO | 3 | 10/74 | THOMPSON INTERNATIONAL CORP | 11,14 | 10/74 |
| STANDARD PLYWOOD CORP | 13 | 10/74 | TI CORP OF CALIFORNIA | 13 | 10/74 |
| STANDARD REGISTER CO | 10 | 10/74 | VIA MARIA INC | 13 | 10/74 |
| STANDEX INTERNATIONAL CORP | 11 | 10/74 | TIDEWATER MARINE SERVICE INC | 13 | 10/74 |
| STASSAL INDUSTRIES INC | 7 | 09/74 | TIGER INTERNATIONAL INC | 13,14 | 10/74 |
| STATE SAVINGS & LOAN ASSOCIATION | 13,14 | 10/74 | TIME LENDERS INC | 13 | 10/74 |
| STECHER TRAUING SCHPIDT CORP | 3,14 | 10/74 | TIME SHARING RESOURCES INC | 11 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|---|---------------------|-------------------|---------------------------------------|---------------------|-------------------|
| TLC CATTLE FUND 1973 I | 7,13,14 | 10/74 | UNITED STATES GYPSUM CO | 3 | 10/74 |
| TMC MORTGAGE INVESTORS | 10,13 | 10/74 | UNITED STATES HOME CORP | 7,13,14 | 10/74 |
| TOMANET CORP | 11 | 10/74 | UNITED STATES INDUSTRIES INC | 7,8,10,13,14 | 10/74 |
| TOMKA CORP | 13,14 | 10/74 | UNITED STATES LEASING CORP | 7,14 | 10/74 |
| TOPPS & TROWERS | 13 | 10/74 | UNITED STATES NATURAL RESOURCES INC | 2,3 | 10/74 |
| TORG CO | 11,14 | 10/74 | UNITED STATES REALTY INVESTMENTS | 12 | 10/74 |
| TOTAL RESOURCES INC | 3,14 | 10/74 | UNITED STATES STEEL CORP | 3 | 10/74 |
| TRACON INC | 10,13 | 10/74 | UNITED TECHNOLOGY LABORATORIES INC | 13 | 10/74 |
| TRAID CORP | 8 | 10/74 | UNITED TENNESSEE BANCSHARES CORP | 2,14 | 10/74 |
| TRANS PACIFIC FINANCIAL CORP | 13 | 10/74 | UNIVERSAL CONTAINER CORP | 3,7,12,14 | 10/74 |
| TRANS WORLD FINANCIAL CO | 3,14 | 10/74 | UNIVERSAL FINANCIAL SERVICES INC | 12,14 | 10/74 |
| TRANSAIRCO INC | 3,14 | 10/74 | UNIVERSAL TELEPHONE INC | 8 | 10/74 |
| TRANSCANADA PIPELINES LTD | 3,7,8 | 10/74 | UNIVERSITY REAL ESTATE TRUST | 1,7,13 | 10/74 |
| TRANSCON BUILDERS INC | 3,12 | 10/74 | UPJOHN CO | 13 | 10/74 |
| TRANSCONTINENTAL OIL CORP | 7,8,14 | 10/74 | UPPER PENINSULA POWER CO | 13,14 | 10/74 |
| TRANSCOCEAN OIL INC | 3,13 | 10/74 | URS CORP | 13 | 10/74 |
| TRANSPORT DATA COMMUNICATIONS INC | 3 | 10/74 | URT INDUSTRIES INC | 11 | 10/74 |
| TRAVELLODGE INTERNATIONAL INC | NO ITEMS | 09/73 | USP REAL ESTATE INVESTMENT TRUST | 7,13 | 10/74 |
| TREASURE STATE INDUSTRIES INC | 2,13 | 11/74 | UTILITIES & INDUSTRIES CORP | 3 | 10/74 |
| TRENCO INC | 4,7,14 | 10/74 | UV INDUSTRIES INC | 7,8 | 10/74 |
| TRI SOUTH MORTGAGE INVESTORS | 9,10,14 | 10/74 | VACU DRY CO | 11 | 10/74 |
| TRIANGLE BRICK CO | 11 | 10/74 | VALLEY FORGE CORP | 6,10,14 | 10/74 |
| TRIANGLE CORP | 3,7 | 10/74 | VALMAC INDUSTRIES INC | 3,14 | 10/74 |
| TRIANGLE INDUSTRIES INC | 18 | 10/74 | VAPORTECH CORP | 1,7,14 | 07/74 |
| TRIUMPH AMERICAN INC | 3,14 | 10/74 | VAPORTECH CORP | 7,14 | 08/74 |
| TROPIX TOGS INC | 13 | 10/74 | VAPORTECH CORP | 13 | 10/74 |
| TRW INC | 7 | 10/74 | VARDYNE INDUSTRIES INC | 3,6 | 10/74 |
| TSI INC | 2 | 10/74 | VARIAN ASSOCIATES | 7,8 | 10/74 |
| TWENTY FIRST CENTURY COMMUNICATIONS INC | 2,3,7,14 | 10/74 | VARIFAB INC | 11 | 10/74 |
| TWIN CITY BARGE & TOWING CO | 3,7,9,14 | 10/74 | VEECO INSTRUMENTS INC | 8,13,14 | 10/74 |
| TWIN FAIR INC | 3 | 10/74 | VELVET OONMELL CORP | 12,14 | 10/74 |
| TYLER CORP | 4,7,8,13,14 | 10/74 | VERTER INDUSTRIES | 12,14 | 07/74 |
| UAL INC | 7,13 | 10/74 | VESCO CORP | 12 | 09/74 |
| UB FINANCIAL CORP | 7,13 | 10/74 | VESELY CO | 2,14 | 10/74 |
| UDCO INDUSTRIES INC | 3 | 10/74 | VETCO OFFSHORE INDUSTRIES INC | 7 | 10/74 |
| ULTRA DYNAMICS CORP | 3 | 10/74 | VICTOR COMPTONETER CORP | 7,14 | 10/74 |
| UNIFIED FUNDS INC | 11,14 | 10/74 | VICTOR GRAPHIC SYSTEMS INC | 3,13 | 10/74 |
| UNION CARBIDE CORP | 3 | 10/74 | VICTORIA STATION INC | 7 | 10/74 |
| UNION ELECTRIC CO | 11,14 | 10/74 | VICTORY MARKETS INC | 12 | 10/74 |
| UNION FIDELITY CORP | 8,14 | 10/74 | VIEWLEX INC | 11,13,14 | 10/74 |
| UNION INVESTMENT CO | 4,10,13 | 10/74 | VIRING GENERAL CORP | 7,8,10 | 10/74 |
| UNION OIL CO OF CALIFORNIA | 3,9,13 | 10/74 | VIPONT CHEMICAL CO | 3,13 | 10/74 |
| UNION PACIFIC CORP | 3 | 10/74 | VIRANY CREATIONS LTD | 11 | 10/74 |
| UNION PLANTERS CORP | 10,14 | 10/74 | VIRGINIA REAL ESTATE INVESTMENT TRUST | 10 | 10/74 |
| UNION TRUST INC | 11 | 10/74 | VISUAL ART INDUSTRIES INC | 13 | 10/74 |
| UNIROYAL INC | 3 | 10/74 | WAGNER ELECTRIC CORP | 7,14 | 10/74 |
| UNITED AIRCRAFT CORP | 4,14 | 10/74 | WALGREEN CO | 10,13,14 | 10/74 |
| UNITED AMERICAN LIFE INSURANCE CO | 1,2,3,13,14 | 10/74 | WALKER B B CO | 13 | 10/74 |
| UNITED ATHLETES OF AMERICA INC | 3 | 10/74 | WANG LABORATORIES INC | 7,14 | 10/74 |
| UNITED ATHLETES OF AMERICA INC MICHIGAN | 7,13 | 08/74 | WARDS CO INC | 13 | 10/74 |
| UNITED BANKS OF COLORADO INC | 13 | 10/74 | WARNACO INC | 8 | 10/74 |
| UNITED BRANDS CO | 4,10,14 | 10/74 | WARNER COMMUNICATIONS INC | 13,14 | 10/74 |
| UNITED CABLE TELEVISION CORP | 1 | 10/73 | WARWICK ELECTRONICS INC | 7,14 | 07/74 |
| UNITED FINANCIAL CORP OF CALIFORNIA | 3,13,14 | 10/74 | WARWICK HOTEL ASSOCIATES | 2,11,14 | 10/74 |
| UNITED GAS PIPE LINE CO | 3,14 | 10/74 | WASHINGTON GAS LIGHT CO | 13 | 10/74 |
| UNITED JERSEY BANKS | 13 | 10/74 | WASHINGTON POST CO | 7,13,14 | 10/74 |
| UNITED SAVINGS & LOAN ASSOCIATION | 11,14 | 12/72 | WASHINGTON WATER POWER CO | 7,14 | 10/74 |
| UNITED SAVINGS & LOAN ASSOCIATION | 11,14 | 10/74 | WASTE MANAGEMENT INC | 3,7,14 | 10/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|------------------------------------|---------------------|-------------------|--|---------------------|-------------------|
| AMENDMENTS TO REPORTS ON FORM 8-K | | | | | |
| WAVECON INDUSTRIES | 2,3 | 10/74 | ALASKA PETROLEUM & MINING INC | 2 | 10/74 |
| WEBB RESOURCES INC | 7,9,11,14 | 10/74 | ALCO STANDARD CORP | 8 | 08/74 |
| WEINGARTEN REALTY INC | 7 | 10/74 | ALDOR CORP | 13 | 08/74 |
| WEJ IT CORP | 13,14 | 10/74 | ALPHANUMERIC INC | 14 | 08/74 |
| WEKSLER INSTRUMENTS CORP | 1 | 10/74 | AMERICAN BIO CULTURE INC | 2,13 | 02/74 |
| WELCO ENTERPRISES INC | 13 | 10/74 | AMERICAN EAGLE PETROLEUMS LTD | 13 | 11/73 |
| WELLTECH INC | 13 | 10/74 | AMERICAN EAGLE PETROLEUMS LTD | 1 | 08/74 |
| WELSH CORP | 3,6,13,14 | 10/74 | AMERICAN HOME PRODUCTS CORP | 8 | 08/74 |
| WEST MICHIGAN FINANCIAL CORP | 2,14 | 10/74 | AMERICAN INVESTORS LIFE INSURANCE CO INC | 2,14 | 10/74 |
| WEST POINT PEPPERELL INC | 3 | 10/74 | AMERICAN MARINE LTD | 7,8 | 03/74 |
| WEST TEXAS UTILITIES CO | 11,14 | 10/74 | BALDWIN D H CO | NO ITEMS | 10/74 |
| WESTERN AIR LINES INC | 8,13 | 10/74 | BANQUET CONSOLIDATED INC | NO ITEMS | 09/74 |
| WESTERN AUTO SUPPLY CO | 11,14 | 10/74 | BIO MEDICAL SCIENCES INC | 13 | 09/74 |
| WESTERN BANCORPORATION | 3 | 09/74 | BIO MEDICAL SCIENCES INC | NO ITEMS | 10/74 |
| WESTERN CORP | 13,14 | 11/74 | BLISS & LAUGHLIN INDUSTRIES INC | 8 | 10/74 |
| WESTERN FINANCIAL CORP ARIZONA | 3 | 10/74 | BROWNING | 2,14 | 08/74 |
| WESTERN MASSACHUSETTS ELECTRIC CO | 10,14 | 10/74 | BUILDING & LAND TECHNOLOGY CORP | 7,14 | 08/73 |
| WESTERN PACIFIC FINANCIAL CORP | 3 | 10/74 | CAMERON BROWN INVESTMENT GROUP | 7,8 | 09/74 |
| WESTERN PREFERRED CORP | 2,14 | 10/74 | CARLSBERG MOBILE HOME PROPERTIES LTD 72 | NO ITEMS | 04/74 |
| WESTERN UNION CORP | 3 | 10/74 | CHLORIDE CONCREX CORP | NO ITEMS | 08/74 |
| WESTERN UNION TELEGRAPH CO | 3 | 10/74 | CITIZENS GROWTH PROPERTIES | 10 | 08/74 |
| WESTINGHOUSE ELECTRIC CORP | 8,14 | 10/74 | CNA FINANCIAL CORP | 10 | 09/74 |
| WESTOURS INC | 3,7,8 | 10/74 | COMMERCIAL SOLVENTS CORP | 8 | 09/74 |
| WESTVACO CORP | 7,10,14 | 10/74 | COMPUTER MICROFILM CORP | 12 | 09/73 |
| WETSONS CORP | 13,14 | 10/74 | CONTINENTAL HERITAGE CORP | 2,7,14 | 06/74 |
| WHEELING PITTSBURGH STEEL CORP | 3 | 10/74 | COORDINATED COMPUTER CONCEPTS INC | 14 | 09/74 |
| WHITAKER CABLE CORP | 4,7,8,14 | 10/74 | CORNWALL TIN & MINING CORP | 14 | 10/74 |
| WHITE HARTHA FOODS INC | 2,7,8,13,14 | 10/74 | CORTERRA CORP | 6 | 08/74 |
| WHITE MOTOR CORP | 7,14 | 10/74 | COSMETEX INDUSTRIES INC | 2 | 09/74 |
| WHITEHALL CEMENT MANUFACTURING CO | 7 | 10/74 | ECONO TRAVEL MOTOR HOTEL CORP | 10 | 10/73 |
| WHITING CORP | 7 | 10/74 | ENHOS INDUSTRIES INC | 7 | 08/74 |
| WHITTAKER CORP | 7,13,14 | 10/74 | FIRST COMMERCE CORP | 2,4,9,14 | 09/74 |
| WIENER CORP | 13 | 10/74 | FIRST UNITED FINANCIAL CORP | 10 | 08/74 |
| WILLAMETTE INDUSTRIES INC | 2,7,14 | 10/74 | GRAND CENTRAL INC | 7 | 10/74 |
| WILLNER INDUSTRIES | 13 | 10/74 | GREEN GIANT CO | 14 | 11/74 |
| WILSON & CO INC | 3 | 10/74 | HARRIS CORP TEXAS | 2,8 | 10/74 |
| WISCONSIN CENTRIFUGAL INC | 3 | 10/74 | HEALTH INDUSTRIES INC | 7 | 10/74 |
| WISCONSIN ELECTRIC POWER CO | 13 | 10/74 | HOWARD BROTHERS DISCOUNT STORES INC | NO ITEMS | 07/74 |
| WISCONSIN POWER & LIGHT CO | 3,7,13,14 | 10/74 | INDEPENDENCE NATIONAL CORP | 2,14 | 07/74 |
| WITTER DEAN ORGANIZATION INC | 3 | 10/74 | INDEPENDENCE NATIONAL CORP | 2,14 | 08/74 |
| WOOLWORTH F W CO | 7 | 10/74 | INTERNATIONAL MINING CORP | 2,10 | 06/74 |
| WORLD INDUSTRIES INC | 2,7,8 | 10/74 | ITI CORP | 12,14 | 07/74 |
| WORLD WIDE MEATS INC | 2,8,14 | 10/74 | JAMES RIVER CORP OF VIRGINIA | 11,14 | 07/74 |
| WRITER CORP | 12 | 10/74 | JAMES RIVER CORP OF VIRGINIA | 2 | 09/74 |
| WTVL CORP | 2,8,9,10,14 | 09/74 | JERRICO INC | 3,11 | 10/74 |
| WYOMING NATIONAL CORP | 13 | 10/74 | JOREMI ENTERPRISES INC | 2 | 09/74 |
| XACRON CORP | 12 | 10/74 | KAISER INDUSTRIES CORP | 14 | 09/74 |
| XEROX CORP | 13,14 | 10/74 | KAISER STEEL CORP | 14 | 09/74 |
| YES CANDIES INC | 3 | 11/74 | KOI CORP | 3 | 10/74 |
| YATES INDUSTRIES INC | 8 | 10/74 | KLEER PAK CORP | 11 | 08/74 |
| YAVAPAI SAVINGS & LOAN ASSOCIATION | 13 | 10/74 | LIBERTY LOAN CORP | 13 | 08/74 |
| YOSEMITE PARK & CURRY CO | 11 | 10/74 | LTV AEROSPACE CORP | 13 | 09/74 |
| YOUNGSTOWN SHEET & TUBE CO | 3 | 10/74 | LTV CORP | 13 | 09/74 |
| YUBA GOLDFIELDS INC | 11 | 10/74 | MAGNETIC TAPE ENGINEERING CORP | 2 | 08/74 |
| ZIMMER HOMES CORP | 10,13,14 | 10/74 | MANAGEMENT ASSISTANCE INC | NO ITEMS | 09/74 |
| AMENDMENTS TO REPORTS ON FORM 8-K | | | | | |
| ADVANCED SYSTEMS INC | 7 | 07/74 | MARLINE OIL CORP | 2 | 02/74 |
| | | | MARRIOTT CORP | 7,8 | 07/74 |
| | | | MASS MERCHANDISERS INC | 2,14 | 06/74 |

| NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT | NAME OF ISSUER | RESPONSE TO ITEM | DATE OF REPORT |
|--|---------------------|-------------------|----------------|---------------------|-------------------|
| AMENDMENTS TO REPORTS ON FORM 8-K | | | | | |
| MCKEIL PACIFIC INVESTORS FUND 1972 | 3,14 | 05/74 | | | |
| MICRONETIC SYSTEMS INC | 3 | 10/74 | | | |
| MULTIVEST REAL ESTATE FUND LTD SERIES SE | 13 | 12/73 | | | |
| MULTIVEST REAL ESTATE FUND LTD SERIES S | 14 | 11/73 | | | |
| MULTIVEST REAL ESTATE FUND LTD SERIES S | 14 | 05/74 | | | |
| MULTIVEST REAL ESTATE FUND LTD SERIES S | 14 | 10/74 | | | |
| NASCO INTERNATIONAL INC | 3 | 10/74 | | | |
| NATIONAL AIRLINES INC | 7 | 07/74 | | | |
| NORTON CO | 7 | 09/74 | | | |
| OIL RESOURCES INC | 3 | 09/74 | | | |
| PENNSYLVANIA CO | 8 | 05/73 | | | |
| PRECISION POLYMERS INC | 14 | 06/74 | | | |
| RESORT OF THE WORLD N V | 3,13,14 | 10/74 | | | |
| ROCKWELL INTERNATIONAL CORP | 3 | 10/74 | | | |
| SCOTS INN LAND CO | 3,14 | 09/74 | | | |
| SCOTS INN MANAGEMENT CO | 3,14 | 09/74 | | | |
| SONESTA INTERNATIONAL HOTELS CORP | 3,7 | 10/74 | | | |
| SONICS INTERNATIONAL INC | 14 | 07/74 | | | |
| SPECTRA PHYSICS INC | 14 | 09/74 | | | |
| STANDARD CONTAINER TRANSPORT CORP | 2,9 | 06/74 | | | |
| STERLING STORES CO INC | 14 | 04/74 | | | |
| STRATFORD OF TEXAS INC | 3 | 10/74 | | | |
| TEJON AGRICULTURAL PARTNERS | 13,14 | 09/74 | | | |
| THERMAL POWER CO | 3,13,14 | 10/74 | | | |
| TIME BROKERS INC | 12,14 | 09/74 | | | |
| TMC MORTGAGE INVESTORS | 10 | 10/74 | | | |
| UNAC INTERNATIONAL CORP | 10 | 09/74 | | | |
| UNITED INDUSTRIAL CORP | 10 | 09/74 | | | |
| VALLEY FORGE CORP | 2,13 | 06/74 | | | |
| VIACOM INTERNATIONAL INC | 13 | 08/74 | | | |
| VIKING GENERAL CORP | 7,8 | 09/74 | | | |
| VLN CORP | 14 | 09/74 | | | |
| WASTE MANAGEMENT INC | 13,14 | 10/74 | | | |
| WESTERN CORP | 7,14 | 09/74 | | | |
| WESTERN UNION CORP | 7,14 | 07/74 | | | |
| WESTERN UNION CORP | 7 | 08/74 | | | |
| WESTINGHOUSE ELECTRIC CORP | 10,14 | 09/74 | | | |
| WONPROF INC | 14 | 12/72 | | | |
| WONPROF INC | 14 | 12/73 | | | |
| WONPROF INC | 14 | 12/73 | | | |
| WRATHER CORP | NO ITEMS | 09/74 | | | |
| XACRON CORP | 14 | 10/74 | | | |
| ZAPATA FOODS INC | 2 | 07/74 | | | |

RECENT REGULATION 13D ACQUISITION REPORTS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 13d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the beneficial owners listed below. The number of shares of the security which are beneficially owned, and the number of shares concerning which there is a right to acquire are set forth for each beneficial owner.

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| Mobil Oil Corp. | Marcor Inc. (Wilmington, Del.) Convertible Series A Preferred Stock - 1,931,093 shs. Convertible Series B Preferred Stock - 8,000,000 shs. Common Stock - 14,655,044 shs. | 10- 7-74 |
| Fasco Inc. | Talcott National Corp. (New York City) Common Stock - 1,600,000 shs. (53%) (Above shs. acquired from its parent, Fasco A.G., a wholly-owned subsidiary of Michele Sindona and are subject to a Stock Purchase Agreement with Standard Prudential Corp.) | 10- 7-74 |
| Daniel A. McBride | TransTechnology Corp. (Sherman Oaks, Calif.) Common Stock - 159,304 shs. Common Stock - 30,825 shs. on exercise of Warrants and Options | 10- 7-74 |
| * United Fund Inc. | Williams Companies (Tulsa, Okla.) Common Stock - 116,500 shs. | 10- 7-74 |
| * Wheeler, Munger & Co. | New America Fund, Inc. (Los Angeles, Calif.) Common Stock - 407,820 shs. (23%) | 10- 7-74 |
| Consolidated Oil & Gas, Inc. | Gulf Energy & Development Corp. (San Antonio, Tex.) Common Stock - 87,794 shs. | 10- 7-74 |
| * Martin J. Wygod Merchant Investors Corp. | Hickory Furniture Co. (Hickory, N. C.) Common Stock - 118,597 shs. | 10- 7-74 |
| * Elizabeth S. and Neil H. Ellis | First Hartford Corp. (Manchester, Conn.) Common Stock - 749,241 shs. (Includes 23,736 shares purchasable by Mr. Ellis pursuant to an option agreement) | 10- 7-74 |
| * EMW Associates Inc. | Twentieth Century-Fox Film Corp. (Los Angeles, Calif.) Common Stock - 590,100 shs. | 10- 8-74 |

* Amended Acquisition Report

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|---|-------------------|
| Central Mutual Insurance Co. | Kansas Security Life Insurance Co., Inc. (Topeka, Kans.) Common Stock - 79,315 shs. (30%) (Above shs. purchased pursuant to the Tender Offer. In addition, Central controls 58% of the Kansas stock through its 85% ownership of the Central United Corp.) | 10- 8-74 |
| Central Mutual Insurance Co. | Central United Corp. (Sioux City, Iowa) Common Stock - 1,167,500 shs. (85%) (Above shs. purchased pursuant to the Tender Offer) | 10- 8-74 |
| * Superior Oil Co. Canadian Superior Oil Ltd. Superior Farming Co. | Tejon Ranch Co. (Lebec, Calif.) Common Stock - 134,860 shs. | 10- 9-74 |
| * Gulf Life Holding Co. | Interstate Corp. (Chattanooga, Tenn.) Common Stock - 1,014,706 shs. | 10- 9-74 |
| * National Industries, Inc. | Cott Corp. (New Haven, Conn.) Common Stock - 1,617,800 shs. (80.9%) Common Stock - 800,000 shs. on exercise of Warrants | 10- 9-74 |
| * National Industries, Inc. | National Recreation Products, Inc. (Louisville, Ky.) Common Stock - 1,318,061 shs. (82.38%) Common Stock - 81,895 shs. on exercise of Warrants | 10- 9-74 |
| * EMW Associates Inc. | Cambridge Memories, Inc. (Bedford, Mass.) Common Stock - 135,700 shs. | 10- 9-74 |
| Miller Nichols | J. C. Nichols Co. (Kansas City, Mo.) Common Stock - 38,820 shs. | 10- 9-74 |
| Carter Burden | New York Magazine Co., Inc. (New York City) Common Stock - 427,452 shs. | 10- 9-74 |
| * Blue Chip Stamps | San Jose Water Works (San Jose, Calif.) Common Stock - 33,500 shs. | 10- 9-74 |
| Korf Industries, Inc. | Nachman Corp. (Des Plaines, Ill.) Common Stock - 722,125 shs. (73.7%) (150,000 shs. of above are held by a 95% owned subsidiary of KII. The balance of 572,125 shs. were acquired from Stabetag A.G., a Swiss company) | 10- 9-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| FNCB Capital Corp. | Datapoint Corp. (San Antonio, Texas) Common Stock - 78,400 shs. Common Stock - 97,485 shs. on conversion of Debentures and exercise of Warrants | 10- 9-74 |
| Bankers Life and Casualty Co. | State Life Insurance Co. of Colorado (Denver, Colo.) Common Stock - 496,945 shs. | 10-10-74 |
| Prudential Insurance Co. of America | ARA Services, Inc. (Phila., Pa.) Common Stock - 304,000 shs. | 10-10-74 |
| David Shure | Dynalab Corp. (Henrietta, N. Y.) Common Stock - 38,404 shs. | 10-10-74 |
| Donald S. Rugoff | Cinema 5, Ltd. (New York City) Common Stock - 60,300 shs. Common Stock - 25,000 shs. on exercise of Option (Included in above are 10,200 shs. to be acquired by Mr. Rugoff as legatee under a will) | 10-10-74 |
| Group of Shareholders | Campanelli Industries, Inc. (Braintree, Mass.) Common Stock - 223,000 shs. | 10-10-74 |
| * Group of Shareholders | United Cable Television Corp. (Tulsa, Okla.) Common Stock - 250,697 shs. | 10-10-74 |
| Rodger T. Banister Elmbridge Ltd. (Bahamas), wholly-owned by Mr. Banister | Banister Continental Ltd. (Ontario, Canada) Common Stock - 140,700 shs. Common Stock - 190,730 shs. on conversion of Debentures | 10-10-74 |
| Frank A. Klaus | Hi-Shear Corp. (Torrance, Calif.) Common Stock - 274,904 shs. (86,404 of above shs. were purchased pursuant to the Tender Offer of August 8) | 10-11-74 |
| Oakwood Petroleum Ltd. | American Eagle Petroleum Ltd. (Alberta, Canada) Common Stock - 1,152,351 shs. | 10-11-74 |
| Group of Shareholders | Allied Equities Corp. (Reno, Nev.) Common Stock - 200,067 shs. | 10-11-74 |
| Mutual of Omaha Insurance Co. | United Benefit Life Insurance Co. (Omaha, Nebr.) Capital Stock - 511,579 shs. (71.05%) | 10-11-74 |
| * Commerce Clearing House, Inc. | Computax Services Inc. (El Segundo, Calif.) Common Stock - 1,209,011 shs. (95.9%) | 10-11-74 |

* Amended Acquisition Report

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| * Gulf & Western Industries, Inc. | Skil Corp. (Chicago, Ill.) Common Stock - 326,100 shs. | 10-11-74 |
| Lenox, Inc. | John Roberts Inc. (Austin, Texas) Common Stock - 183,123 shs. (Above shares may be subject to rescission rights) | 10-11-74 |
| * Berman, Kalmbach & Co. Merridohn Partners and HJF Associates (Partnerships) Merrill C. Berman and Dohn L. Kalmbach (Individuals) | Combined Communications Corp. (Phoenix, Ariz.) Common Stock - 221,055 shs. | 10-15-74 |
| Hussel Holding AG (Switzerland) | Micron Corp. (Salt Lake City, Utah) Common Stock - 1,635,000 shs. (51%) Common Stock - 100,000 shs. on exercise of Option | 10-15-74 |
| * New America Fund, Inc. | CapTech, Inc. (Long Beach, Calif.) Common Stock - 82,299 shs. | 10-15-74 |
| * Helmerich & Payne, Inc. | Eason Oil Co. (Oklahoma City, Okla.) Common Stock - 137,200 shs. | 10-15-74 |
| * Simkins Industries, Inc. | Fibreboard Corp. (San Francisco, Calif.) Common Stock - 53,900 shs. Common Stock - 17,027 shs. on conversion of Debentures | 10-15-74 |
| * Alabama Farm Bureau Mutual Casualty Insurance Co., Inc. | American Fidelity Life Insurance Co. (Pensacola, Fla.) Common Stock - 346,399 shs. | 10-15-74 |
| * Newmont Mining Corp. | Foote Mineral Co. (Exton, Pa.) Common Stock - 1,174,226 shs. Preferred Stock - 220,550 shs. (Above shs. were purchased pursuant to the Tender Offer and increased its ownership of Common Stock from 33% to 92.6% and obtained 48% of the Preferred Stock. Newmont now owns 84.2% of the total voting shares) | 10-15-74 |
| * Bass Brothers Enterprises, Inc. Perry R. Bass | H. Miller & Sons, Inc. (Fort Lauderdale, Fla.) Common Stock - 38,900 shs. | 10-15-74 |
| W. M. Avery | Berkline Corp. (Morristown, Tenn.) Common Stock - 84,000 shs. | 10-15-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| * Atlantic Realty Co. | Great Southern Enterprises, Inc. (Atlanta, Ga.) Common Stock - 245,920 shs. Common Stock - 34,459 shs. on exercise of Options assigned to Atlantic by Courts Corp., wholly-owned by R. W. Courts | 10-15-74 |
| R. Searle McGrath | Bengal Oil and Gas Corp. (Midland, Tex.) Common Stock - 1,991,666 shs. Common Stock - 600,000 shs. on exercise of Options | 10-15-74 |
| Raymond Beshara Arthur D. Bennett | Essex Systems Co., Inc. (Jamesburg, N. J.) Common Stock - 280,000 shs. on exercise of Options (The Options were granted to Messrs Bennett and Beshara jointly by the President of Essex and another shareholder) | 10-15-74 |
| Bi-State Industries Corp. | L'Aiglon Apparel, Inc. (New York City) Common Stock - 19,100 shs. | 10-15-74 |
| Rhodia Inc. | Polychrome Corp. (On-the-Hudson, Yonkers, N. Y.) Common Stock - 600,000 shs. | 10-15-74 |
| Wayne and Dolores M. Field | Rembrandt Enterprises, Inc. (Edina, Minn.) Common Stock - 497,524 shs. | 10-15-74 |
| Sportsystems Corp. | Centennial Turf Club, Inc. (Littleton, Colo.) Common Stock - 143,149 shs. | 10-15-74 |
| Sportsystems Corp. | Southland Racing Corp. (West Memphis, Ark.) Common Stock - 322,360 shs. (Above shares have been placed in a voting trust with Dr. Robert A. Leflar as the Voting Trustee) | 10-15-74 |
| Sportsystems Corp. | Canandaigua Enterprises Corp. (Canandaigua, N. Y.) Common Stock - 2,890,285 shs. Preferred Stock - 76,800 shs. (Above shares represent 70% of the outstanding voting shares) | 10-16-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|---|-------------------|
| * Joel Greenberg | Bluebird, Inc. (Chicago, Ill.) Common Stock - 443,400 shs. | 10-16-74 |
| Fred Lieberman | Communications Properties, Inc. (Austin, Texas) Common Stock - 2,080,493 shs. (43%) Common Stock - 173,976 shs. on conversion of Debenture | 10-17-74 |
| Group of Shareholders | Commonwealth Realty Trust (Wyncote, Pa.) Class B Shares - 307,692 shs. Class C Shares - 250,000 shs. (Includes 225,000 shs. (Class C) that may be acquired pursuant to an Agreement and on exercise of Options) | 10-17-74 |
| Group of Shareholders | Franklin Realty & Mortgage Trust (Phila., Pa.) Shares of Beneficial Interest - 48,700 shs. Shares of Beneficial Interest - 15,000 shs. on exercise of Options | 10-17-74 |
| * Berry Enterprises, Inc. | Ocean Science & Engineering, Inc. (Long Beach, Calif.) Common Stock - 1,100,000 shs. (38%) Common Stock - 1,064,000 shs. on exercise of right in Agreement | 10-17-74 |
| Bessemer Securities Corp. | Radiation Dynamics, Inc. (Westbury, N. Y.) Common Stock - 121,212 shs. (Bessemer also owns 17.30% of a Warrant exercisable with respect to 40% of the RDI Common shares outstanding. The shares and the interest in the Warrant were purchased from Firestone Tire & Rubber Co. simultaneously with the separate purchases by 6 other purchasers of the Common shares and interests in the Warrant) | 10-17-74 |
| Hilltro Corp. | Ireland's Restaurants, Inc. (Nashville, Tenn.) Common Stock - 316,020 shs. | 10-18-74 |
| American Investors Life Insurance Company, Inc. | International Investors Life Insurance Company, Inc. (Austin, Texas) Common Stock - 339,200 shs. | 10-18-74 |
| * Stephen Klein Group | Bartons Candy Corp. (Brooklyn, N. Y.) Common Stock - 361,973 shs. Common Stock - 15,000 shs. on exercise of Options | 10-18-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| * Hillman Coal & Coke Co. | Amarex, Inc. (Oklahoma City, Okla.) Common Stock - 800,000 shs. (44%) Common Stock - 100,000 shs. on exercise of Warrant | 10-18-74 |
| * Hillman Coal & Coke Co. | Dahlstrom Corp. (Jamestown, N. Y.) Common Stock - 153,014 shs. (41%) | 10-18-74 |
| * Selig S. Burrows Melvin Sirow | Cellu-Craft Inc. (New Hyde Park, N. Y.) Common Stock - 301,792 shs. | 10-18-74 |
| Borg-Warner Corp. | Hughes Tool Co. (Houston, Texas) Common Stock - 1,250,000 shs. on conversion of Preferred | 10-18-74 |
| * Meshulam Riklis | Cellu-Craft Inc. (New Hyde Park, N. Y.) Common Stock - 142,500 shs. Common Stock - 50,000 shs. on exercise of Warrants | 10-18-74 |
| Harbor Fund, Inc. | International Minerals & Chemical Corp. (Libertyville, Ill.) Common Stock - 36,605 shs. on conversion of Debentures | 10-18-74 |
| Dover Securities, Inc. | Disston, Inc. (Pittsburgh, Pa.) Common Stock - 100,000 shs. | 10-18-74 |
| Oppenheimer & Co. New York Plaza Associates - Plaza II Innoven Capital Corp. Kenneth W. Rind N. Richard Miller | Radiation Dynamics, Inc. (Westbury, N. Y.) Common Stock - 496,970 shs. (Oppenheimer, New York and Innoven own 82.70% of the Warrant described above in the Bessemer Securities Corp. report) | 10-18-74 |
| Robert F. Dziurgot Jack B. Crawford Don D. Crawford | Iota Industries, Inc. (New York City) Common Stock - 191,741 shs. | 10-21-74 |
| United States Steel and Carnegie Pension Fund, Inc. | Louisiana Land Offshore Exploration Co., Inc. (New Orleans, La.) Class B Common - 100,000 shs. Class B Common - 672,000 shs. on conversion of Debentures | 10-21-74 |
| Ethyl Corp. | Cooper Laboratories, Inc. (Parsippany, N. J.) Common Stock - 837,328 shs. (12.5%) | 10-21-74 |
| * Henkel Inc. | Clorox Co. (Oakland, Calif.) Common Stock - 2,230,000 shs. | 10-21-74 |
| * INA Corp. | AID, Inc. (Bala Cynwyd, Pa.) Class A Common - 893,046 shs. Class B Common - 250,000 shs. | 10-21-74 |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| N. B. Hunt W. H. Hunt Randall A. Kreiling | Great Western United Corp. (Denver, Colo.) Common Stock - 157,100 shs. | 10-21-74 |
| Takeshi Yokono | Crown Corp. (Honolulu, Hawaii) Common Stock - 427,540 shs. (227,540 shs. of above are subject to a voting trust of which Mr. Yokono is the sole voting trustee) | 10-21-74 |
| Charles W. Duncan, Jr. | Robertson Distribution Systems, Inc. (Houston, Texas) Common Stock - 20,000 shs. Common Stock - 100,000 shs. on exercise of Warrant and Option | 10-21-74 |
| Freighters, Inc. | Pacific Far East Line, Inc. (San Francisco, Calif.) Common Stock - 462,266 shs. | 10-21-74 |
| Orin G. Grossman | Resort Car Rental System, Inc. (Las Vegas, Nev.) Common Stock - 71,900 shs. (69,000 of above shares were purchased from the former President of Resort) | 10-21-74 |
| * St. Regis Paper Co. | Michigan Carton Co. (Battle Creek, Mich.) Common Stock - 399,980 shs. (99.99%) | 10-21-74 |
| Mutual of Omaha Insur. Co. | United Benefit Life Insurance Co. (Omaha, Nebr.) Capital Stock - 511,595 shs. (71.05%) | 10-21-74 |
| Charles P. Lecht Oscar H. Schachter Gerald O. Koop John F. Phillips | Advanced Computer Techniques Corp. (New York City) Common Stock - 344,398 shs. Cl. A Stock - 183,811 shs. (Above shares have been deposited under a voting trust agreement) | 10-21-74 |
| Jonathan De Sola Mendes | Applied Fluidics, Inc. (Westbury, N. Y.) Common Stock - 175,500 shs. (Above shs. were acquired from the President of Applied) | 10-22-74 |
| Dow Chemical Co. | Nordex Explosives Ltd. (Ontario, Canada) Common Stock - 501,831 shs. (21%) | 10-23-74 |
| * Raymond M. Veltman Elaine T. Veltman | Containerfreight Corp. (Los Angeles, Calif.) Common Stock - 89,828 shs. | 10-23-74 |
| General Radio Co. | Micronetic Systems, Inc. (Burlington, Mass.) Common Stock - 330,725 shs. | 10-24-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|---|-------------------|
| * Daniel J. Terra | Products Research & Chemical Corp. (Burbank, Calif.) Common Stock - 148,700 shs. | 10-24-74 |
| Alex. Brown & Sons | AVX Corp. (Great Neck, N. Y.) Common Stock - 115,734 shs. | 10-24-74 |
| Robert W. Kerns | Cohu, Inc. (San Diego, Calif.) Common Stock - 95,100 shs. | 10-24-74 |
| William R. O'Dell | Wellington Technical Industries, Inc. (Madison, Ga.) Common Stock - 107,800 shs. | 10-24-74 |
| * Frank A. Klaus | Hi-Shear Corp. (Torrance, Calif.) Common Stock - 276,982 shs. | 10-24-74 |
| Albert M. Zlotnick | La Pointe Industries, Inc. (Rockville, Conn.) Common Stock - 40,200 shs. | 10-24-74 |
| * James L. Hooker | Curtis-Hooker Corp. (Saugus, Calif.) Common Stock - 1,237,500 shs. | 10-24-74 |
| * Brubaker Tool Corp. | Triangle Corp. (Orangeburg, S. C.) Common Stock - 72,800 shs. | 10-25-74 |
| Bogue Company | Sampson Corp. (Pittsburgh, Pa.) Common Stock - 90,000 shs. on conversion of Debentures and exercise of Warrants | 10-25-74 |
| * CF&I Steel Corp. | Copper Range Co. Capital Stock - 199,300 shs. | 10-25-74 |
| Jerry H. Nissen | Aseco, Inc. (Southfield, Mich.) Common Stock - 18,736 shs. | 10-25-74 |
| * Martin J. Wygod Merchant Investors Corp. | Hickory Furniture Co. (Hickory, N. C.) Common Stock - 119,497 shs. | 10-25-74 |
| * Federated Capital Management Associates, Inc. | Bates Manufacturing Co. (New York City) Common Stock - 607,292 shs. Common Stock - 139,125 shs. on conversion of Preferred | 10-25-74 |
| * Madison Fund, Inc. | First National Stores Inc. (Somerville, Mass.) Common Stock - 697,003 shs. | 10-29-74 |
| * Southern Natural Resources, Inc. | Offshore Co. (Houston, Texas) Common Stock - 6,110,654 shs. (89.09%) | 10-29-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| Group of Shareholders | AVX Corp. (Great Neck, N. Y.) Common Stock - 58,365 shs. Common Stock - 105,000 shs. on exercise of Options | 10-29-74 |
| Bessemer Securities Corp. | AVX Corp. (Great Neck, N. Y.) Common Stock - 50,674 shs. | 10-29-74 |
| S. H. Scheuer | Moore McCormack Resources, Inc. (Stamford, Conn.) Common Stock - 153,100 shs. | 10-29-74 |
| Crane Co. | CF&I Steel Corp. (Pueblo, Colo.) Common Stock - 3,727,531 shs. (93.8%) (87,998 of above shs. were purchased pursuant to the Tender Offer) | 10-29-74 |
| Greg-Gary International Corp. Satra Corp. Ara Oztemel | Patent Management, Inc. (Washington, DC) Common Stock - 600,000 shs. | 10-29-74 |
| Hotel Operating Co. of Hawaii, Ltd. | Cinerama, Inc. (Los Angeles, Calif.) Common Stock - 384,667 shs. Common Stock - 312,500 shs. on exercise of Option | 10-29-74 |
| Hawaii Hotel Operators, Inc. | Cinerama, Inc. (Los Angeles, Calif.) Common Stock - 166,667 shs. Common Stock - 500,000 shs. on exercise of Option | 10-29-74 |
| Roy C. Kelly Estelle L. Kelly | Cinerama, Inc. (Los Angeles, Calif.) Common Stock - 112,500 shs. Common Stock - 187,500 shs. on exercise of Option | 10-29-74 |
| * Franklin C. Cook | First Financial Corp. of the West (Downey, Calif.) Capital Stock - 88,770 shs. (51.32%) (80,344 of above shs. were purchased pursuant to the Tender Offer) | 10-29-74 |
| * Montauk Corp. | MPO Videotronics, Inc. (New York City) Common Stock - 191,592 shs. | 10-29-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| Louis J. Roussel | ICB Corp. (New Orleans, La.) Common Stock - 471,919 shs. (40%) (471,819 of above shs. were purchased pursuant to the Tender Offer) | 10-29-74 |
| Andrew Levitske | Thorofare Markets, Inc. (Youngstown, Ohio) Common Stock - 76,287 shs. | 10-29-74 |
| * North American Philips Development Corp. | Magnavox Co. (Fort Wayne, Ind.) Common Stock - 14,967,966 shs. (84.1%) (Above shares were purchased pursuant to the Tender Offer) | 10-29-74 |
| Group of Shareholders | Smithfield Foods, Inc. (Newport News, Va.) Common Stock - 342,938 shs. | 10-29-74 |
| * Richard S. Wilcox | Sheldahl, Inc. (Northfield, Minn.) Common Stock - 168,350 shs. | 10-29-74 |
| * Bass Brothers Enterprises, Inc. Bass Enterprises Production Co. Retirement Plan Texas Partners Richard Rainwater | Development Corp. of America (Hollywood, Fla.) Common Stock - 280,300 shs. | 10-29-74 |
| Malcom P. McLean | Diamondhead Corp. (Mountainside, N. J.) Common Stock - 150,000 shs. Common Stock - 4,691,990 shs. on conversion of Class B Common (150,000 shares of Common Stock and 462,625 shares of Class B Common were purchased from William H. Maurer, the former President of Diamondhead) | 10-29-74 |
| L. D. Hancock | Lucky Stores, Inc. (Dublin, Calif.) Common Stock - 1,729,660 shs. | 10-29-74 |
| Greater Jersey Bancorp. Group | NJB Prime Investors (Clifton, N. J.) Shares of Beneficial Int. - 201,096 shs. (Includes 1,143 shares that can be acquired on conversion of \$24,000 Principal Amount of Debentures) | 10-29-74 |
| * Jewelcor Inc. | Lafayette Radio Electronics Corp. (Syosset, N. Y.) Common Stock - 183,700 shs. | 10-30-74 |
| Denison Mines Ltd. | Fibreboard Corp. (San Francisco, Calif.) Common Stock - 277,600 shs. | 10-30-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| * Gulf & Western Realty Enterprises, Inc. | Madison Square Garden Corp. (New York City) Common Stock - 1,783,171 shs. (37.1%) Common Stock - 550,000 shs. on exercise of Warrants (419,143 of above shs. were acquired pursuant to the Tender Offer by its parent, Gulf & Western Industries Inc.) | 10-30-74 |
| NRP, Inc. Fred O. Schoeffer | Savoy Industries, Inc. (Long Island, NY) Common Stock - 300,000 shs. on exercise of Warrants | 10-30-74 |
| CNA Financial Corp. | Healthco, Inc. (Boston, Mass.) Common Stock - 2,653,548 shs. (45%) | 10-31-74 |
| Group of Shareholders | Daylin, Inc. (Beverly Hills, Calif.) Common Stock - 689,698 shs. Common Stock - 236,500 shs. on exercise of Options and Warrants | 10-31-74 |
| Curtiss-Wright Corp. | Cenco Inc. (Chicago, Ill.) Common Stock - 602,100 shs. | 10-31-74 |
| * Goodnow, Gray & Co. | Spartan Food Systems, Inc. (Spartanburg, S. C.) Common Stock - 104,000 shs. | 10-31-74 |
| Estate of George Kleiman | Scientific Industries, Inc. (Mineola, NY) Common Stock - 36,881 shs. | 10-31-74 |
| * Harold Schwartz | Topps & Trowers (San Francisco, Calif.) Common Stock - 190,395 shs. Common Stock - 23,000 shs. on exercise of Options | 11- 1-74 |
| Louis Berkman Co. | Ampco-Pittsburgh Corp. (Pittsburgh, Pa.) Common Stock - 695,214 shs. | 11- 1-74 |
| American National Insurance Co. | Trans World Life Insurance Co. of New York (New York City) Common Stock - 446,119 shs. (96.3%) (30,815 of above shs. were purchased pursuant to the Tender Offer) | 11- 4-74 |
| D. G. Seago, Jr. | Southwest Scottish Inns, Inc. (Little Rock, Ark.) Common Stock - 51,000 shs. | 11- 4-74 |
| Fibreboard Shareholders Committee | Fibreboard Corp. (San Francisco, Calif.) Common Stock - 677,068 shs. Common Stock - 17,027 shs. on conversion of Debentures | 11- 4-74 |

* Amended Acquisition Report

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| * Lincoln American Corp. First of Georgia Insur. Co. Lincoln American Life Insurance Co. | United American Life Insurance Co. (Denver, Colo.) Common Stock - 304,668 shs. | 11- 4-74 |
| William T. Moran | Vermilion Bay Land Co. (Lafayette, La.) Common Stock - 96,785 shs. | 11- 4-74 |
| * Edmond de Rothschild California European Co. - Calrop, S.A. Lafayette Corp. | Bancal Tri-State Corp. (San Francisco, Calif.) Common Stock - 876,200 shs. | 11- 4-74 |
| * Tannetics, Inc. | A. J. Industries, Inc. (Los Angeles, Cal.) Common Stock - 406,183 shs. (386,183 of above shs. were purchased pursuant to the Tender Offer) | 11- 4-74 |
| * Revlon, Inc. | Del Laboratories, Inc. (Farmingdale, NY) Common Stock - 165,200 shs. | 11- 4-74 |
| Unionamerica, Inc. | Union Bancorp, Inc. (Los Angeles, Calif.) Common Stock - 591,150 shs. | 11- 4-74 |
| * Bass Brothers Enterprises, Inc. | Development Corp. of America (Hollywood, Fla.) Common Stock - 157,200 shs. | 11- 4-74 |
| National Distillers and Chemical Corp. | Almaden Vineyards, Inc. (San Francisco, Calif.) Common Stock - 7,715,611 shs. (77%) | 11- 4-74 |
| * Goodnow, Gray & Co. | Spartan Food Systems, Inc. (Spartanburg, S. C.) Common Stock - 108,600 shs. | 11- 4-74 |
| * Goodnow, Gray & Co. | Sealed Air Corp. (Fair Lawn, N. J.) Common Stock - 100,000 shs. | 11- 4-74 |
| Louis W. Keller | Components Specialties, Inc. (Hempstead, N. Y.) Common Stock - 88,700 shs. (32%) Common Stock - 60,000 shs. on exercise of Option | 11- 5-74 |
| Robert Ernest Gyemant | Topps & Trowers (San Francisco, Calif.) Common Stock - 31,825 shs. Common Stock - 5,000 shs. on exercise of Options | 11- 6-74 |
| Edgar M. Bronfman | Clevelopak Corp. (New York City) Common Stock - 253,300 shs. | 11- 6-74 |

* Amended Acquisition Report

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|--|-------------------|
| For Better Living Shareholders Committee | For Better Living, Inc. (Laguna Niguel, Calif.) Common Stock - 263,053 shs. | 11- 6-74 |
| * Berkshire Hathaway Inc. | California Water Service Co. (San Jose, Calif.) Common Stock - 131,187 shs. | 11- 6-74 |
| * Berkshire Hathaway Inc. | Affiliated Publications, Inc. (Boston, Mass.) Common Stock - 178,700 shs. | 11- 6-74 |
| * United Fund Inc. | Williams Companies (Tulsa, Okla.) Common Stock - 140,000 shs. | 11- 6-74 |
| * Berkshire Hathaway Inc. | Interpublic Group of Companies, Inc. (New York City) Common Stock - 298,700 shs. | 11- 6-74 |
| * Bass Brothers Enterprises, Inc. Perry R. Bass | H. Miller & Sons, Inc. (Fort Lauderdale, Fla.) Common Stock - 39,900 shs. | 11- 7-74 |
| * James C. Marlas | Mickelberry Corp. (New York City) Common Stock - 33,600 shs. Common Stock - 12,300 shs. on exercise of Option | 11- 7-74 |
| George W. Wilson | Agricultural & Industrial Development Inc. (Syracuse, Kansas) Common Stock - 107,900 shs. | 11- 7-74 |
| * EMW Associates Inc. | Twentieth Century-Fox Film Corp. (Los Angeles, Calif.) Common Stock - 653,900 shs. | 11- 7-74 |
| * EMW Associates Inc. | Cambridge Memories, Inc. (Bedford, Mass.) Common Stock - 141,200 shs. | 11- 7-74 |
| Tweedy, Browne & Knapp | Investors Insurance Co. of America (Teaneck, N. J.) Common Stock - 38,800 shs. | 11- 7-74 |
| United States Fidelity and Guaranty Co. | Symmar Inc. (Fullerton, Calif.) Common Stock - 361,123 shs. | 11- 7-74 |
| * Wheeler, Munger & Co. | New America Fund, Inc. (Los Angeles, Cal.) Common Stock - 409,820 shs. (23%) | 11- 7-74 |
| Idanta Partners | Needham, Harper & Steers, Inc. (New York City) Common Stock - 47,900 shs. | 11- 7-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|---|---|-------------------|
| * Recognition Equipment Inc. | Corporation S (Dallas, Texas) Common Stock - 10,000 shs. Class B Stk. - 648,539 shs. | 11- 7-74 |
| * Montana Corp. | Treasure State Industries, Inc. (Great Falls, Mont.) Common Stock - 451,082 shs. (287,732 of above shares were acquired pursuant to the Tender Offer) | 11- 7-74 |
| Group of Shareholders | Interway Corp (New York City) Common Stock - 323,500 shs. | 11- 8-74 |
| Ampco-Pittsburgh Corp. | Pittsburgh Forgings Co. (Pittsburgh, Pa.) Common Stock - 465,296 shs. | 11- 8-74 |
| Owen F. Shevlin, Jr. | Varisystems Corp. (Plainview, N.Y.) Common Stock - 400 shs. Common Stock - 100,000 shs. on exercise of Options | 11- 8-74 |
| Keystone Custodian Fund Series S-4 | Gelco Corp. (Hopkins, Minn.) Common Stock - 197,000 shs. | 11- 8-74 |
| * Harold S. Brady Ben Schwartz Eli Rosenman | Bache & Co., Inc. (New York City) Common Stock - 404,800 shs. | 11- 8-74 |
| * Robert W. Halliday | Allied Equities Corp. (Reno, Nev.) Common Stock - 12,548 shs. Common Stock - 150,000 shs. on exercise of Warrants | 11- 8-74 |
| * Pasco, Inc. | Equity Oil Co. (Salt Lake City, Utah) Common Stock - 89,700 shs. | 11- 8-74 |
| First Pennsylvania Bank N.A. | Prime Computer, Inc. (Framingham, Mass.) Common Stock - 125,000 shs. on exercise of Warrants | 11- 8-74 |
| * Howell Corp. | Sernco, Inc. (Los Angeles, Calif.) Common Stock - 1,577,361 shs. | 11-11-74 |
| Jerry A. Whatley | Worldcom, Inc. (Dallas, Texas) Common Stock - 581,800 shs. | 11-11-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|---|-------------------|
| * Blue Chip Stamps | San Jose Water Works (San Jose, Calif.) Common Stock - 33,800 shs. | 11-11-74 |
| * New America Fund, Inc. | CapTech, Inc. (Long Beach, Calif.) Common Stock - 89,299 shs. | 11-11-74 |
| * N. B. Hunt W. H. Hunt Randall A. Kreiling Michael Boswell | Great Western United Corp. (Denver Colo.) Common Stock - 441,400 shs. (In addition, Messrs. Hunt have options to purchase 97,847 shs. from two shareholders) | 11-11-74 |
| Seamar (Holland) B.V. | Bond Industries, Inc. (New York City) Common Stock - 395,688 shs. Common Stock - 120,000 shs. on exercise of Option | 11-11-74 |
| * Western Nuclear, Inc. | Allied Nuclear Corp. (Denver, Colo.) Common Stock - 1,772,976 shs. (553,139 of above shares were purchased pursuant to the Tender Offer) | 11-11-74 |
| Cosmetex Industries, Inc. | Telmont Corp. (Saint Paul, Minn.) Common Stock - 80,000 shs. Pfd. Stock - 100,000 shs. (On approval of an Agreement, the above securities will be purchased from Warren Gochenour) | 11-11-74 |
| Dreyfus Fund Inc. | Bulova Watch Co., Inc. (New York City) Common Stock - 190,500 shs. | 11-11-74 |
| Group of Shareholders | Westates Petroleum Co. (Los Angeles, Calif.) Common Stock - 225,294 shs. | 11-11-74 |
| * National Industries, Inc. | National Recreation Products, Inc. (Louisville, Ky.) Common Stock - 1,318,561 shs. (82.41%) Common Stock - 81,895 shs. on exercise of Warrants | 11-11-74 |
| * National Industries, Inc. | Cott Corp. (New Haven, Conn.) Common Stock - 1,620,800 shs. (81.04%) Common Stock - 800,000 shs. on exercise of Warrants | 11-11-74 |
| * First International Reinsurance Co. | Standard Security Life Insurance Co. of New York (New York City) Common Stock - 125,000 shs. | 11-11-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|---|-------------------|
| * Wilmington Securities, Inc. | Shakespeare Co. (Columbia, S.C.) Common Stock - 550,000 shs. (22.7%) | 11-11-74 |
| * First Manhattan Co. | Turner Construction Co. (New York City) Common Stock - 49,467 shs. | 11-11-74 |
| Helen C. Marlas | Mickelberry Corp. (New York City) Common Stock - 29,715 shs. | 11-11-74 |
| M. C. Peterson | Sky City Stores, Inc. (Asheville, N.C.) Common Stock - 283,559 shs. Common Stock - 134,000 shs. on exercise of an Option granted by B. A. Bower, the former Chairman of Sky City (Mr. Peterson is the President and Chief Executive Officer of Sky City) | 11-11-74 |
| Bear, Stearns & Co. | Wells, Rich, Greene, Inc. (New York City) Common Stock - 122,300 shs. | 11-11-74 |
| * Superior Oil Co. Canadian Superior Oil Ltd. Superior Farming Co. | Tejon Ranch Co. (Lebec, Calif.) Common Stock - 139,360 shs. | 11-11-74 |
| Comity Corp. | Banks of Iowa, Inc. (Cedar Rapids, Iowa) Common Stock - 81,925 shs. | 11-11-74 |
| Group of Shareholders | Royal Operating Corp. (New York City) Common Stock - 544,909 shs. | 11-11-74 |
| * D. G. Seago, Jr. | Southwest Scottish Inns, Inc. (Little Rock, Ark.) Common Stock - 53,000 shs. | 11-11-74 |
| * Blue Chip Stamps | Source Capital, Inc. (Los Angeles, Cal.) Common Stock - 1,238,127 shs. | 11-11-74 |
| Carlton E. and Shirley A. Gregory | Hi-Shear Corp. (Torrance, Calif.) Common Stock - 48,000 shs. | 11-11-74 |
| * Zacks-Streim-Melton Group | R. G. Barry Corp. (Columbus, Ohio) Common Stock - 371,510 shs. | 11-11-74 |
| Victor Comptometer Corp. | Victor Graphic Systems, Inc. (Chicago, Ill.) Common Stock - 2,691,352 shs. (88%) | 11-11-74 |
| * Bass Brothers Enterprises, Inc. | Development Corp. of America (Hollywood, Fla.) Common Stock - 164,700 shs. | 11-11-74 |
| * Sun Ventures, Inc. | Plastics Development Corp. of America (Phila., Pa.) Common Stock - 648,916 shs. | 11-11-74 |
| * Amended Acquisition Report | | |

| <u>REPORTING COMPANY OR INDIVIDUAL</u> | <u>ISSUER & NUMBER OF SHARES</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| George S. Wing Henry Ugarto | Hi-Shear Corp. (Torrance, Calif.) Common Stock - 99,845 shs. (Mr. Ugarto owns no shares but holds options to purchase 7,500 shares of Common Stock. In addition, they are holders of a proxy to vote 25,000 shs. owned by Caspian, a California Company) | 11-11-74 |
| * Central Mutual Insur. Co. | Kansas Security Life Insurance Co., Inc. (Topeka, Kans.) Common Stock - 79,515 shs. | 11-11-74 |
| * Central Mutual Insur. Co. | Central United Corp. (Sioux City, Iowa) Common Stock - 1,168,780 shs. | 11-11-74 |
| * Lenox, Inc. | John Roberts Inc. (Austin, Texas) Common Stock - 199,671 shs. | 11-12-74 |
| PHC, Inc. | Southern Diversified Industries, Inc. (Miami, Fla.) Common Stock - 417,000 shs. | 11-12-74 |
| * Hillman Coal & Coke Co. | Amarex, Inc. (Oklahoma City, Okla.) Common Stock - 820,000 shs. (45%) | 11-12-74 |
| * Hillman Coal & Coke Co. | Dahlstrom Corp. (Jamestown, N.Y.) Common Stock - 153,214 shs. (41%) | 11-12-74 |
| RHF Trust Bruce R. Davis (Donor) | Invesco International Corp. (Las Vegas, Nev.) Common Stock - 140,950 shs. (15%) | 11-12-74 |
| Troy Post Corp. | Academy Insurance Group, Inc. (King of Prussia, Pa.) Common Stock - 700,000 shs. (17%) Common Stock - 100,000 shs. on exercise of Option | 11-13-74 |
| Jimmie L. Pfeffer | Academy Insurance Group, Inc. (King of Prussia, Pa.) Common Stock - 350,000 shs. (8.5%) Common Stock - 50,000 shs. on exercise of Option | 11-13-74 |
| William M. Allen | Academy Insurance Group, Inc. (King of Prussia, Pa.) Common Stock - 709,000 shs. (17%) Common Stock - 100,000 shs. on exercise of Option | 11-13-74 |
| Madison Fund, Inc. | Tenneco Offshore Co., Inc. (Houston, Texas) Common Stock - 337,000 shs. | 11-14-74 |

* Amended Acquisition Report

RECENT REGULATION 14D TENDER OFFERS. Statements containing the information required by Schedule 13D have been filed pursuant to Rule 14d-1 of the General Rules and Regulations under the Securities Exchange Act of 1934 by the Companies listed below:

| <u>OFFER TO PURCHASE BY:</u> | <u>ISSUER & NUMBER OF SHARES TO BE PURCHASED</u> | <u>DATE FILED</u> |
|--|--|-------------------|
| Cargill, Inc. | Summit National Holding Co. (Akron, Ohio) Common Stock - All Outstanding shs. for cash at \$4.50 net per share (Cargill presently owns 911,241 shs. (59.8%)) | 10-30-74 |
| * Natomas Co. | Thermal Power Co. (San Francisco, Calif.) Common Stock - All Outstanding shs. for cash at \$20.00 net per share | 10-30-74 |
| Midwestern Beef, Inc. | Platte Valley Packing Co. (Cozad, Nebr.) Common Stock - 9,944 shs. for cash at \$35.00 net per share (Midwestern presently owns 15,245 shs. (60.5%)) | 11- 4-74 |
| Ruhrkohle-Stinnes Corp. (wholly-owned by Ruhrkohle AG and Hugo Stinnes AG (Germany)) | Appalachian Resources Co. (Oak Hill, W. Va.) Common Stock - All Outstanding shs. for cash at \$10.00 net per share Common Stock Purchase Warrants - All Outstanding Wts for cash at \$10.00 per share of Common Stock issuable upon the exercise of the warrant less the exercise price thereof | 11- 4-74 |
| Alleghany Corp. | MSL Industries, Inc. (Chicago, Ill.) Common Stock - All Outstanding shs. for cash at \$50.00 net per share | 11- 6-74 |
| * Western Pacific Industries Inc. | Veeder Industries Inc. (Hartford, Conn.) Common Stock - 780,000 shs. for cash at \$29.16 2/3 net per share (The price and number of shares are adjusted to reflect a 20% stock distribution) | 11- 8-74 |
| Loews Corp. | CNA Financial Corp. (Chicago, Ill.) Common Stock - for cash at \$5.00 net per sh. Conv. Series A Preferred - for cash at \$6.75 net per share (Loews will offer to purchase 20,000,000 shares of Stock counting each share of Common and each share of Preferred as one share of Stock) | 11-11-74 |
| N. B. Hunt W. H. Hunt | Great Western United Corp. (Denver Colo.) Common Stock - 910,000 shs. for cash at \$27.50 net per share (The Messrs Hunt currently own 347,434 shares and have options to acquire an additional 97,847 shares) | 11-11-74 |

* Amended Tender Offer

| <u>OFFER TO PURCHASE BY:</u> | <u>ISSUER & NUMBER OF SHARES TO BE PURCHASED</u> | <u>DATE FILED</u> |
|---|--|-------------------|
| Al-Aquitaine Exploration, Ltd., a wholly-owned subsidiary of Aquitaine Co. of Canada Ltd. | Thermal Power Co. (San Francisco, Calif.) Common Stock - All Outstanding shs. for cash at \$16.00 net per share (Shares tendered pursuant to the Offer of September 5 were returned unpurchased. Societe, Nationale des Petroles d'Aquitaine, a French corporation owns 74.7% of the outstanding capital stock of Aquitaine Canada | 10-11-74 |
| Montana Corp. | Treasure State Industries, Inc. (Great Falls, Montana) Common Stock - 775,000 shs. for cash at 30¢ net per share (Montana presently owns 163,350 shs. (8.7%)) | 10-15-74 |
| American General Life Insurance Company of Delaware | Variable Annuity Life Insurance Co. (Houston, Texas) Capital Stock - All Outstanding shs. for cash at \$7.50 net per share (American General Insurance Co., parent, presently owns 50.7% of the outstanding shares) | 10-15-74 |
| Western Pacific Industries, Inc. | Veeder Industries Inc. (Hartford, Conn.) Common Stock - 650,000 shs. for cash at \$35.00 net per share | 10-15-74 |
| * Natomas Co. | Thermal Power Co. (San Francisco, Calif.) Common Stock - All Outstanding shs. for cash at \$16.00 net per share (Thermal will sell to Natomas 1,200,000 shs. of its unissued shares of Common Stock) | 10-15-74 |
| Gould Inc. | I-T-E Imperial Corp. (Spring House, Pa.) Common Stock - 2,000,000 shs. for cash at \$18.00 net per share (Gould presently owns 206,100 shs.) | 10-15-74 |

* Amended Tender Offer

| <u>OFFER TO PURCHASE BY:</u> | <u>ISSUER & NUMBER OF SHARES TO BE PURCHASED</u> | <u>DATE FILED</u> |
|---|--|-------------------|
| Rapoca Energy Corp. | Primary Medical Communications, Inc. (New York City) Common Stock - 1,006,700 shs. in exchange for Common and Preferred stock of Rapoca on the basis 1/5 share Common and 1/100 share Preferred for each share Primary | 10-18-74 |
| DeJur-Amsco Corp. | DeJur-Amsco Corp. (Long Island City, N. Y.) Class A Common Stock - 330,345 shs. for cash at \$4.00 net per share | 10-18-74 |
| Union Oil Co. of Calif. | Thermal Power Co. (San Francisco, Calif.) Common Stock - All Outstanding shs. for cash at \$18.00 net per share | 10-21-74 |
| Film N Photos, Inc. | Rainbo Photo Color, Inc. (Oklahoma City, Okla.) Common Stock - 607,410 shs. for cash at \$.10 net per share (Film has agreed to purchase 731,580 shs. (55%) from certain shareholders) | 10-23-74 |
| * Foxley & Co. | Flavorland Industries, Inc. (Sioux City, Iowa) Common Stock - All Outstanding shs. for cash at \$7.50 net per share including Common stock into which the outstanding Preferred stock is convertible | 10-24-74 |
| Etablissements Delhaize Freres et Cie. "Le Lion" S.A. (Belgium) | Food Town Stores, Inc. (Salisbury, N. C.) Common Stock - 198,000 shs. for cash at \$26.00 net per share (The Offeror has agreed to purchase 50,000 shs. from Food Town and 68,000 shares from five directors) | 10-24-74 |
| R. B. Jones Corp. and its Employee Share Ownership Trust | R. B. Jones Corp. (Kansas City, Mo.) Common Stock - 500,000 shs. for cash at \$6.00 net per share | 10-29-74 |

* Supplemented Amended Offer

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FIRST SIX MONTHS OF VOLUME 33
INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN
INCLUDES DATA FOR PERIOD NOV - 73 TO MAY - 74

| Series | Series Title | Coverage and Location |
|--------|---|---|
| M-110 | SELECTED STOCK MARKET INDICATORS | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 MAY - 74 PAGE NUMBER 1272-V32 70 229 308 429 578 649 |
| M-120 | MARKET VALUE AND VOLUME ON UNITED STATES STOCK EXCHANGES | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 PAGE NUMBER 41 195 307 428 555 650 |
| M-130 | ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX | PERIOD ENDING DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 PAGE NUMBER 199 361 399 581 651 |
| M-140 | ROUND-LOT SHARE VOLUME ON THE NYSE | PERIOD ENDING DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 PAGE NUMBER 197 359 395 579 653 |
| M-142 | ODD-LOT CUSTOMERS' SHARE VOLUME ON THE NYSE: 100 COMMON STOCKS | CURRENT ISSUE COVERS PERIOD ENDED 2 FRIDAYS EARLIER |
| M-143 | ODD-LOT SHARE VOLUME ON THE NYSE | PERIOD ENDING DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 PAGE NUMBER 196 360 397 583 657 |
| M-210 | NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933 | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 APR - 74 PAGE NUMBER 43 205 309 430 556 658 |
| M-220 | ESTIMATED GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS BY INDUSTRY AND TYPE OF OFFERING | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 PAGE NUMBER 108 253 431 482 608 |
| M-230 | ESTIMATED GROSS PROCEEDS FROM NEW CORPORATE SECURITIES OFFERINGS BY TYPE OF ISSUER AND TYPE OF SECURITY | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 PAGE NUMBER 109 254 432 483 611 |
| M-236 | ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS BY INDUSTRY | PERIOD ENDING NOV - 73 DEC - 73 JAN - 74 FEB - 74 MAR - 74 PAGE NUMBER 110 257 435 484 612 |
| M-240 | VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK BY INDUSTRY | PERIOD ENDING JAN - 74 FEB - 74 MAR - 74 PAGE NUMBER 362 485 613 |
| M-610 | FORM 144 FILINGS | CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY |
| M-620 | FORM 8-K FILINGS | CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY |
| M-630 | SCHEDULE 13-D AND 14-D REPORTS | CURRENT ISSUE COVERS WEEK ENDED PREVIOUS WEDNESDAY |
| Q-180 | SPECIAL OFFERINGS SECONDARY DISTRIBUTIONS AND EXCHANGE DISTRIBUTIONS ON UNITED STATES STOCK EXCHANGES | PERIOD ENDING QUARTER 4-1973 QUARTER 1-1974 PAGE NUMBER 286 557 |
| Q-250 | NET CHANGE IN CORPORATE SECURITIES OUTSTANDING | PERIOD ENDING QUARTER 4-1973 PAGE NUMBER 311 |
| Q-310 | WORKING CAPITAL: CURRENT ASSETS AND LIABILITIES, NON-FINANCIAL U.S. CORPORATIONS | PERIOD ENDING QUARTER 4-1973 PAGE NUMBER 486 THROUGH 489 |
| Q-320 | ASSETS OF NON-INSURED PENSION FUND | PERIOD ENDING QUARTER 4-1973 PAGE NUMBER 406 |
| Q-330 | STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS | PERIOD ENDING QUARTER 4-1973 PAGE NUMBER 401 |
| Q-450 | OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS | PERIOD ENDING QUARTER 4-1973 PAGE NUMBER 363 |
| S-111 | SEMI-ANNUAL SUMMARY OF CHANGES IN SELECTED STOCK MARKET INDICATORS | PERIOD COVERED JANUARY 1960 THROUGH DECEMBER 1973 PAGE NUMBER 71 THROUGH 80 |
| S-121 | SEMI-ANNUAL SUMMARY OF AVERAGE PRICES OF SHARES TRADED ON U.S. STOCK EXCHANGES | PERIOD COVERED JANUARY 1953 THROUGH DECEMBER 1973 PAGE NUMBER 201 THROUGH 204 |
| A-120 | ANNUAL SUMMARY OF MARKET VALUE AND VOLUME OF SALES ON U.S. STOCK EXCHANGES | PERIOD COVERED CALENDAR YEAR 1973 PAGE NUMBER 334 |
| A-130 | ANNUAL SUMMARY OF ROUND-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX (WEEKLY AND MONTHLY) | PERIOD COVERED CALENDAR YEAR 1973 BY WEEKS CALENDAR YEAR 1973 BY MONTHS PAGE NUMBER 367 333 |
| A-140 | ANNUAL SUMMARY OF ROUND-LOT SHARE VOLUME ON THE NYSE (WEEKLY AND MONTHLY) | PERIOD COVERED CALENDAR YEAR 1973 BY WEEKS CALENDAR YEAR 1973 BY MONTHS PAGE NUMBER 365 331, REVISED 393 |
| A-143 | ANNUAL SUMMARY OF ODD-LOT SHARE VOLUME ON THE NYSE (WEEKLY AND MONTHLY) | PERIOD COVERED CALENDAR YEAR 1973 BY WEEKS CALENDAR YEAR 1973 BY MONTHS PAGE NUMBER 366 332, REVISED 394 |
| A-160 | GROWTH RATE TRIANGLE OF NYSE SHARE VOLUME | PERIOD COVERED CALENDAR YEARS 1954 THROUGH 1973 PAGE NUMBER 171 |
| A-161 | GROWTH RATE TRIANGLE OF AMEX SHARE VOLUME | PERIOD COVERED CALENDAR YEARS 1954 THROUGH 1973 PAGE NUMBER 172 |
| A-250 | DOMESTIC CORPORATE SECURITIES ISSUED AND RETIRED | PERIOD COVERED 1968 THROUGH 1972 1968 THROUGH 1973 PAGE NUMBER 213 539 |
| A-320 | ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS | PERIOD COVERED 1973 PRELIMINARY PAGE NUMBERS 455 THROUGH 459 |
| A-340 | MARKET VALUE OF OUTSTANDING CORPORATE STOCKS IN THE UNITED STATES | PERIOD COVERED 1964 THROUGH 1973 PAGE NUMBER 614 |

NOTE: Index covering previous six months can be found on Page 671 of Vol. 33, Issue No. 24

SECOND SIX MONTHS OF VOLUME 33

INDEX OF SERIES APPEARING IN THE STATISTICAL BULLETIN

INCLUDES: MONTHLY DATA BEGINNING APR - 74; QUARTERLY DATA BEGINNING QUARTER 1-74

| Series | Series Title | | Coverage and location | | | | | | |
|---------|---|-------------------------------|---------------------------------------|-----------------------|------------------------|------------------|------------------|------------------|----------|
| | | | PERIOD ENDING | JUN - 74 | JUL - 74 | AUG - 74 | SEP - 74 | OCT - 74 | NOV - 74 |
| M-110 | SELECTED STOCK MARKET INDICATORS | PAGE NUMBER | 675 | 781 | 859 | 943 | 1024 | 1102 | |
| M-120 | MARKET VALUE AND VOLUME ON UNITED STATES STOCK EXCHANGES | PERIOD ENDING PAGE NUMBER | MAY - 74 676 | JUN - 74 782 | JUL - 74 860 | AUG - 74 946 | SEP - 74 1015 | OCT - 74 1093 | |
| M-130 | BONUS-LOT AND ODD-LOT SHARE VOLUME ON THE AMEX | PERIOD COVERED PAGE NUMBER | MAY - 74 677 | JUN - 74 783 | JUL - 74 861 | AUG - 74 947 | SEP - 74 1017 | OCT - 74 1095 | |
| M-140 | BONUS-LOT SHARE VOLUME ON THE NYSE | PERIOD COVERED PAGE NUMBER | MAY - 74 679 | JUN - 74 785 | JUL - 74 863 | AUG - 74 949 | SEP - 74 1019 | OCT - 74 1097 | |
| M-142 | ODD-LOT CUSTOMERS' SHARE VOLUME ON THE NYSE: LOT COMMON STOCKS | PERIOD ENDING PAGE NUMBER | JUN - 74 681 | JUL - 74 787 | AUG - 74 865 | SEP - 74 951 | OCT - 74 1021 | NOV - 74 1099 | |
| M-143 | ODD-LOT SHARE VOLUME ON THE NYSE | PERIOD COVERED PAGE NUMBER | MAY - 74 683 | JUN - 74 789 | JUL - 74 867 | AUG - 74 953 | SEP - 74 1023 | OCT - 74 1101 | |
| M-180 | SPECIAL OFFERINGS, SECONDARY DISTRIBUTIONS, AND EXCHANGE DISTRIBUTIONS ON UNITED STATES STOCK EXCHANGES | PERIOD COVERED PAGE NUMBER | JUN - 74 790 | JUL/AUG-74 868 | SEP - 74 954 | OCT - 74 1025 | NOV - 74 1103 | | |
| * M-210 | NUMBER AND VALUE OF EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933 | PERIOD COVERED PAGE NUMBER | MAY - 74 685 | JUN - 74 791 | JUL - 74 869 | AUG - 74 955 | SEP - 74 1036 | OCT - 74 1114 | |
| M-212 | DOLLAR VALUE OF EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933 | PERIOD COVERED PAGE NUMBER | | | | | | | |
| M-214 | NUMBER OF EFFECTIVE REGISTRATIONS UNDER THE SECURITIES ACT OF 1933, BY PURPOSE OF REGISTRATION | PERIOD COVERED PAGE NUMBER | | | | | | | |
| M-216 | PRIMARY REGISTRATIONS UNDER THE SECURITIES ACT OF 1933 BY METHOD OF DISTRIBUTION TO THE PUBLIC | PERIOD COVERED PAGE NUMBER | | | | | | | |
| M-220 | ESTIMATED GROSS PROCEEDS FROM PRIMARY SECURITIES OFFERINGS BY INDUSTRY AND TYPE OF OFFERING | PERIOD COVERED PAGE NUMBER | APR - 74 686 | MAY - 74 792 | JUN - 74 870 | JUL - 74 956 | AUG - 74 1026 | SEP - 74 1104 | |
| * M-230 | ESTIMATED GROSS PROCEEDS FROM NEW CORPORATE SECURITIES OFFERINGS BY TYPE OF ISSUER AND TYPE OF SECURITY | PERIOD COVERED PAGE NUMBER | APR - 74 689 | MAY - 74 793 | | | | | |
| M-232 | ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE BOND OFFERINGS BY INDUSTRY | PERIOD COVERED PAGE NUMBER | JUN - 74 873 | JUL - 74 959 | AUG - 74 959 | SEP - 74 1029 | OCT - 74 1107 | | |
| M-234 | ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE PREFERRED STOCK BY INDUSTRY | PERIOD COVERED PAGE NUMBER | JUN - 74 875 | JUL - 74 961 | AUG - 74 961 | SEP - 74 1031 | OCT - 74 1109 | | |
| M-236 | ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE COMMON STOCK BY INDUSTRY | PERIOD COVERED PAGE NUMBER | JUN - 74 878 | JUL - 74 962 | AUG - 74 962 | SEP - 74 1032 | OCT - 74 1110 | | |
| M-238 | ESTIMATED GROSS PROCEEDS FROM PRIMARY CORPORATE CONVERTIBLE BOND OFFERINGS BY INDUSTRY | PERIOD COVERED PAGE NUMBER | APR - 74 690 | MAY - 74 794 | JUN - 74 874 | JUL - 74 960 | AUG - 74 1030 | SEP - 74 1108 | |
| M-240 | VALUE OF REGISTERED SECONDARY OFFERINGS OF COMMON STOCK BY INDUSTRY | PERIOD COVERED PAGE NUMBER | APR - 74 691 | MAY - 74 795 | JUN - 74 877 | JUL - 74 963 | AUG - 74 1035 | SEP - 74 1113 | |
| Q-250 | NET CHANGE IN CORPORATE SECURITIES ISSUED | PERIOD ENDING PAGE NUMBER | QUARTER 1-1974 695 | QUARTER 2-1974 879 | QUARTER 3-1974 1116 | | | | |
| Q-310 | WORKING CAPITAL: CURRENT ASSETS AND LIABILITIES, NON-FINANCIAL U. S. CORPORATIONS | PERIOD ENDING PAGE NUMBER | QUARTER 1-1974 701 | QUARTER 2-1974 964 | | | | | |
| Q-320 | ASSETS OF NON-INSURED PENSION FUNDS | PERIOD ENDING PAGE NUMBER | QUARTER 1-1974 697 | QUARTER 2-1974 967 | | | | | |
| Q-330 | STOCK TRANSACTIONS OF SELECTED FINANCIAL INSTITUTIONS | PERIOD ENDING PAGE NUMBER | QUARTER 1-1974 698 | QUARTER 2-1974 968 | | | | | |
| Q-450 | OVER-THE-COUNTER VOLUME IN NYSE LISTED STOCKS | PERIOD ENDING PAGE NUMBER | QUARTER 1-1974 705 | QUARTER 2-1974 971 | | | | | |
| S-111 | SEMI-ANNUAL SUMMARY OF CHANGES IN SELECTED STOCK MARKET INDICATORS | PERIOD COVERED PAGE NUMBER | JANUARY 1960 THROUGH JUNE 1974 707 | | | | | | |
| S-121 | SEMI-ANNUAL SUMMARY OF AVERAGE PRICES OF SHARES TRADED ON U. S. STOCK EXCHANGES | PERIOD COVERED PAGE NUMBER | JANUARY 1953 THROUGH JUNE 1974 797 | | | | | | |
| S-245 | FOREIGN SECURITIES ISSUES SOLD IN THE UNITED STATES | PERIOD COVERED PAGE NUMBER | JANUARY 1970 THROUGH JUNE 1974 801 | | | | | | |
| A-321 | ANNUAL SURVEY OF PRIVATE NON-INSURED PENSION FUNDS | | FINAL 1973 1138 | | | | | | |
| A-350 | STOCKHOLDINGS OF INSTITUTIONAL INVESTORS AND OTHERS | PERIOD COVERED PAGE NUMBER | 1965 THROUGH 1973 805 | | | | | | |
| A-360 | TOTAL ASSETS AND COMMON STOCK HOLDINGS OF MAJOR INSTITUTIONS | PERIOD COVERED PAGE NUMBER | 1965 THROUGH 1973 807 | | | | | | |

* SIGNIFIES THAT SERIES WILL BE DISCONTINUED.

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